

Application Documents

Application Number: 261018
Facility Name: Auburn Community Hospital
Project Description: Certify Cardiac Catheterization - Electrophysiology (EP) and install new ultrasound equipment for EP procedures
Submitted By: Burch, Eric
Submitted Date: 01/14/2026

Document Type	Filename	Description	Date
Schedule 5 Attachment	EPLEASEscan_burcheri_2026-07-07-12-50-55.pdf	Executed Equipment Lease	07/07/2026
Schedule 6 Architectural Submission	CON261018_ACH_EP_DSG01.zip	LRA 6	07/17/2026
	7-physicists_letter_of_certificationEP.pdf		07/09/2026
HEIA Requirement Criteria	HEIA criteria (2).pdf	HEIA	07/17/2026
	EPHEIAcriteria.pdf	HEIA	07/17/2026
	EPLetter of Agreement signed.pdf	HEIA	07/09/2026
	HEIAEP.pdf	HEIA	07/09/2026
	HEIA criteria.pdf	HEIA	01/14/2026
HEIA Conflict of Interest	EPACH EP Lab conflict_of_interest.pdf		07/07/2026
HEIA Contract with Independent Entity	EPLetter of Agreement signed.pdf		07/17/2026
HEIA Template (MS Word)	ACH EP Lab Report FINAL 20260629.docx		07/09/2026
HEIA Data Tables (MS Excel)	EPScoping Sheet 2.xlsx		07/09/2026
	EPScoping Sheet 1.xlsx		07/09/2026
LRA Cover Sheet	lra_cover_sheet 130(9)EP.doc	LRA Cover Sheet	01/30/2026
	lra_cover_sheet (9)EP113.doc	LRA Cover Sheet	01/14/2026
Schedule LRA 2 Total Project Cost	schedule_lra_2 (12)EP113.doc	LRA 2	01/14/2026
Schedule LRA 3 Proposed Plan for Project Financing	FinancialstatementsEPCON.pdf	LRA 3	01/30/2026
	schedule_lra_3 (13)EP113.doc	LRA 3	01/14/2026
Schedule LRA 3 Attachment	GECARTOEP.pdf	GE CARTO	01/14/2026
Schedule LRA 3 Attachment	EPLRA3.pdf	LRA 3	07/17/2026
Schedule LRA 3 Attachment	EPLRA3withLease.pdf	LRA 3 with Lease	07/20/2026
Schedule LRA 4 Outline of Architectural/Engineering Action	schedule_lra_4 (7)EP113.docx	LRA 4, Schedule 7	01/14/2026
Schedule LRA 5 Space and Construction Cost Distribution	schedule_lra_5 (4)EP113.doc	LRA 5	01/14/2026
Schedule LRA 6 Architectural Submission	EPSP100.pdf	A100	07/22/2026
Schedule LRA 6 Attachment	EPA400.pdf	A400	07/22/2026
Schedule LRA 6 Attachment	EPA500.pdf	A500	07/22/2026
Schedule LRA 6 Attachment	EPA600.pdf	A600	07/22/2026

<u>Document Type</u>	<u>Filename</u>	<u>Description</u>	<u>Date</u>
Schedule LRA 6 Attachment	EPAE_CertForm.pdf	AE Certification	07/22/2026
Schedule LRA 6 Attachment	EPAE_Narrative.pdf	AE Narrative	07/22/2026
Schedule LRA 6 Attachment	EPCON100.pdf	CON 100	07/22/2026
Schedule LRA 6 Attachment	EPDOH-ADA_Letter.pdf	DOH-ADA	07/22/2026
Schedule LRA 6 Attachment	EPE100.pdf	E100	07/22/2026
Schedule LRA 6 Attachment	EPFP100.pdf	FP100	07/22/2026
Schedule LRA 6 Attachment	FSP.pdf	FSP	07/22/2026
Schedule LRA 6 Attachment	EPLSC100.pdf	LSC100	07/22/2026
Schedule LRA 6 Attachment	EPM100.pdf	M100	07/22/2026
Schedule LRA 6 Attachment	EPP100.pdf	P100	07/22/2026
Schedule LRA 6 Attachment	EPSP100.pdf	SP100	07/22/2026
Schedule LRA 7 Proposed Operating Budget	schedule_lra_7 (15)EP113.docx	LRA 7	01/14/2026
Schedule LRA 7 Attachment	EPFinance.xlsx	backup	01/14/2026
Schedule LRA 8 Staffing	schedule_lra_8 (8)EP130.docx	LRA 8 Staffing	01/30/2026
	schedule_lra_8 (8)EP113.docx	LRA 8 Staffing	01/14/2026
Schedule LRA 8 Attachment	ObasareCVEP113.pdf	Cv of provider	01/14/2026
Schedule LRA 10 Impact on Operating Certificate	schedule_lra_10 (12)EP114.doc	LRA 10	01/14/2026
Schedule LRA 12 Assurances	LRA12EP113.pdf	LRA 12	01/14/2026
Acknowledgement Letter	261018 Acknowledgement Letter.pdf	From Project Management:	07/23/2026
Request for Additional Information	261018 RFAI Letter.pdf	From Health Equity Impact Assessment:	07/29/2026

ACCELERATED SOLUTIONS AGREEMENT # 260241422R1

This Accelerated Solutions Agreement is between Biosense Webster, Inc., a California corporation (the "Company"), and Auburn Memorial Hospital (the "Customer").

The federal anti-kickback statute, 42 U.S.C. § 1320a-7b(b), prohibits certain activities in connection with referring or arranging for business paid for by a federal healthcare program. The Company may provide the Customer, as permitted by the discount exception to the federal anti-kickback statute (42 U.S.C. § 1320a-7b(b)(3)) and/or the "discount safe harbor" to the federal anti-kickback statute under (42 C.F.R. § 1001.952(h)), with price concessions on purchases under this agreement if certain conditions are met.

The parties agree as follows:

Article 1 PRODUCT TERMS AND PRICING

1.1 Customer Eligibility. The Customer will not be entitled to purchase any item or service offered under this agreement unless, at the time of purchase, the Customer is a hospital or surgical center located in any of the 50 United States or the District of Columbia; serves only the Customer's patients; and does not sell any items purchased under this agreement at retail to the general public.

1.2 Subscription Pricing. (a) Subject to the terms and conditions of this agreement, the Customer agrees to purchase each Subscription on Schedule A at the indicated pricing. For each Subscription to which a Customer-owned CARTO® 3 System is ascribed as of the Effective Date, Schedule A indicates the Customer-owned CARTO® 3 System so ascribed, the Subscription Activation Date and the Subscription End Date. If a Customer-owned CARTO® 3 System is not ascribed to a Subscription, that Subscription will not become active until a system is ascribed (when a Customer-owned CARTO® 3 System is so ascribed, the Company will provide notice to the Customer of the Subscription Activation Date and Subscription End Date). "Subscription Year" means, with respect to a given Subscription, each twelve-month period of this agreement, beginning on the Subscription Activation Date for that Subscription, or anniversary thereof, provided that the first Subscription Year may be less than a 12-month period. If a CARTO® 3 System is not ascribed to a Subscription within 90 days of the Effective Date, (i) the Company may provide the Customer notice that the Subscription shall be null and void, (ii) following such notice any amounts paid by the Customer to the Company for that Subscription shall be refunded to the Customer, and (iii) if there are no remaining Subscriptions following such notice, this agreement shall automatically terminate and be null and void.

(b) The price paid under this agreement may ultimately reflect a discount relative to the value of any ASA Products acquired and the Service provided hereunder. Promptly following the expiration or earlier termination of this agreement, and upon request of the Customer, the Company shall provide the Customer with a statement summarizing any ASA Products acquired and Service provided during the term. The Customer agrees to fully and accurately report prices paid under this agreement on cost reports and claims for reimbursement submitted to Medicare, Medicaid, and other health care programs, and to retain and provide documentation relating to this agreement to federal and state health care program officials upon request.

1.3 Subscription Purchase Orders, Invoicing and Payment. (a) No later than 15 days from the Effective Date, the Customer shall provide the Company with one or more purchase orders for the aggregate purchase price for all Subscriptions to be purchased under this agreement. No ASA Product will be delivered to the Customer before delivery of the foregoing purchase orders. If the Customer does not timely deliver the foregoing purchase orders, the Company may terminate this agreement upon notice to the Customer and following such termination the Customer shall be invoiced by and pay to the Company, net 30 days from date of invoice, the Company's standard rate for any Service (parts, labor, associated fees, and taxes) provided under this agreement prior to that termination. For each Subscription, the Customer shall be invoiced as set forth on Schedule A.

(b) Payment is due net 30 days from the invoice date. If the Customer does not timely pay any amount due under this agreement, the Company may halt shipment of new ASA Products, New Software may be deactivated or uninstalled, and Service may be suspended by the Company until the Customer has made payment in full. If the Customer does not make timely payment under this agreement,

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in addition to all other available remedies, the Company or its designee has a right of quiet entry during regular business hours to peaceably retrieve and repossess any ASA Products without a court order.

(c) If the Customer returns or refuses delivery of any ASA Product, the Company shall have no obligation to credit or refund any amounts for that item and the Company shall have no obligation to return the item to the Customer.

(d) Delivery of any ASA Product that requires Company supported installation (excluding New Software) will be F.O.B. Destination, and delivery of any ASA Product that does not require Company installation will be F.O.B. Origin, unless noted to the contrary. In either instance, acceptance of such ASA Product will be deemed to have occurred unless the Customer notifies the Company in writing within 10 days of delivery.

1.4 New Equipment/Software and Legacy Products. (a) Subject to the terms and conditions of this agreement, under and during each active Subscription, the Customer may acquire the following (each an "ASA Product"):

- i. a single unit of each new hardware product that the Company first makes generally available for purchase in the United States on or after January 1, 2026, including those commercialized following the acquisition by the Company of the right to sell the product from a third-party ("New Equipment")
- ii. a single unit of each new software product that the Company first makes generally available for purchase or license in the United States on or after January 1, 2026, including that commercialized following the acquisition of the right to sell or license the software from a third-party ("New Software")
- iii. the Legacy BWI HSW Products listed on Schedule A for that Subscription
- iv. the Legacy Third-Party Products listed on Schedule A for that Subscription

(b) For each ASA Product that the Customer would like to order under this agreement, the Customer shall (mark one):

- ☐ Issue a no-charge purchase order
- ☒ Issue a notice and use the applicable Subscription purchase order number

(c) The Company shall determine, in its sole discretion, what hardware and software made generally available for purchase or license in the US after January 1, 2026, shall be deemed New Equipment or New Software. No item that the Company deems to be a disposable product including, but not limited to, any form of catheter shall be New Equipment or New Software. The Company reserves the right to make changes to Company-branded equipment built and/or sold at any time without incurring any obligation to make the same or similar changes on equipment previously built or sold.

(d) The Company is under no obligation to, and may not launch, any new product considered by the Company to be New Equipment, nor any new software considered by the Company to be New Software. The Customer acknowledges that the Company will only provide New Equipment or New Software approved or cleared by the U.S. Food and Drug Administration ("FDA"), and that the Company will not accept any order for any New Equipment or New Software that has not been so approved or cleared. The Customer further acknowledges that it is possible that the FDA may not approve or clear any New Equipment or New Software during the term of this agreement, and that Company makes and has made no representation that any New Equipment or New Software will be made available by the Company in the United States during the term of this agreement.

(e) Any warranties provided by either the Company or any affiliate, as applicable, with respect to a given Company-branded ASA Product are as expressly provided in the labeling of that ASA Product on delivery. THERE ARE NO WARRANTIES THAT EXTEND BEYOND THE FOREGOING DESCRIPTION INCLUDING, BUT NOT LIMITED TO, MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

(f) Delivery of New Equipment or New Software may be subject to additional terms and conditions generally applicable to that equipment or software in the US market. If the Customer and

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the Company are unable to agree to such additional terms and conditions via an executed amendment, the Company shall have no obligation to deliver that New Equipment or New Software.

(g) Installation of Company-branded New Equipment or Legacy BWI HSW Products will be based upon the availability of (i) the Company's inventory of the equipment and (ii) Company technicians to perform the installation. Such availability will be at the sole discretion of the Company. Company personnel are not authorized to (i) install wires or cables supplied or sold by the Company in floor or roof conduits, including riser systems, (ii) "fish" feed or pull cables in parallel to other vendor cables or wires inside floor, roof conduits or riser systems, or (iii) remove, lift, or modify false ceiling tiles to allow installation of cabling or wires in attic or overhead areas. The Customer may contact Company Customer Service to order cables for placement by the Customer prior to the installation of such ASA Products so that the Company's field service engineer can complete the equipment installation using the pre-installed cables.

(h) In order to support the Customer's patient care needs, if the Company is unable to deliver a Legacy BWI HSW Product or Legacy Third-Party Product within 10 business days of the Effective Date ("Delayed Equipment"), the Company may provide a substitute unit (the "Loaned Equipment") without additional charge subject to the terms set forth on Schedule C.

1.5 No Medical Advice. The Company does not provide medical advice. AN ASA PRODUCT MAY PROVIDE INFORMATION AND DATA TO ASSIST IN THE ASSESSMENT AND MANAGEMENT OF PATIENT CARE. HOWEVER, THE CUSTOMER ACKNOWLEDGES AND AGREES THAT (I) ANY HEALTH CARE OR RELATED MEDICAL ADVICE IS PROVIDED BY THE CUSTOMER OR ITS AUTHORIZED PERSONNEL ONLY, AND NOT BY THE COMPANY, (II) THE COMPANY IS NOT ENGAGED IN RENDERING OF MEDICAL OR SIMILAR PROFESSIONAL SERVICES OR ADVICE VIA THE ASA PRODUCT OR OTHERWISE, AND (III) INFORMATION PROVIDED BY THE COMPANY VIA THE ASA PRODUCT IS NOT INTENDED TO REPLACE MEDICAL OR OTHER PROFESSIONAL ADVICE OFFERED BY A PHYSICIAN OR OTHER PROFESSIONAL HEALTHCARE PROVIDER. IF THE CUSTOMER RELIES ON ANY INFORMATION THE CUSTOMER RECEIVES OR LEARNS ABOUT THAT IS GENERATED BY AN ASA PRODUCT, THE CUSTOMER AGREES THAT IT DOES SO AT ITS OWN RISK AND IS VOLUNTARILY PARTICIPATING IN THESE ACTIVITIES.

1.6 Service. (a) For each piece of equipment currently owned by the Customer and listed on Schedule B (the "Existing Equipment"), under and during the Subscription covering that Existing Equipment, the Company shall provide the planned maintenance and repair services described in this agreement to the Customer (the "Service") following the expiration of the standard warranty on that Existing Equipment. The Company may add or substitute Company-branded equipment delivered under this agreement to Schedule B by notice to the Customer indicating the terms upon which Service will be provided following the expiration of the standard warranty on that equipment (that equipment being "Added Equipment" and together with Existing Equipment, "Covered Equipment"). Third-party equipment delivered by the Company under this agreement is not eligible for Service. The Customer agrees to make the Covered Equipment available for service at the time of each scheduled planned maintenance or emergency service call. The Customer must operate, maintain, and care for the Covered Equipment in accordance with the instructions in the owner's manual and in this agreement.

(b) Covered Equipment should not be used by the Customer in patient care unless planned maintenance occurs per the manufacturer's recommendation in that equipment's instructions for use ("IFU"). Each Subscription includes the cost of parts and labor for Covered Equipment planned maintenance per the manufacturer's recommendation. Planned maintenance will be scheduled, upon the Customer's or the Company's request, between 7 a.m. and 5 p.m. local time Monday through Friday excluding Company holidays ("Working Hours"). The Company may perform planned maintenance concurrently with functional maintenance and, in that event, such maintenance may be unscheduled. The maintenance shall include, without limitation, those actions the Company considers necessary to ensure proper operation of the Covered Equipment and all necessary replacement parts, subject to the agreement exclusions set forth below. Replacement parts may be new parts or rebuilt parts that are equivalent in the judgment of the Company to new parts when used in or with the Covered Equipment. The Company warrants the replacement parts for 90 days from the date of installation. Upon the Company's request, the Customer must deliver a part being replaced under this agreement to the Company. All parts removed from Covered Equipment pursuant to this Section will become the property of the Company.

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(c) The Company will use commercially reasonable efforts to provide service as quickly as possible and on a priority basis for emergencies. In situations where this response time is neither practical nor warranted, the service call will be scheduled at a time during Working Hours mutually agreed upon by the Company and the Customer.

(d) Service for the purpose of making necessary repairs and adjustments to Covered Equipment may, at the discretion of the Company, be provided at the Customer's location or at another location as determined by the Company which may require removal of the Covered Equipment or components thereof from the Customer's premises. If the Covered Equipment or component thereof is to be removed from the Customer's premises for Service, the Customer shall ship the item as instructed by the Company and at the Company's expense.

(e) Subject to availability, the Company may provide the Customer with a temporary substitute for the Covered Equipment or temporary replacement components while a covered repair is being completed. While in the Customer's possession, any such substitute or temporary replacement shall be "Covered Equipment." The Customer must stop use of any such substitute or temporary replacement component, and the same must be returned to the Company in the same condition as provided, reasonable wear and tear excepted, upon completion of the repair. The Company will invoice the Customer, and the Customer shall pay, for any such substitute or temporary replacement component not returned in the appropriate condition or not returned to the Company within 10 business days of the repair being completed. No C.O.D. shipments will be accepted without prior authorization. The decision to repair or replace any parts of the Covered Equipment will be made by the Company.

(f) Upon reasonable request as determined by the Company, Service will include CARTO® 3 System moves by the Company within the Install Location (CARTOUNIVU® and extra cables are excluded).

(g) The Company shall not be obligated to provide Service under this agreement for damage to or destruction of Covered Equipment where such damage or destruction is a result of or caused by fire or explosion of any origin, riot, civil commotion, aircraft, war, or any Act of God or nature including but not limited to lightning, windstorm, hail, flood, or earthquake. Covered Equipment will not be eligible for Service if the equipment is not located where initially installed by the Company or at another location that the Company approved in writing. Any resale, removal, or relocation of Covered Equipment without the prior written approval of the Company may result in cancellation of the Subscription covering that equipment without refund. The following are not eligible for Service:

- i. consumables / disposables / expendables
- ii. pre-shipment cables for CARTO® 3 system installations or relocations
- iii. generator pole clamps or tubing
- iv. damage or malfunction due to (a) service or installation by anyone other than an authorized Company Service Representative, (b) negligence, willful misconduct or malicious acts, (c) exposure of the internal components of the equipment to condensation, smoke or any fluid, (d) exposure of the external components of the equipment to condensation, smoke or any fluid outside of the ordinary course, (e) use of an incorrect power supply or power fluctuations, (f) use of consumables, disposables or expendables other than those manufactured or distributed by the Company, (g) modifications of the Covered Equipment not authorized in writing by the Company, or (h) any action other than the proper use or handling of the Covered Equipment

v. If the Company provides a shipping kit, damage during shipment if the Customer does not use the shipping kit

(h) Examples of damage that would not be eligible for service under Section 1.6(g) include, but are not limited to, (i) damage to female connectors/plugs due to attempted connection of cables with bent pins, (ii) damage to cables due to cutting, pulling, stepping on or rolling a cart over, (iii) physical damage caused during the moving of carts/devices, dropping a device, a device falling, banging on or into a device.

(i) All labor not covered under an applicable warranty, service agreement or Subscription ("T&M Services") will be invoiced door-to-door at the Company's standard service rate in effect at the time the labor is performed, including any applicable hourly minimums. The Customer will be advised prior to or

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during a service call if the service is a T&M Service. T&M Services fees are due to the Company within 30 days of invoice. Parts installed by the Company during service or repair not covered by an applicable warranty, service agreement or Subscription will be separately invoiced at the current list price and are payable to the Company within 30 days of invoice.

(j) As part of the Subscription to which a Covered Equipment unit is ascribed, if the unit is damaged or destroyed but the Company is not obligated to provide Service, subject to availability, the Company may provide the Customer with a temporary substitute for the Covered Equipment or temporary replacement components for a period of up to 90 days. While in the Customer's possession, any such substitute or temporary replacement shall be "Covered Equipment." At the end of the 90-day period, or earlier upon the Company's request, the Customer must stop use of any such substitute or temporary replacement component, and the same must be returned to the Company in the same condition as provided, reasonable wear and tear excepted. The Company will invoice the Customer, and the Customer shall pay, for any such substitute or temporary replacement component not returned in the appropriate condition or not timely returned to the Company. No C.O.D. shipments will be accepted without prior authorization.

(k) The Company represents that it will perform the Services in a good and workmanlike manner. IF ANY LIABILITY IS IMPOSED ON THE COMPANY FOR ANY REASON WHATSOEVER UNDER OR AS A RESULT OF SERVICE PROVIDED UNDER THIS AGREEMENT, THE AGGREGATE AMOUNT PAYABLE BY THE COMPANY SHALL NOT EXCEED THE AMOUNT OF THE ANNUAL PRICE PAID BY THE CUSTOMER FOR THE SUBSCRIPTION UNDER WHICH THE SERVICE WAS PROVIDED FOR THE SUBSCRIPTION YEAR IN WHICH THE EVENT GIVING RISE TO THE CLAIM OCCURRED.

(l) The Company maintains Worker's Compensation insurance covering Company employees who perform Services under this agreement.

1.7 CARTONET®. The price for a Subscription includes the option to receive CARTONET® coverage for all CARTO® 3 System(s) at the Install Location (each a "CartoNet Subscription"). The right to receive a CartoNet Subscription shall be subject to the Customer executing a CARTONET® Subscription Agreement on the Company's standard form (each a "CartoNet Subscription Agreement"). The effective date of a CartoNet Subscription shall be as stated in the applicable CartoNet Subscription Agreement, and the CartoNet Subscription shall expire upon the expiration of the Subscription or earlier termination of this agreement. The terms of the CartoNet Subscription Agreement will govern the CartoNet Subscriptions. To the extent of a conflict between this agreement and the CartoNet Subscription Agreement, with respect to the CartoNet Subscriptions, the CartoNet Subscription Agreement shall control.

1.8 General Updates. Hardware updates for Company-branded Covered Equipment and software updates for Baseline Software or Enhancement Software (minor error corrections, security patches and/or performance improvements of existing functionality) may be made available by the Company without charge from time to time.

1.9 GE Healthcare Products. A Subscription may include GE Medical Systems Ultrasound & Primary Care Diagnostics, LLC or GE Precision Healthcare, LLC, as applicable, products (each a "GE Healthcare Product"). Delivery of GE Healthcare Products under this agreement are subject to the terms and conditions set forth in Schedule D. The Company does not produce the GE Healthcare Products. THE COMPANY MAKES NO EXPRESS OR IMPLIED WARRANTY WHATSOEVER OF MERCHANTABILITY, FITNESS FOR ANY PURPOSE, OR OTHERWISE, REGARDING ANY GE HEALTHCARE PRODUCT. IN NO EVENT WILL THE COMPANY OR ANY COMPANY AFFILIATE BE LIABLE FOR ANY DAMAGES WITH RESPECT TO A GE HEALTHCARE PRODUCT OR THE USE OR PERFORMANCE OF ANY GE HEALTHCARE PRODUCT; INCLUDING BUT NOT LIMITED TO DIRECT, PUNITIVE, COVER, EXEMPLARY, MULTIPLIED, INDIRECT OR CONSEQUENTIAL DAMAGES, OR DAMAGES FOR LOST PROFITS/REVENUES, DATA OR DATA USE, WHETHER OR NOT FORESEEABLE. THE CUSTOMER IRREVOCABLY WAIVES ANY RIGHT TO SEEK OR COLLECT ANY SUCH DAMAGES. THE CUSTOMER AGREES TO SETTLE ANY DISPUTE THAT IT MAY HAVE REGARDING ANY GE HEALTHCARE PRODUCT SOLELY WITH GENERAL ELECTRIC HEALTHCARE.

1.10 Intellectual Property/Software License. (a) The Company, the Company's affiliate, or their licensors owns all copyright, trade secret, patent, trademark, and other proprietary rights in the baseline software included with Covered Equipment ("Baseline Software") and software enhancements installed on the Covered Equipment ("Enhancement Software"), including all modifications and improvements thereto.

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Title to neither any Baseline Software nor any Enhancement Software will pass to the Customer but will be licensed to the Customer as described in this agreement. The Company shall provide the Customer with Baseline Software and Enhancement Software user documentation, if any. The Customer shall not copy Baseline Software and Enhancement Software.

(b) The Company, or the Company's affiliate, or their licensors owns all right, title, and interest in all intellectual property included or embodied in any Company-branded ASA Product, including but not limited to, all hardware, Baseline Software, Enhancement Software and any other software, firmware, copyrights, trademarks, trade secrets, patents, and any improvements or enhancements thereto (the "Intellectual Property"). Subject to the terms and conditions of this agreement, the Company grants to the Customer a non-exclusive, non-sublicensable, non-transferable license to use the Intellectual Property, solely for the Customer's internal use, at the Customer's facilities, in or with the ASA Products, strictly in accordance with the Company's instructions, and with respect to Baseline Software and Enhancement Software, only on the Covered Equipment on which it was originally installed or on equipment to which the Company transfers the software; provided that the software will be uninstalled or permanently deactivated on the unit from which it is transferred. The Company provides no other license or right to any of the Intellectual Property. The Customer will not (and will not allow any third-party to) modify, reverse engineer (except to the extent applicable law prohibits reverse engineering restrictions), incorporate or use in any other works, create derivatives of, or copy any portion of the Intellectual Property. The Customer will not (and will not allow any third-party to) work around any technical limitations in the Baseline Software or Enhancement Software that only allow it to be used in certain ways, remove, minimize, block or modify any notices in the Baseline Software or Enhancement Software, or use the Baseline Software or Enhancement Software in any way that is against the law or to create or propagate malware. The Customer will use the ASA Products for the benefit of itself and its patients, and not for the benefit of any other third-party. Consistent with the foregoing license rights, the Customer will not demonstrate or otherwise make the ASA Products available to any individual employed or contracted by a third-party medical device manufacturer, designer, seller, or reseller. The Customer's breach of this section will be deemed material, and in addition to other remedies and damages available to the Company, will relieve the Company of any and all service, warranty and indemnification obligations with respect to the subject ASA Product.

(c) The Baseline Software or Enhancement Software may include software of third-party licensors (each a "Third-Party Licensor"). Any representation or warranty provided with respect to the Baseline Software or Enhancement Software is made exclusively by the Company and is not by or binding upon any Third-Party Licensor. NO THIRD-PARTY LICENSOR PROVIDES AN IMPLIED WARRANTY OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE OR ANY OTHER EXPRESS OR IMPLIED WARRANTY. TO THE EXTENT PERMITTED BY APPLICABLE LAW, NO THIRD-PARTY LICENSOR SHALL HAVE LIABILITY FOR ANY DIRECT, INDIRECT, SPECIAL, CONSEQUENTIAL, OR INCIDENTAL DAMAGES ARISING FROM IN CONNECTION WITH THE INSTALLATION, USE OR PERFORMANCE OF THE BASELINE SOFTWARE OR ENHANCEMENT SOFTWARE.

(d) Any observations, suggestions, notes, recommendations, criticisms, or comments of any kind (including copyrightable material, records, drawings, designs, inventions, improvements, developments, discoveries, and trade secrets) relating to the Company or the Company's products or services that the Customer or any user of Company products at a Customer facility discloses to the Company ("Feedback") are the sole property of the Company. The Customer will assign (or cause to be assigned) or where assignment is not possible, exclusively license on a perpetual, worldwide, irrevocable, royalty-free, transferable, sublicensable basis, to the Company and the Company's affiliates any and all intellectual property rights necessary to reproduce, make derivative works from, distribute, perform, and display the Feedback, and to use, make, have made, sell, offer to sell, and import products and services that incorporate the Feedback, without any additional compensation or attribution, unless required by law. The Customer will assist the Company or its designee, at the Company's expense, to secure the Company's and the Company's affiliates' intellectual property rights in any and all Feedback. This provision shall survive the termination or expiration of this agreement.

(e) During operation, the Covered Equipment and Company-branded ASA Products and associated software may collect information, including performance and procedure data (collectively "Data"). "Data" as used in the remainder of this section shall not include any protected health information as such term is defined in 45 C.F.R. §160.103. Company representatives may collect and use the Data in accordance with this agreement. The Customer grants the Company, its affiliates, and their subcontractors a non-exclusive, royalty-free, fully paid, worldwide, perpetual, transferrable, sub-licensable, and irrevocable

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license and right to use the Data (i) to perform its obligations under this agreement; (ii) to de-identify or create derivative works from the Data in a form that does not identify the Customer or any individual; (iii) in a de-identified format, to assess product usage and performance, demonstrate product effectiveness, and conduct research and development to improve products and services and develop new products and services; and (iv) for any other lawful purpose. The Company and its affiliates have all rights, title, and interest in the intellectual property rights of any such derivative works. The Company and its affiliates will not sell Data to any third-party. This provision shall survive the termination or expiration of this agreement.

Article 2 GENERAL PROVISIONS

2.1 Term and Termination. (a) This agreement is effective as of March 24, 2026 (the "Effective Date") and expires upon the expiration of the last Subscription to become effective under this agreement (the "End Date"). Either party may terminate this agreement or any Subscription at any time by giving 30 days' notice to the other party. Termination of this agreement terminates all Subscriptions. Upon expiration or earlier termination of this agreement, the Customer may not purchase, and the Company will not deliver any ASA Product or Service. Upon expiration or earlier termination of a Subscription, the Customer may not purchase, and the Company will not deliver any ASA Product or Service under that Subscription. As a New York entity, Customer must submit a Certificate of Need ("CON") to the state of New York. Should the CON be denied, Customer may terminate this agreement immediately upon notice to the Company. JW

(b) If a Subscription is terminated prior to its expiration, then with respect to that Subscription, the Company shall refund the positive difference or invoice, and the Customer shall pay net 30 days from the date of invoice, the negative difference between (i) the amount paid to the Company for the Subscription, minus (ii) the aggregate dollar amount of all parts and labor supplied by the Company under the Subscription prior to the date of termination at the prices prevailing on the dates such parts and labor were supplied, the unpaid portion of the Customer's eligible price for any ASA Product acquired under the Subscription and the pro rata value of the CartoNet Subscription determined based upon the time it is active for the Customer; provided that in no event shall the amount paid for a Subscription exceed the Subscription Extended Price for that Subscription.

(c) This General Provisions Article, along with any accrued rights and responsibilities, will survive termination or expiration of this agreement.

2.2 Entire Agreement. All exhibits, schedules attached hereto and referenced herein are made a part of this agreement. This agreement constitutes the entire agreement between the parties concerning the subject matter of this agreement and supersedes all prior negotiations and agreements between the parties concerning the subject matter of this agreement. The terms of any purchase order, invoice, or similar document used to implement this agreement shall be subject to and shall not modify this agreement.

2.3 Amendment. This agreement may only be amended by written agreement of the parties.

2.4 Assignment. Except as provided in this section, neither party may assign any of its rights or obligations under this agreement, either voluntarily or involuntarily (whether by merger, consolidation, dissolution, operation of law, or otherwise), without the prior written consent of the other party. If the Company or any of its affiliates divests itself of any product, its business of providing services of the type provided under this agreement, then the Company may assign to the person or entity acquiring that product or business any of the Company's rights under this agreement relating to that product or business, on the condition that the assignee will also assume the Company's obligations under this agreement relating to that product or business. Any purported assignment in violation of this section will be void.

2.5 Notices. Notices under this agreement must be delivered by one of the following means:

- In writing, signed by the sending party, and sent to the address below by one of the following methods: personal delivery; registered or certified mail, in each case return receipt requested and postage prepaid; or nationally recognized overnight courier, with all fees prepaid (the notice will be effective upon receipt or refusal of delivery by the other party).

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- By the Customer to the Company by e-mail to the address below from a duly authorized individual, with a delivery receipt requested (the notice will be effective upon the Customer receiving confirmation of delivery).
- By the Company to the Customer via DocuSign to the e-mail address below from a duly authorized individual (the notice will be effective upon the Company receiving a DocuSign Delivered status).

The address listed below may be changed by notice in accordance with this section. [A failure to deliver a courtesy copy of a notice does not constitute a notice failure.]

If to the Customer:

Auburn Community Hospital
17 Lansing Street
Auburn, NY 13021
Attention: [Title] President / CEO
Scott Berlucchi [Department]
email:

If to the Company:

Biosense Webster, Inc.
31 Technology Drive
Irvine, CA 92618
Attention: BWI Contract Management
e-mail: ContractsBWI@BWIU.SJNJ.COM

2.6 Waiver. No provision of this agreement may be waived except by a writing signed by the party against whom the waiver is sought to be enforced. No failure to enforce any provision of this agreement constitutes a waiver of future enforcement of that provision or of any other provision of this agreement.

2.7 No Third-Party Beneficiaries. Other than as set forth in this Section 2.7, no one other than the Company and the Customer has any rights, or is entitled to any remedies, under this agreement. Each Third-Party Licensor may enforce its rights under Sections 1.10(a), (b) and (c). General Electric Healthcare may enforce its rights set forth on Schedule D.

2.8 Other Contractual Obligations. Each party represents that it is not prohibited from entering into, or performing its obligations under, this agreement by the terms of any other agreement.

2.9 Publicity and Trademarks. Each party will not, and will cause its affiliates not to, issue any press release or make any announcement regarding this agreement nor use the name or any trademark or service mark of the other party or any of its affiliates without the prior written consent of the other party.

2.10 Confidentiality. (a) Each party shall hold the following "Confidential Information" in strict confidence and not disclose the same to any other person or entity except as provided herein: all information, pricing and terms relating to or contained in this agreement; all product data, trade secrets, financial data, pricing, business plans or any other information received from the other party in implementing this agreement; and all information derived from the foregoing.

(b) Notwithstanding the above:

- (1) A party may disclose Confidential Information to the personnel within its organization and its legal and accounting advisors that require the Confidential Information in connection with the party's rights and obligations under this agreement, provided that the disclosing party requires any such recipient to use the information solely for these purposes and to keep it strictly confidential.
- (2) A party may disclose Confidential Information as required by law, provided that the disclosing party provides reasonable prior notice to the other party to enable such other party to attempt to prevent or limit the disclosure and the disclosing party assists the other party upon request in seeking relief from or limiting the disclosure.
- (3) The Company may disclose this agreement and Confidential Information related to this agreement: to any prospective buyer of rights with respect to a product offered under this agreement or the business of providing the Services, provided that such buyer agrees in writing to use the information solely in that capacity and to keep it strictly confidential; to its affiliates; and to any entity that

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manufactures, markets, co-markets, or distributes any product covered by this agreement, provided that any such entity uses the information solely for these purposes and keeps it strictly confidential.

- (4) Neither party shall be obligated to hold the following information in confidence: information that is or becomes publicly available through no fault of the recipient, information developed by a party without using any Confidential Information, information lawfully possessed by a party before receipt from the disclosing party, and information lawfully disclosed to a party on a nonconfidential basis from a person or entity that is not bound by a duty of confidentiality.
- (5) A party may disclose Confidential Information with the prior written consent of the other party.

2.11 Force Majeure and Product Shortage. Noncompliance with any obligation under this agreement due to an event of force majeure or any other cause beyond the reasonable control of the entity affected will not constitute a breach of this agreement. In the event of a shortage of ASA Product, the Company and its affiliates reserve the right to allocate applicable items among their customers in any manner that they, in their sole discretion, determine is reasonable.

2.12 Audit. In order to verify that the Customer has complied with its obligations under this agreement, the Company may audit all relevant books and records of the Customer. Audits will be on reasonable notice, during regular business hours and limited to one in any 12-month period.

2.13 Compliance with Law. In performing their obligations under this agreement, the Customer and the Company shall comply with all applicable federal and state laws and regulations, including without limitation the Federal Food, Drug and Cosmetic Act, the Prescription Drug Marketing Act, equal-opportunity laws, and fraud and abuse laws.

2.14 Pricing Disclosure. (a) The Customer acknowledges that, by law, it is required to disclose, in any cost reports or claims for reimbursement submitted to Medicare, Medicaid, or certain other health care programs, the cost (including, but not limited to, discounts or any other price reductions) of any product or service purchased under this agreement and, on request, provide to the U.S. Department of Health and Human Services and any state agencies, any invoices, coupons, statements, and other documentation reflecting such costs. The Customer may receive subsequent documentation under some programs reflecting adjustments or allocations to the discounts available hereunder.

(b) In preparing any documentation referred to in this section the Customer may be required to evaluate as a discount, for cost-reporting purposes, the value of any product or service listed as \$0.00 on any invoice.

(c) The Customer should not include as a discount, for cost-reporting purposes, the value of any item that is designated as a sample, or that the Customer knows constitutes a sample, nor should it seek reimbursement for any such items.

(d) The Company recommends that the Customer retain a copy of this agreement and any other documentation provided by the Company regarding any discounts under this agreement.

(e) The Customer may request additional information from the Company to meet its reporting or disclosure obligations by writing to the Company at the address stated in Section 2.5 (Notices) of this agreement.

2.15 Government Program Participation. Each party represents that it has not been excluded from participating in any "federal health care program," as defined in 42 U.S.C. § 1320a-7b(f), nor any other federal or state government payment program, and that it is eligible to participate in the foregoing programs. If either party is excluded or becomes otherwise ineligible to participate in any such program during the term of this agreement, such party will notify the other party of that event within 30 days. Upon occurrence of that event, whether or not such notice is given, either party may terminate this agreement effective upon written notice to the other party.

2.16 Dispute Resolution. (a) Any controversy or claim arising out of or relating to this agreement (including without limitation any controversy or claim involving the parent company, subsidiaries, or affiliates under common control of the Company or Customer (a "Dispute")), shall first be submitted to mediation according to the Mediation Procedures of the International Institute for Conflict Prevention & Resolution ("CPR") (see www.cpradr.org). Such mediation shall be attended on behalf of each party for at least one session by a senior businessperson with authority to resolve the Dispute. Any period of limitations that would otherwise expire between the initiation of a mediation and its conclusion shall be

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extended until 20 days after the conclusion of the mediation. Engaging in mediation will not preclude a party from seeking interim or provisional relief necessary to protect the rights or property of that party. By so doing, such party does not waive any right or remedy under this agreement.

(b) Any Dispute that cannot be resolved by mediation within 45 days of notice by one party to the other of the existence of a Dispute (unless the parties agree to extend that period) shall be resolved by arbitration in accordance with the CPR Rules for Non-Administered Arbitration ("CPR Rules") and the Federal Arbitration Act, 9 U.S.C. §1 et seq., except where they conflict with these provisions, in which case these provisions control. The arbitrator shall follow the CPR Protocol on Disclosure of Documents and Presentation of Witnesses in Commercial Arbitration in managing and ruling on requests for discovery. The arbitrator, by accepting appointment, undertakes to exert best efforts to conduct the process so as to issue an award within eight months of her or his appointment, but failure to meet that timetable shall not affect the validity of the award.

(c) The arbitration must be conducted in New York by one arbitrator appointed in accordance with the CPR Rules. The arbitrator must interpret any dispute arising out of or relating to this agreement in accordance with the laws of New York, without giving effect to its choice of law principles.

(d) THE ARBITRATOR WILL NOT AWARD PUNITIVE, COVER, EXEMPLARY, MULTIPLIED, INDIRECT OR CONSEQUENTIAL DAMAGES, OR DAMAGES FOR LOST PROFITS/REVENUES, DATA OR DATA USE, PREJUDGMENT INTEREST OR ATTORNEYS' FEES OR COSTS, EXCEPT AS MAY BE REQUIRED BY STATUTE AND EACH PARTY IRREVOCABLY WAIVES ANY RIGHT TO SEEK OR COLLECT ANY SUCH DAMAGES, PREJUDGMENT INTEREST, FEES OR COSTS IN ARBITRATION OR ANY JUDICIAL PROCEEDING. EACH PARTY IRREVOCABLY WAIVES ITS RIGHT TO TRIAL OF ANY ISSUE BY JURY.

(e) Any arbitration award, order, or judgment will be final and may be entered in any court of competent jurisdiction.

2.17 Own Use. The Customer must use the ASA Products solely on the Customer's patients, staff, employees, students, and their respective dependents. The Customer will not resell or transfer any ASA Product including, without limitation, in retail outlets or to any affiliate.

2.18 No Set-Off. The Customer will neither deduct nor set-off, from payments under this agreement, amounts allegedly owed to the Customer by the Company or its affiliates under a separate agreement or cause of action.

2.19 Insurance. The Company will maintain product liability and general liability insurance against any insurable claims that are reasonably likely to arise regarding ASA Products purchased from the Company.

2.20 Indemnity. (a) The Company will indemnify the Customer for losses arising from any claim made by any person or entity, other than the Customer, alleging that (i) use of any Company-branded ASA Product resulted in bodily injury to the extent such claims arise out of manufacturing or material defects in that product and provided that the product was used in accordance with Company-approved labeling, or (ii) any Company-branded ASA Product infringes the intellectual property of any other person or entity.

(b) The Company will indemnify the Customer for losses arising from any claim made by any person or entity, other than the Customer, to the extent such losses arise proximately and directly from the gross negligence or willful misconduct of the Company or its employees in the performance of Service under this agreement.

(c) It is a condition to the Company's obligations under this Section 2.20 that the Customer notify the Company promptly of that claim, permit the Company to control the litigation and settlement of that claim, and cooperate with the Company in all matters related thereto, including by making its documents, employees, and agents available as reasonably necessary.

(d) The Company will not be required to indemnify the Customer with respect to any claim arising out of negligence or willful misconduct by the Customer; use of an ASA Product by any person or entity other than in accordance with its labeling misuse or alteration of any ASA Product; or breach by the Customer of its obligations under this agreement.

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(e) The Company may not settle any claim without the consent of the Customer unless there is no finding or admission that the Customer has violated any law or the rights of any person or entity and the sole relief provided is monetary damages that the Company pays in full.

2.21 Direct Agreement. This agreement is unrelated to any agreement with any group purchasing organization of which the Customer is a member. The Company will not pay administrative fees to any group purchasing organization with respect to any purchase under this agreement.

2.22 Offer Expiration. Until fully executed, this agreement constitutes an offer that is valid until **May 2, 2026**. If there are any changes to this agreement or if the Customer does not sign it by that date, then the Company reserves the right to withdraw or modify this offer in its sole discretion. This agreement is not valid until all signatures required below have been made.

2.23 Signatures. This agreement and any amendment to this agreement may be executed electronically or by hand and signed copies may be delivered by mail or by electronic mail in Adobe Portable Format (.pdf) or similar format. Signatures provided and transmitted by a party using such means will be deemed original signatures.

The Company:

BIOSENSE WEBSTER, INC.

By: 

Name: Samantha Ruiz

Title: Sr. Manager, Capital Contracts

Date: 24 March 2026 | 16:29 EDT

The Customer:

AUBURN MEMORIAL HOSPITAL

By: 

Name: Jason Lesch

Title: CFO

Date: 3/17/2026

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SCHEDULE A: SUBSCRIPTIONS

SUBSCRIPTION 1

Customer-Owned Equipment Serial Numbers:

CARTO® 3 System

Install

Location:

17 LANSING ST

AUBURN, NY 13021-1983

Account

Number:

UCN: 1076599

JDE: 2551121

Subscription Activation Date:

July 1, 2028

Subscription End Date:

June 30, 2029

Invoice Schedule (mark one):

- ☐ to be invoiced once for the total Extended Price for all Subscription Years
☒ to be invoiced separately for the Annual Price for each Subscription Year

Q t y	Catalog No.	Description (summary only; see agreement terms for details)	Number of Contract Years	Contract Year 1 Annual Price	Contract Year 2 Annual Price	Contract Year 3 Annual Price	Subscription Extended Price
1	ASA26	New Equipment New Software Includes the following Legacy BWI HSW Products: • C3ADVPRO CARTO® 3 System Base Package and Clinical Module Package, ConfDense, CartoReplay™, PASO™, Ripple, VISITAG Surpoint™, Fam DX, Carto PRIME®, CARTO® LAM, CARTO ELEVATE™, CARTSOUND™ FAM, SPU • EM5402010 CARTO® 3 PIU System Cart • EM5402030 Workstation Cart™ • MA5402201 CARTO® 3 Workstation Cart Dual Monitor Arm • C3SOUND4D CARTOSOUND™ 4D Module • TRUPULSE TRUPULSE™ System (SuperKit) • NGENSY8 nGEN™ System (SuperKit) • MA5402330 nGEN™ Ablation Cart • M5831963P Clamp, nGEN™ Monitor to Bed Rail • MA5402340 Ablation Cart Monitor Arm • EM540004 Yellow Pin Box Includes the following Legacy Third-Party Products: • GES704DICE Vivid™ S70N v206 4D ICE DIMDI DIMENSION with CARTOSOUND and digital interface package • DS3578 9L-D Linear Array Probe (Vivid™ E95 v204, v206; Vivid™ S70N v202, v203, 204, v205, v206) CARTONET® Subscription per Section 1.7; Please see CARTONET® Subscription Agreement for further information. CARTO® 3 System Service Service for Generator(s), Remote Monitor, Pump, Communication Cables and Foot Pedal Upon reasonable request as determined by the Company.					

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CARTO® 3 System moves within the Install Location
(CARTOUNIVU® and extra cables are excluded)

The Existing Equipment is currently covered by the
Company's standard warranty (the "Warranty Coverage").
The Warranty Coverage ends on _____ Service for
the Existing Equipment will begin under this agreement on
and end on _____

TOTAL

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260241422R1 1076599 2551121 Auburn Cmnty Hosp, ASA26 04MAR2026 JA

Page 13 of 20

SCHEDULE B: EXISTING EQUIPMENT

EXISTING EQUIPMENT IDENTIFICATION	
	System #1
Type of Equipment	System Serial Numbers
CARTO®3 System	
Generator	
Generator Monitor	
Generator Pump	
Pulse Field Ablation Generator	
Pulse Field Ablation Generator Remote Monitor	

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SCHEDULE C: EQUIPMENT LOAN

1. "Loaner Term" means the period from the delivery of the Loaned Equipment to the Customer's facility to the earlier of (i) the date the Delayed Equipment is delivered to the Customer's facility, and if installation by the Company is required, installed; and (ii) six months from the date the Loaned Equipment is delivered to the Customer's facility, unless the Company indicates a shorter period via notice.
2. The Loaned Equipment may be a different make or model from the Delayed Equipment and may be new or used at the discretion of the Company. Delivery of the Loaned Equipment is subject to availability.
3. Title to the Loaned Equipment shall remain with the Company or a Company affiliate during and following the Loaner Term. The Customer will not sell, transfer, pledge, sublease or permit a lien or encumbrance to be put on the Loaned Equipment. The Loaned Equipment is to be located at the Customer's facility where first delivered by the Company. The Customer may not move the Loaned Equipment to a new location without the Company's prior written consent. Upon notice, the Company or its designee has a right of quiet entry during regular business hours to inspect and check the condition of the Loaned Equipment and to peaceably retrieve and repossess the Loaned Equipment without a court order upon expiration or earlier termination of this agreement.
4. The Customer bears all risk of loss, damage to or destruction of the Loaned Equipment and in the event of any such loss, damage or destruction shall pay the Company the Customer's eligible price for the equipment. The Customer will provide reasonable care for the Loaned Equipment per the Loaned Equipment's Instructions For Use (IFU), ensure that all standard Loaned Equipment maintenance occurs, and notify the Company immediately of any loss of or damage to the Loaned Equipment. If the Loaned Equipment must be repossessed by the Company due to a Customer payment failure or other breach, upon repossession, the Loaned Equipment must be in the same condition as received, ordinary wear and tear excepted.
5. The Customer shall ensure that all use of the Loaned Equipment is consistent with the Loaned Equipment's U.S. Food and Drug Administration approved labeling; is exclusively for lawful purposes; and consistent with all applicable vendor and manufacturer manuals and instructions. The Customer shall have absolute control, supervision and responsibility over any operators or users of the Loaned Equipment. The Customer will indemnify the Company for any loss, liability, damages, costs, expenses (including, without limitation, third-party reasonable attorneys' fees), and judgments arising as a result of a breach of this section. The Customer must stop all use of the Loaned Equipment at the end of the Loaner Term.
6. Within 10 business days of the end of the Loaner Term, the Customer shall return the Loaned Equipment as directed by the Company and at the Company's expense. When returned, the Loaned Equipment must be in the same condition as initially provided, reasonable wear and tear excepted. Prior to returning the Loaned Equipment, Customer is responsible for decontaminating and sterilizing the Loaned Equipment according to the Loaned Equipment IFU user documentation. If the Loaned Equipment is not timely returned, the Company will invoice the Customer, and the Customer shall pay, the Company's standard rate for each day past the required return date until the Customer has paid an amount equal to the Customer's eligible purchase price for the equipment. If return of the Loaned Equipment is 30 days past due and the Customer has not yet paid an amount equal to the Customer's eligible price for the Loaned Equipment, the Company will invoice the Customer that amount less any amounts paid for that Loaned Equipment under this agreement.
7. "GE Healthcare" means GE Medical Systems Ultrasound & Primary Care Diagnostics, LLC or GE Precision Healthcare, LLC, as applicable. "Siemens" means Siemens Medical Solutions USA, Inc. If the Loaned Equipment is a Siemens or GE Healthcare product (a "Third-Party Loaner"), the Customer may be required to accept additional terms prior to delivery. The Company does not produce the Third-Party Loaner. THE COMPANY MAKES NO EXPRESS OR IMPLIED WARRANTY WHATSOEVER OF MERCHANTABILITY, FITNESS FOR ANY PURPOSE, OR OTHERWISE, REGARDING ANY THIRD-PARTY LOANER. IN NO EVENT WILL THE COMPANY OR ANY COMPANY AFFILIATE BE LIABLE FOR ANY DAMAGES WITH RESPECT TO A THIRD-PARTY LOANER OR THE USE OR PERFORMANCE OF A THIRD-PARTY LOANER; INCLUDING BUT NOT LIMITED TO PUNITIVE, COVER, EXEMPLARY, MULTIPLIED, DIRECT, INDIRECT, OR CONSEQUENTIAL DAMAGES OR DAMAGES FOR LOST PROFITS/REVENUES, DATA OR DATA USE, WHETHER OR NOT FORESEEABLE, AND THE CUSTOMER IRREVOCABLY WAIVES ANY RIGHT TO SEEK OR COLLECT ANY SUCH DAMAGES. THE CUSTOMER AGREES TO SETTLE ANY DISPUTE THAT IT MAY HAVE REGARDING ANY THIRD-PARTY LOANER SOLELY WITH GE HEALTHCARE OR SIEMENS AS APPLICABLE.

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SCHEDULE D GEHC SOFTWARE LICENSE

For purposes of this Schedule D:

- "GEHC End-User" and "customer" means the Customer.
- "GE Healthcare" means GE Medical Systems Ultrasound & Primary Care Diagnostics, LLC or GE Precision Healthcare, LLC, as applicable
- "Product" means a GE Healthcare Product

The Company does not produce the GE Healthcare Products. THE COMPANY MAKES NO EXPRESS OR IMPLIED WARRANTY WHATSOEVER OF MERCHANTABILITY, FITNESS FOR ANY PURPOSE, OR OTHERWISE, REGARDING ANY GE HEALTHCARE PRODUCT. IN NO EVENT WILL THE COMPANY OR ANY COMPANY AFFILIATE BE LIABLE FOR ANY DAMAGES WITH RESPECT TO A GE HEALTHCARE PRODUCT OR THE USE OR PERFORMANCE OF ANY GE HEALTHCARE PRODUCT; INCLUDING BUT NOT LIMITED TO DIRECT, PUNITIVE, COVER, EXEMPLARY, MULTIPLIED, INDIRECT OR CONSEQUENTIAL DAMAGES, OR DAMAGES FOR LOST PROFITS/REVENUES, DATA OR DATA USE, WHETHER OR NOT FORESEEABLE. THE CUSTOMER IRREVOCABLY WAIVES ANY RIGHT TO SEEK OR COLLECT ANY SUCH DAMAGES. THE CUSTOMER AGREES TO SETTLE ANY DISPUTE THAT IT MAY HAVE REGARDING ANY GE HEALTHCARE PRODUCT SOLELY WITH GENERAL ELECTRIC HEALTHCARE.

SOFTWARE LICENSE

1. **Definitions.** "Software" is software developed by GE Healthcare and/or delivered to customer in GE Healthcare's packaging and with its labeling, and Documentation associated with the software; "Third Party Software" is software developed by a third party, and hardware and embedded software that is licensed with the purchase of the hardware, that is delivered to customer in the third party's packaging and with its labeling; "Specifications" are GE Healthcare's written specifications and manuals as of the date the Equipment is shipped. "Documentation" is the online help functions, user instructions and manuals regarding the installation and operation of the Software as made available by GE Healthcare to customer.
2. **License Grant.** GE Healthcare grants customer a non-exclusive, non-transferable, non-sublicensable, perpetual license to use the Software for customer's internal business purposes only. Customer's employees, agents and independent contractors may use the Software, but customer is responsible for their acts. Customer-controlled entities may use the Software, but these entities will agree to these terms and pay additional license fees. Independent contractors that supply products comparable to the Software cannot be provided access to the Software unless GE Healthcare has provided its prior written consent. Customer may make a reasonable number of copies of the Software in machine-readable form for backup, testing or archival purposes. If GE Healthcare provides Third Party Software, customer will comply with the relevant license terms, and licensors are third-party beneficiaries of this Software License.
3. **Additional License Terms.** Customer must not: (i) display or make available the Software to any other entity; (ii) transfer the Software outside the United States or customer's network; (iii) decompile, disassemble or reverse engineer the Software or attempt to learn its source code, structure or algorithms; (iv) modify, translate or create derivative works based on the Software; (v) modify markings, labels or notices of proprietary rights of the Software or Documentation; (vi) release results of testing or benchmarking of the Software; or (vii) use the Software outside of the scope defined in this Software License.

Software and Documentation is licensed to customer, but no title or other ownership interest passes. No rights are granted except as expressly provided in this Software License.

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GEHC End User Warranty Statement

1. Warranty.

1.1. Equipment. For non-customized Equipment purchased from GE HealthCare or its authorized distributors, unless otherwise identified in the Quotation, GE HealthCare warrants that Equipment will be free from defects in title, and, for 1 year from Equipment Acceptance, it will: (i) be free from defects in material and workmanship under normal use and service; and (ii) perform substantially in accordance with the Specifications. The warranty covers parts and labor and only applies to end-users that purchase Equipment from GE HealthCare or its authorized distributors.

1.2. Software. For Software licensed from GE HealthCare, GE HealthCare warrants that: (i) it has the right to license or sublicense Software to Customer; (ii) it has not inserted Disabling Code into Software; (iii) it will use efforts consistent with industry standards to remove viruses from Software before delivery; and (iv) unless otherwise identified in the Quotation, for 90 days from Software Acceptance, Software will perform substantially in accordance with the Documentation. "Disabling Code" is code designed to interfere with the normal operation of Software, but code that prohibits use outside of the license scope is not Disabling Code.

1.3. Services. GE HealthCare warrants that its Service will be performed by trained individuals in a professional, workman-like manner.

1.4. Used Equipment. Certain Used Equipment is provided with GE HealthCare's standard warranty for the duration identified in the Quotation, but in no event more than 1 year. If no warranty is identified, the Used Equipment is provided "AS IS" and is not warranted by GE HealthCare.

1.5. Accessories and Supplies. Warranties for accessories and supplies are at www.gehealthcare.com/accessories.

1.6. Third Party Product. Third Party Product is covered by the third party's warranty and not GE HealthCare's warranties.

1.7. Subscription Products. Unless otherwise specified, Products provided via Subscription do not include a warranty.

1.8. SaaS Offerings. Unless otherwise specified, SaaS Offerings do not include a warranty.

2. Remedies. If Customer promptly notifies GE HealthCare of its claim during the warranty and makes the Product available, GE HealthCare will: (i) at its option, repair, adjust or replace the non-conforming Equipment or components; (ii) at its option, correct the non-conformity or replace the Software; and/or (iii) re-perform non-conforming Service. Warranty service will be performed from 8am to 5pm local time, Monday-Friday, excluding GE HealthCare holidays (exceptions to these coverage hours will be communicated by GE HealthCare). Service provided outside of established warranty hours are subject to GE HealthCare's then-current service rates and subject to personnel availability. GE HealthCare may require warranty repairs to be performed via a secure, remote connection or at an authorized service center. If GE HealthCare replaces Equipment or a component, the original becomes GE HealthCare property and Customer will return the original to GE HealthCare within 5 days after the replacement is provided to Customer. Customer cannot stockpile replacement parts. Prior to returning Equipment to GE HealthCare, Customer will: (a) obtain a return to manufacturer authorization; and (b) back up and remove all information stored on the Equipment (stored data may be removed during repair). Customer is responsible for damage during shipment to GE HealthCare. The warranty for a Product or component provided to correct a warranty failure is the unexpired term of the warranty for the repaired or replaced Product.

At its discretion GE HealthCare may provide a loaner unit. If a loaner unit is provided: (i) it is for Customer's temporary use at the location identified in the Quotation; (ii) it will be returned to GE HealthCare within 5 days after the Product is returned to Customer, and if it is not, GE HealthCare may repossess it or invoice Customer for its full list price; (iii) it, and all programs and information pertaining to it, remain GE HealthCare property; (iv) risk of loss is with Customer during its possession; (v) Customer will maintain and return it in proper condition, normal wear and tear excepted, in accordance with GE HealthCare's instructions; (vi) it will not be repaired except by GE HealthCare; (vii) GE HealthCare will be given reasonable access to it; (viii) Customer is not paying for its use, and Customer will ensure charges or claims submitted to a government healthcare program or patient are submitted accordingly; and (ix) prior to returning it to GE HealthCare, Customer will delete all information, including PHI, from it and its accessories, in compliance

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with industry standards and instructions provided by GE HealthCare.

NO OTHER EXPRESS OR IMPLIED WARRANTIES, INCLUDING IMPLIED WARRANTIES OF NON-INFRINGEMENT, MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WILL APPLY. SERVICE MANUALS AND DOCUMENTATION ARE PROVIDED "AS IS." GE HEALTHCARE DOES NOT GUARANTEE PRODUCTS WILL OPERATE WITHOUT ERROR OR INTERRUPTION.

3. Limitations. GE HealthCare has no obligation to Customer for warranty claims if Customer uses the Product: (a) for non-medical or entertainment use or outside the United States; (b) in combination with software, hardware, or services not recommended in writing by GE HealthCare; and (c) in a manner or environment for which GE HealthCare did not design or license it, or in violation of GE HealthCare's recommendations or instructions. GE HealthCare has no obligation to Customer for warranty claims for damages or deficiencies outside GE HealthCare's reasonable control.

In addition, these warranties do not cover: (i) defects or deficiencies from improper storage or handling, maintenance or use that does not conform to Specifications and/or Documentation, inadequate backup or virus protection, cyber-attacks, failure to maintain power quality, grounding, temperature, and humidity within Specifications and/or Documentation, or other misuse or abuse; (ii) repairs due to power anomalies or any cause external to the Products or beyond GE HealthCare's control; (iii) payment or reimbursement of facility costs arising from repair or replacement of the Products or parts; (iv) planned maintenance (unless applicable to Equipment), adjustment, alignment, or calibration; (v) network and antenna installations not performed by GE HealthCare or its subcontractors; (vi) lost or stolen Products; (vii) Products with serial numbers altered, defaced or removed; (viii) modification of Product not approved in writing by GE HealthCare; (ix) Products immersed in liquid; (x) for Mobile Equipment, defects or deficiencies from mobile use outside of normal transportation wear and tear (excluding OEC regarding transportation wear and tear) and (xi) replacement of disposable or consumable items.

4. Exceptions to Standard Equipment Warranty Periods. The warranty period for the following Products is different than the standard 1 year period for Equipment.

AVS (Advanced Visualization Solutions)

Batteries: 3 months; except for x-ray nickel cadmium or lead acid batteries and ultrasound batteries, which are covered under the standard warranty.

HealthNet Lan, Advantage Review — Remote Products: 3 months

LOGIQ e, Venue 50, Venue Go, Venue Fit, Versana Active and related transducers purchased with them: 5 years

LOGIQ E10 and E10S: Console Warranty: 2 years; excluding batteries (internal & external), printers and peripherals, TEE cleaning & storage systems, and General Specialty/TEE Probes.

LOGIQ F8 (2016 and newer), LOGIQ V5, Vivid T8 and Vivid T9 and related transducers purchased with them: 3 years; excluding batteries (internal & external), printers and peripherals, TEE cleaning & storage system and TEE Probes.

LOGIQ Fortis, LOGIQ Totus and Probes and related transducers purchased with them: 2 years; excluding batteries (internal & external), printers and peripherals, TEE cleaning & storage system, and TEE Probes.

LOGIQ P9 (R2.5 and newer) and related transducers purchased with them: 5 years

LOGIQ P10: 5 years

LOGIQ V1, LOGIQ V2, Vivid iq, Vscan and Vscan Extend and related transducers purchased with them: 3 years; excluding: batteries (internal & external), printers and peripherals, TEE cleaning & storage system, ICECord Connector and printers, TEE Probes, Venue 50 Docking Cart, Venue Go Cart, Venue Go mounting cradle, LOGIQ e Isolation Cart, LOGIQ e Docking Cart, LOGIQ V1/V2 Cart and Vivid IQ cart.

OEC New or Exchange Service Parts: 4 months

Ultrasound Partial System Equipment Upgrades: 3 months

Versana Premier and related transducers purchased with them: 5 years

Versana Balance and related transducers purchased with them: 5 years

Venue and related transducers purchased with them: 5 years

Vivid Pioneer: 2 years; excluding: batteries (internal & external), printers and peripherals, TEE cleaning & storage systems, and General Specialty/TEE Probes.

Vivid S70N and Vivid S70N Dimension: 2 years

Voluson Performance 18, Voluson Expert 18, Voluson Expert 20, and Voluson Expert 22 Consoles: 5 years

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Voluson Performance 18, Voluson Expert 18, Voluson Expert 20, and Voluson Expert 22 Probes: Tiered coverage (Years 1 – 3 – all probes purchased with console; Years 4 – 5 – 1 probe per system, per year).

Voluson P8 BT18 and newer, Voluson Signature 18, Voluson Signature 20, Voluson SWIFT, Voluson S8 Touch and Voluson S10 Expert, LOGIQ F8 2016 and newer, LOGIQ V5, Vivid T8 and Vivid T9 and related transducers purchased with them: 3 years

Vscan Air and Vscan Air Vet Warranty: 3 years; excluding: battery and peripherals which are covered under the standard warranty.

Venue Sprint: 3 years; excluding: battery, cart and peripherals which are covered under the standard warranty.

Imaging

Blood pressure cuffs and related adaptors and air hoses: 1 month

CARESCAPE V100 and VC150 Vital Signs Monitors: 2 years

Exergen: 4 years

GE Lunar Bone Mineral Densitometry and Metabolic Health: Warranty includes 1 annual PM. Direct warranty claims to Probo Medical, LLC (together with its affiliate Alpha Source, LLC) at 1-866-907-9745.

MAC 5, MAC 7, MAC 2000, and MAC 3500: 3 years

MR Systems: Warranty excludes: (i) a defect or deficiency from failure of water chillers supplied or serviced by Customer, and (ii) for MR systems with LHe/LN or shield cooler configured superconducting magnets (other than LCC magnets) any cryogen supply, cryogenic service or service to the magnet, cryostat, coldhead, shield cooler compressor or shim coils unless the need for supply or service is caused by a defect in material or workmanship covered by this warranty.

Partial System Upgrades—limited to only upgraded components—for CT, MR, X-Ray, IGS, PET (Scanners, Cyclotrons and Chemistry Labs) and Nuclear systems: 6 months. However, (i) the wireless detector components of an Optima XR240amx having received a partial system upgrade with are covered for 1 year on the wireless detector; (ii) Artist Evo 1.5T and Premier Evo 3T systems having received a partial upgrade shall be covered by a one year, full system warranty.

Performix 160A (MX160) Tubes: 3 years

Portrait VSM: 2 years

Proteus XR/a, Definium and Precision 500D X-Ray Systems: Warranty excludes collimator bulbs.

SEER 1000: 2 years

X-Ray High Voltage Rectifiers and TV Camera Pick-Up Tubes: 6 months

X-Ray Wireless Digital Detectors: In addition to the standard warranty, GE HealthCare will provide coverage for detector damage due to accidental dropping or mishandling. If accidental damage occurs GE HealthCare will provide Customer with 1 replacement detector during warranty at no additional charge. If subsequent accidental damage occurs during warranty period, each additional replacement will be provided for \$30,000 per replacement. This additional coverage excludes damage caused by any use that does not conform to original equipment manufacturer ("OEM") guidelines, use that causes fluid invasion, holes, deep scratches or the detector case to crack, and damage caused by abuse, theft, loss, fire, power failures or surges. If the warranty is voided by these conditions, repair or replacement is Customer's responsibility.

PCS (Patient Care Solutions)

Those items accompanied by an asterisk (*) remain covered by the standard 1 year warranty; only additional coverage is described (e.g., a Product with 3 years total parts warranty is described as having 2 years additional parts only coverage, which covers the Product beyond the standard 1 year parts and labor coverage).

B40 Monitors*: 2 years, parts only (excluding displays).

B105 B125, and B155 Patient Monitors: 3 years

CARESCAPE Monitors B450, B650, B850, Canvas 1000, and Canvas Smart display*: 3 years, parts only.

CARESCAPE ONE*: 3 years, parts only.

Corometrics® Fetal Monitoring*: 2 years, parts only.

Corometrics® Nautilus Transducers: 2 years

Lullaby Phototherapy System—lamp assembly only: 3 years.

Microenvironment and Phototherapy consumable components: 1 month

Micromodules*: 3 year, parts only.

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Novii Wireless Patch System-Interface and Pods: Customer may elect to purchase coverage for Pod damage due to accidental dropping or mishandling. This coverage excludes patches and cables, which are considered Product accessories, and are warranted pursuant to Section 1.5 above.

Tec 6 Plus Vaporizers: 2 years

Tec 850 Vaporizers: 3 years

(Rev 11.25)

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Page 20 of 20

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KATHY HOCHUL
Governor

**Department
of Health**

JAMES V. McDONALD, M.D., M.P.H.
Acting Commissioner

MEGAN E. BALDWIN
Acting Executive Deputy Commissioner

**PHYSICIST LETTER OF CERTIFICATION
FOR
DIAGNOSTIC RADIOGRAPHY, COMPUTED TOMOGRAPHY (CT) FACILITIES,
INTERVENTIONAL IMAGING, RADIATION THERAPY FACILITIES, PROTON THERAPY,
NUCLEAR MEDICINE AND/OR MAGNETIC IMAGING FACILITIES**

Date:
CON Number:
Facility Name:
Facility ID Number:
Facility Address:

NYS Department of Health/Office of Health Systems Management
Center for Health Care Facility Planning, Licensure, and Finance
Bureau of Architectural and Engineering Review
ESP, Corning Tower, 18th Floor
Albany, New York 12237
To The New York State Department of Health:

I hereby certify that for:

- A. Diagnostic Radiography, Computed Tomography (CT) Facilities, Interventional Imaging and Radiation Therapy Facilities;
 - 1. I have been retained by the aforementioned facility, to provide medical physicists services, in conjunction with the construction documents prepared by a NYS Licensed Architect/Engineer.
 - 2. I have exercised due diligence and, to the best of my knowledge, information and belief, the radiation protection designed and specified for the above-referenced project is in substantial compliance with the requirements of the relevant technical standards listed in 10 NYCRR 711.2 including but not limited to Section 2.2-3.4 (Imaging) and (2) Section 2.2-3.5 (Interventional Imaging, of the 2014 Guidelines for Design and Construction of Hospital and Health Care Facilities and that the radiation exposure to the public and staff is designed to be as low as is reasonably achievable (ALARA), based on the work load provided to me by the facility for the proposed equipment and sound radiation protection principles.
 - 3. Further, I agree to ensure that a current report detailing the extent of the radiation protection by the facility and the design of the protection systems will be made available to the Regional Office staff of the NYS Department of Health during the final inspection of the facility. I have informed the applicant that such report must be maintained on site as a permanent record.
- B. Magnetic Resonance Imaging (MRI) Facilities, Interventional and Intraoperative MRI (I-MRI) Facilities;
 - 1. I further certify that I have exercised due diligence and, to the best of my knowledge, information and belief the MRI magnetic shielding and radio frequency shielding as designed and specified are in substantial compliance with the requirements of the relevant technical standards listed in 10 NYCRR

PHYSICIST LETTER OF CERTIFICATION

711.2, including but not limited to Section 2.2-3.4 (Imaging) and (2) Section 2.2-3.5 (Interventional Imaging, of the 2014 Guidelines for Design and Construction of Hospital and Health Care Facilities.

2. I have reviewed the manufacturer's certifications accompanying all relevant equipment to ensure that such certifications satisfy all the requirements for patient, operator, and public safety.
3. I agree to submit an Architectural floor plan identifying the proposed MRI location, delineating all areas of the room and including the 5 Gauss line in three-dimensional planes, demonstrating that the electromagnetic and radio frequency environment is appropriate for the locations indicated are being submitted simultaneously with this Letter of Certification.

C. Description (Circle applicable facility type):

Diagnostic Radiography, Computed Tomography (CT) Facilities, Interventional Imaging, Radiation Therapy Facilities, Proton Therapy, Nuclear Medicine, Magnetic Resonance Imaging (MRI) Facilities

Signature of Medical Physicist

Name of Medical Physicist (Print)

Business Address

Business Telephone

The undersigned applicant understands and agrees that, notwithstanding this Medical Physicist certification the Department of Health shall have continuing authority to (a) review the plans submitted herewith and/or inspect the work with regard thereto, and (b) withdraw its approval thereto. The applicant shall have a continuing obligation to make any changes required by the Division to comply with the above- mentioned codes and regulations, whether or not physical plant construction or alterations have been completed.

Authorized Signature for Applicant

Date

Name (Print)

Title

Notary signing required for the applicant

STATE OF NEW YORK

)

) SS:

County of _____

)

On the ____ day of _____ 20__, before me personally appeared _____, to me known, who being by me duly sworn, did depose and say that he/she is the _____ of the _____, the facility described herein which executed the foregoing instrument; and that he/she signed his/her name thereto by order of the governing authority of said facility.

(Notary) _____

PHYSICIST LETTER OF CERTIFICATION

**New York State Department of Health
Health Equity Impact Assessment Requirement Criteria**

Effective June 22, 2023, a Health Equity Impact Assessment (HEIA) will be required as part of Certificate of Need (CON) applications submitted by facilities (Applicant), pursuant to Public Health Law (PHL) § 2802-b and corresponding regulations at Title 10 New York Codes, Rules and Regulations (NYCRR) § 400.26. This form must be used by the Applicant to determine if a HEIA is required as part of a CON application.

Section A. Diagnostic and Treatment Centers (D&TC) - This section should only be completed by D&TCs, all other Applicants continue to Section B.

Table A.

Diagnostic and Treatment Centers for HEIA Requirement	Yes	No
Is the Diagnostic and Treatment Center's patient population less than 50% patients enrolled in Medicaid and/or uninsured (combined)?		
Does the Diagnostic and Treatment Center's CON application include a change in controlling person, principal stockholder, or principal member of the facility?		

- ***If you checked "no" for both questions in Table A, you do not have to complete Section B – this CON application is considered exempt from the HEIA requirement. This form with the completed Section A is the only HEIA-related document the Applicant will submit with this CON application. Submit this form, with the completed Section A, along with the CON application to acknowledge that a HEIA is not required.***
- ***If you checked "yes" for either question in Table A, proceed to Section B.***

Section B. All Article 28 Facilities

Table B.

Construction or equipment	Yes	No
Is the project minor construction or the purchase of equipment, subject to Limited Review, <u>AND</u> will result in one or more of the following: a. Elimination of services or care, and/or; b. Reduction of 10%* or greater in the number of certified beds, certified services, or operating hours, and/or; c. Expansion or addition of 10%* or greater in the number of certified beds, certified services or operating hours? <i>Per the Limited Review Application Instructions: Pursuant to 10 NYCRR 710.1(c)(5), minor construction projects with a total project cost of less than or equal \$15,000,000 for general hospitals and</i>	✓	

<i>less than or equal to \$6,000,000 for all other facilities are eligible for a Limited Review.</i>		
Establishment of an operator (new or change in ownership)	Yes	No
Is the project an establishment of a new operator or change in ownership of an existing operator providing services or care, <u>AND</u> will result in one or more of the following: a. Elimination of services or care, and/or; b. Reduction of 10%* or greater in the number of certified beds, certified services, or operating hours, and/or; c. Change in location of services or care?		✓
Mergers, consolidations, and creation of, or changes in ownership of, an active parent entity	Yes	No
Is the project a transfer of ownership in the facility that will result in one or more of the following: a. Elimination of services or care, and/or; b. Reduction of 10%* or greater in the number of certified beds, certified services, or operating hours, and/or; c. Change in location of services or care?		✓
Acquisitions	Yes	No
Is the project to purchase a facility that provides a new or similar range of services or care, that will result in one or more of the following: a. Elimination of services or care, and/or; b. Reduction of 10%* or greater in the number of certified beds, certified services, or operating hours, and/or; c. Change in location of services or care?		✓
All Other Changes to the Operating Certificate	Yes	No
Is the project a request to amend the operating certificate that will result in one or more of the following: a. Elimination of services or care; b. Reduction of 10%* or greater in the number of certified beds, certified services, or operating hours, and/or; c. Expansion or addition of 10%* or greater in the number of certified beds, certified services or operating hours, and/or; d. Change in location of services or care?	✓	

*Calculate the percentage change from the number of certified/authorized beds and/or certified/authorized services (as indicated on the facility's operating certificate) specific to the category of service or care. For example, if a residential health care facility adds two ventilator-dependent beds and the facility had none previously, this would exceed the 10% threshold. If a hospital removes 5 out of 50 maternity certified/authorized beds, this would meet the 10% threshold.

- **If you checked "yes" for one or more questions in Table B**, the following HEIA documents are required to be completed and submitted along with the CON application:
 - HEIA Requirement Criteria with Section B completed
 - HEIA Conflict-of-Interest

- HEIA Contract with Independent Entity
 - HEIA Template
 - HEIA Data Tables
 - Full version of the CON Application with redactions, to be shared publicly
- ***If you checked “no” for all questions in Table B***, this form with the completed Section B is the only HEIA-related document the Applicant will submit with this CON application. Submit this form, with the completed Section B, along with the CON application to acknowledge that a HEIA is not required.

New York State Department of Health

Health Equity Impact Assessment Template

Refer to the Instructions for Health Equity Impact Assessment Template for detailed instructions on each section.

SECTION A. SUMMARY

1. Title of project	Addition of Electrophysiology Lab
2. Name of Applicant	Auburn Community Hospital
3. Name of Independent Entity, including lead contact and full names of individual(s) conducting the HEIA	Elm and Oak Health, formerly MP Care Solutions Colleen Boyle , Manager Product Strategy and Compliance, cboyle@elmandoakhealth.com Howard Brill , SVP Population Health Management and Quality hbrill@elmandoakhealth.com Andrea Indiano , Project Manager aindiano@elmandoakhealth.com Jeanette Fafone , Administrative Coordinator, jfafone@elmandoakhealth.com Stephen Kay , Health Systems Analyst skay@elmandoakhealth.com
4. Description of the Independent Entity's qualifications	Elm and Oak Health, formerly Monroe Plan, was founded in 1970 to provide innovative means of providing healthcare for the underserved in Upstate New York. We have over fifty years of experience partnering with providers, managed care organizations, and community-based organizations to reduce disparities, bringing a deep understanding of all facets of healthcare and its constituencies. We are a data-driven organization experienced in delivering actionable data and designing data-informed and financially sustainable programs. We have long-term relationships with stakeholders and community organizations and a large team providing direct face-to-face care and outreach to vulnerable persons throughout the Upstate Region.
5. Date the Health Equity Impact Assessment (HEIA) started	3/13/2026
6. Date the HEIA concluded	5/5/2026

7. Executive summary of project (250 words max)

The project is the addition of an electrophysiology lab to Auburn Community Hospital. An electrophysiology lab diagnoses and treats heart arrhythmias. Adding this service increases Auburn Community Hospital's ability to treat heart conditions locally. The service is expected to treat 80 persons during the first year and has the capacity for 200 persons per year.

8. Executive summary of HEIA findings (500 words max)

The project supports the availability of local cardiac specialty services in Cayuga County, building on the cardiac catheterization lab established in January 2026 at Auburn Community Hospital. Cayuga County is a rural county whose residents previously needed to travel to Syracuse or Rochester for advanced cardiac services. Public transportation in the county and cross-county is limited. The lack of local availability leads to delayed treatment and treatment avoidance for time-sensitive conditions, which can result in very serious and life-threatening acute conditions. The impact is exacerbated for older, low-income persons living in more remote, rural areas of the county. The local availability of these services potentially reduces those delays, improving outcomes.

Because the project involves the addition of a highly specialized and technical service, it was difficult for several stakeholders to directly comment on the specific service. Instead, the discussions focused on the overall needs in the community for specialty services, the challenges of travel and transportation, collaborative relationships with the hospital, and concerns with staffing and quality. In addition to transportation barriers, there was concern about financial difficulties faced by uninsured residents and those with high-deductible plans. The project was strongly supported because of significant barriers to access and limited local availability of advanced services. The project was also supported by direct consumers and residents, who viewed services that helped them and their treating providers positively and reduced their travel time.

Among the community-based organizations, there were two common threads in the discussions regarding better adaptation to the needs of vulnerable and underserved persons. The first involved improving collaboration with community-based organizations. Communication could be improved, particularly when involving patients with behavioral health difficulties. The second involved staffing and quality. Specifically mentioned were concerns about adequate support staff and not relying on floating staff. While the electrophysiology lab is not likely to benefit from outreach or community education, there is a need in the community for general healthcare education and information about how to navigate the healthcare system.

SECTION B: ASSESSMENT

For all questions in Section B, please include sources, data, and information referenced whenever possible. If the Independent Entity determines a question is not applicable to the project, write N/A and provide justification.

STEP 1 – SCOPING

1. Demographics of service area: Complete the “Scoping Table Sheets 1 and 2” in the document “HEIA Data Tables”. Refer to the Instructions for more guidance about what each Scoping Table Sheet requires.

The project is the addition of an electrophysiology lab at Auburn Community Hospital. The project’s service area is Cayuga County. Scoping Sheets 1 and 2 were completed using the U.S. Census Bureau’s 5-year estimates for ZCTAs. Racial and ethnic distributions by ZCTA are displayed in Figure 1. The county’s geography is elongated, with the city of Auburn, where the hospital is located, in the center but with the northern and southern parts of the county 20 to 30 miles distant.

The total population of the service area is 73,123. Over one-third of the service area population lives in the city of Auburn. Zip code 13021, where the city and the hospital are located, has over half of the service area’s population. 87.3% of the population is White, 3.5% is Black or African American, 0.2% is American Indian and Alaska Native, 0.7% is Asian, 1.5% is some other race, and 6.8% multi-race. 3.7% is Hispanic or Latino.

Cayuga County ranks 31st in New York State poverty in 2020 (NYS Office of State Comptroller 2022). The overall poverty rate was 9.1%. Poverty rates in the ZCTAs range from 0% to 18.8%. 47.0% of the population has public health insurance coverage, and 4.4% have no health insurance coverage. 14.4% of the population has a disability. The service area includes HRSA-designated underserved areas and medical professional shortage areas. Cayuga County is a rural county.

The Community Health Needs Assessment (Mouton et al., 2025) identified preventing chronic disease, including those related to the heart, as a major community health need. Hospitalizations related to heart diseases, potentially preventable heart failure hospitalizations, and heart disease mortality rates are higher in Cayuga County compared to New York State averages. The Needs Assessment reported that significant racial and ethnic disparities occur in chronic disease.

Areas of high concern in the New York State Prevention Agenda include potentially preventable hospitalizations among adults, with the age-adjusted rate per 10,000 at 141.5 in 2024, compared to the prevention agenda objective of 89.2 and a New York State average of 93.7 per 10,000. The difference in

premature deaths before age 65 years between Black non-Hispanic individuals and White, non-Hispanic individuals was 37.2% compared to the prevention agenda objective of 18.4%, and New York State average of 20.2% in 2023.

Sources:

American Community Survey 2023. "Five-year Estimates."

Moulton, Christine, Clare Kelley, and Keith Bubblo. 2025. "COMMUNITY HEALTH NEEDS ASSESSMENT & COMMUNITY SERVICE PLAN: AUBURN COMMUNITY HOSPITAL."

New York State Department of Health. 2025. "Prevention Agenda Tracking Dashboard."
https://apps.health.ny.gov/public/tabvis/PHIG_Public/pa/reports/#county.

NYS Office of the Comptroller. 2022. "New Yorkers in Need: A Look at Poverty Trends in New York State for the Last Decade | Office of the New York State Comptroller." <https://www.osc.ny.gov/reports/new-yorkers-need-look-poverty-trends-new-york-state-last-decade>.

2. Medically underserved groups in the service area: Please select the medically underserved groups in the service area that will be impacted by the project:
- ☒ X Low-income people
 - ☒ X Racial and ethnic minorities
 - ☐ Immigrants
 - ☐ Women
 - ☐ Lesbian, gay, bisexual, transgender, or other-than-cisgender people
 - ☐ People with disabilities
 - ☒ X Older adults
 - ☐ Persons living with a prevalent infectious disease or condition
 - ☒ X Persons living in rural areas
 - ☒ X People who are eligible for or receive public health benefits
 - ☒ X People who do not have third-party health coverage or have inadequate third-party health coverage
 - ☐ Other people who are unable to obtain health care
 - ☐ Not listed (specify):

3. For each medically underserved group (identified above), what source of information was used to determine the group would be impacted? What information or data was difficult to access or compile for the completion of the Health Equity Impact Assessment?

Low-income people

The medical and epidemiological literature identifies significant disparities and impacts of poverty on cardiovascular and heart disease (Hamad et al. 2020; Metkus 2024; Lancet Regional Health 2025). A statistical model of heart disease for persons on Medicare who also have diabetes estimates that 60% of excess heart disease for low-income persons is due to poverty rather than medical and demographic risk factors (Zhou et al. 2025). In addition, there are disparities in ablation for atrial fibrillation treatment for low-income zip codes compared to higher-income zip codes (Nathan et al. 2026). Ablation for atrial fibrillation is a treatment procedure performed in electrophysiology labs.

The overall poverty rate in the service area is 9.1%.

Racial and ethnic minorities

Similarly, there is an extensive literature showing disparities in heart disease prevalence, outcomes, mortality, and treatment, for racial and ethnic minorities compared to Whites (Zhou et al. 2025; Thomas et al. 2022; Nathan et al. 2026). These disparities include the treatment of cardiac arrhythmias, which show reduced utilization for Blacks, Hispanics, and Asians compared to White individuals (Moinul et al. 2025).

The differences in Black versus White age-adjusted mortality rates are persistent and large, about 1/3 higher for Blacks compared to Whites, and have continued over a twenty-year period since 1999 (Kyalwazi et al. 2022). The service area is 87.3% White, with 12.7% non-White or multi-race. The Black population is estimated at 3.5%.

Older adults

The risk of heart disease increases with age, with 30 to 40% of acute coronary heart disease occurring in persons age 75 or older (American Heart Association 2022; CDC 2024; National Institute on Aging 2024).

21.1% of the service area population is age 65 or older.

Persons living in rural areas

Mortality disparities between urban and rural areas for cardiovascular and heart disease have increased and are significant (Ajmal, Javed, and Corban 2026; American Heart Association 2024; Marinacci et al. 2025; Pierce et al. 2025; Zhou et al. 2025). The disparities are related to social determinants of health, increased behavioral risk factors, reduced availability of primary health care and access to specialty care, and differences in health care quality between urban and rural areas in the United States.

Cayuga County is a rural county.

People who are eligible for or receive public health benefits,

People who do not have third-party health coverage or have inadequate third-party health coverage

Community stakeholders identified that persons with public health benefits, high-deductible plans, and those lacking insurance may face access issues to specialty care in the Central New York region.

In the service area, 47.0% of the population has public coverage, and 4.4% have no health insurance coverage. The available data does not provide insight into persons on high-deductible plans that might impact access.

Sources:

Ajmal, Muhammad, Ayesha Javed, and Michel T. Corban. 2026. "Cardiovascular Care in Rural America: Challenges, Insights, and Strategies for Meaningful Improvement." *The American Journal of Medicine* 139(3):250–52.
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4. How does the project impact the unique health needs or quality of life of each medically underserved group (identified above)?

Low-income people

- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.
- Reduces delays in receiving diagnostic and treatment services for heart arrhythmia patients, which can affect outcomes and risks for stroke.
- Because of limited cross-county public transportation, local services may reduce the costs of travel and barriers to care for low-income persons.

Racial and ethnic minorities

- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.
- Reduces delays in receiving diagnostic and treatment services for heart arrhythmia patients, which can affect outcomes and risks for stroke.
- There are significant disparities in prevalence, treatment, and outcomes related to race for arrhythmia patients. By reducing delays and easing transportation barriers, the project may positively impact disparities in treatment.

Older adults

- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.
- Reduces delays in receiving diagnostic and treatment services for heart arrhythmia patients, which can affect outcomes and risks for stroke.
- Transportation barriers are particularly severe for older persons, especially in the winter. Locally available services reduces those barriers.

Persons living in rural areas

- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.
- Reduces delays in receiving diagnostic and treatment services for heart arrhythmia patients, which can affect outcomes and risks for stroke.
- As a rural county with limited cross-county public transportation, locally available services provide improved access for residents.

People who are eligible for or receive public health benefits

- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.
- Reduces delays in receiving diagnostic and treatment services for heart arrhythmia patients, which can affect outcomes and risks for stroke.
- The Applicant accepts Medicaid and Medicare.
- The Applicant provides public benefit navigation support and financial assistance. This potentially assists persons who are eligible for Medicaid or other public health benefits but may not be currently enrolled.

People who do not have third-party health coverage or have inadequate third-party health coverage

- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.
- Reduces delays in receiving diagnostic and treatment services for heart arrhythmia patients, which can affect outcomes and risks for stroke.
- The Applicant accepts Medicaid and Medicare.
- The Applicant provides public benefit navigation support and financial assistance. Persons without third-party health coverage or high-deductible plans potentially benefit from financial assistance or support in seeking better health insurance coverage.

5. To what extent do the medically underserved groups (identified above) currently use the service(s) or care impacted by or as a result of the project? To what extent are the medically underserved groups (identified above) expected to use the service(s) or care impacted by or as a result of the project?

For the purposes of the assessment, outpatient electrophysiology and pacemaker services were analyzed for residents of Cayuga County using the SPARCS data for 2024. These services may also be received inpatient, but the specific procedure codes were not returned for inpatient discharges. More generic revenue or DRGs will not be specific enough to identify the project's impact.

In 2024, there were 225 discharges for service area residents. The number of discharges has increased rapidly during the past three years:

Table 1 Change in Number of Discharges for Service Area Residents

Year	Discharges for Service Area Residents
2022	139
2023	201
2024	225

The increase in discharges is 20.6% per year. The number of distinct patients in 2024 was 217.

The Applicant reports that in 2024, 558 patients with atrial fibrillation and 74 with atrial flutter were seen. These are patients who are potentially a pool for electrophysiology services. The Applicant notes that not all of these patients would be appropriate for the project services: it reflects an upper threshold of current patients.

Using SPARCS, there were 680 patients at Auburn Community Hospital who had a primary diagnosis of either atrial fibrillation or atrial flutter in 2024.

The electrophysiology lab is expected to see 80 patients in its first year of operation and is planned to have a capacity for 200 patients per year. This capacity aligns with the SPARCS-based 2024 estimate of annual discharges for electrophysiology services.

Low-income people

Previously, Medicaid utilization provided a proxy for estimating the low-income persons impacted by the change. At the time of this assessment, the payer information has been temporarily withdrawn from the SPARCS data and cannot be used as a proxy.

As noted in questions 1 and 3, the poverty rate in the service area is 9.1%

Racial and ethnic minorities

The persons receiving these services in the service area were 96.8% White. Other races are not reportable due to the SPARCS reporting threshold. There were also fewer than 10 persons identified as having Hispanic or Latino ethnicity.

The proportion of non-White persons receiving these services is well below the general population proportion of 12.7%. However, a significant portion of the 12.7% is persons responding multi-race, which is not always well-captured in hospital race and ethnicity data. The low proportion may also reflect treatment disparities found in the national literature.

For the patients diagnosed with atrial fibrillation or atrial flutter at Auburn Community Hospital in 2024, 97.8% were White. Other races and Hispanic/Latino ethnicity are below SPARCS reporting thresholds.

Older adults

The average age of persons receiving these services was 68.7 years, with 68% over 65 years of age.

For the potential patient pool at Auburn Community Hospital, the average age was 73.6 years, with 80% over 65 years of age.

Persons living in rural areas

The service area is a rural county.

People who are eligible for or receive public health benefits, People who do not have third-party health coverage or have inadequate third-party health coverage

Payer information has been temporarily removed from SPARCS data; as a result, the service area and hospital distribution of relevant services by payer are not available.

For acute inpatient non-newborn discharges at Auburn Community Hospital, the 2024 Institutional Cost Report indicated that 64.8% of discharges were paid by Medicare, 16.8% by Medicaid, and 3.2% were uninsured, self-pay, or charity.

Sources:

Applicant

SPARCS 2022-2024.

6. What is the availability of similar services or care at other facilities in or near the Applicant's service area?

Table 1 shows the distance to alternate facilities. These facilities are outside of the service area but are the current location for residents' utilization.

Table 2 Distance of Alternate Facilities from Auburn Community Hospital

Facility Name	Distance (miles)
St. Joseph's Hospital	22.5
University Hospital	22.6
Crouse Hospital	22.6
Cayuga Medical Center	32.6
Rochester General Hospital	54.5
Strong Memorial Hospital	55.1

Source: SPARCS 2024

7. What are the historical and projected market shares of providers offering similar services or care in the Applicant's service area?

SPARCS data was used to identify facilities for service area residents receiving electrophysiology services or pacemaker placement. Market share is based on 2024 discharges and is shown in Table 3.

Table 3 Market Share for Electrophysiology and Pacemaker Placement Procedures for Cayuga County Residents, 2024

Facility Name	Discharges	Percent	Cumulative Percent
St. Joseph's Hospital	118	52.4%	52.4%
Crouse Hospital	30	13.3%	65.8%
University Hospital	26	11.6%	77.3%
Strong Memorial Hospital	17	7.6%	84.9%
Cayuga Medical Center	13	5.8%	90.7%
Rochester General Hospital	11	4.9%	95.6%
All Others	10	4.4%	100.0%
Total	225	100.0%	

Source: SPARCS 2024

Over 77% of the current utilization occurs in Syracuse-based hospitals. (Note that Cayuga Medical Center is in Tompkins County.)

8. Summarize the performance of the Applicant in meeting its obligations, if any, under Public Health Law § 2807-k (General Hospital Indigent Care Pool) and federal regulations requiring the provision of uncompensated care, community services, and/or access by minorities and people with disabilities to programs receiving federal financial assistance. Will these obligations be affected by implementation of the project? If yes, please describe.

The Applicant provided the ICR Exhibit 50 for 2024. The Applicant reported that it met its obligations. The Applicant received \$559,760 in reimbursement from the Indigent Care Pool (Exhibit 50, Line 051).

Sources:

ICR Auburn Community Hospital 2024. "Exhibit 50."

9. Are there any physician and professional staffing issues related to the project or any anticipated staffing issues that might result from implementation of project? If yes, please describe.

There is no expected change in staffing due to the addition of the electrophysiology laboratory.

10. Are there any civil rights access complaints against the Applicant? If yes, please describe.

The Applicant's legal firm responsible for consumer issues is not aware of any consumer civil rights complaints against Auburn Community Hospital in the last ten years.

The legal firm responsible for employee complaints provided the information in Table 4.

Table 4 Auburn Community Hospital and Auburn Memorial Medical Services Employee Civil Rights Complaints, 2015-2026

Auburn Community Hospital

Agency	Date	Complaint	Status
NYS DHR	9/16/2015	Employment complaint alleging discrimination	NYS DHR Issued a Probable Cause

		based on his arrest record and race.	Determination. Hospital settled in August 2016.
NYS DHR	09/08/2017	Employment complaint alleging disability discrimination in employment.	NYS DHR issued a No Probable Cause determination 02/27/18.
NDNY Federal Court	12/19/2018	Employment complaint alleging constructive discharge in violation of the USERRA and NYLL.	Settlement & Release Agreement signed on or about 06/07/2019.
Cayuga County Supreme Court	02/06/2019	Employment complaint alleging gender discrimination; violation of NYLL whistleblower provisions; defamation.	Settlement & Release Agreement signed on or about 11/12/20. Stipulation of Discontinuance filed 02/05/21.
NYS DHR	03/11/2019	Employment complaint alleging harassment, sexual harassment, and retaliation.	Settlement & Release Agreement signed on or about 05/17/19 prior to a NYS DHR determination.
NYS DHR	03/16/2020	Employment complaint alleging disability discrimination and constructive discharge.	NYS DHR issued a Probable Cause determination 09/2020. Settlement and Release Agreement signed on or about 02/21/23.
Cayuga County Supreme Court	03/12/2020	Employment complaint alleging sexual harassment and hostile work environment.	Settlement and Release Agreement signed on or about 11/30/2022. Stipulation of Discontinuance filed 01/05/23.
NYS DHR	02/07/2022	Employment complaint alleging denial of religious accommodation relating to the COVID-19 vaccine mandate.	NYS DHR issued a No Probable Cause determination 07/12/2022.
Cayuga County Supreme Court	08/09/2022	Employment complaint alleging discrimination based on religious beliefs relating to the COVID-19 vaccine mandate.	Following mediation on 03/26/2024, parties agreed to settle. Stipulation of Dismissal was filed 06/05/2024.
NYS DHR	07/15/2022	Employment complaint alleging race and gender discrimination.	NYS DHR issued a Probable Cause finding 11/15/22. NYS DHR approved Complainant's

			request to voluntarily dismiss complaint 02/03/2025.
NYS DHR	02/12/2025	Employment complaint alleging age and disability discrimination and retaliation.	Case is still pending with the NYS DHR.

AUBURN MEMORIAL MEDICAL SERVICES

Attorney Demand Letter	12/11/2018	Employment complaint alleging gender based discrimination.	Settlement and Release Agreement signed on or about 02/28/2019.
NYS DHR	06/05/2019	Employment complaint alleging termination due to age, heritage, and gender.	NYS DHR issues a No Probable Cause determination 11/12/19.
NDNY Federal Court	01/19/2021	Employment complaint alleging termination due to age, heritage, and gender. (see above NYS DHR case)	Court granted our Motion to Dismiss with prejudice on 02/16/2022.
NYS DHR	02/06/2025	Employment complaint alleging discriminatory practice based on age, pregnancy-related condition, retaliation, familial status, and disability.	Case is still pending with the NYS DHR.

Sources:

Berlucchi, S. Alexander 2026/5/15. "Email: RE: Status Report and Onsite Survey Questionnaire." Hancock Esatbrook, LLP.

Jones, Peter. 2026/6/10. "Email: RE: Status Report and Onsite Survey Questionnaire – Privileged and Confidential." Bond, Schoeneck & King, PLLC.

11. Has the Applicant undertaken similar projects/work in the last five years? If yes, describe the outcomes and how medically underserved group(s) were impacted as a result of the project. Explain why the applicant requires another investment in a similar project after recent investments in the past.

A new PCI-capable cardiac catheterization laboratory was recently completed, with the first procedure performed on January 13, 2026. New York State regulations require a hospital to have an operating PCI-capable cardiac catheterization laboratory in order to be licensed for electrophysiology laboratory services.

Since its introduction early this year, the volume has been growing, including for Medicaid patients.

STEP 2 – POTENTIAL IMPACTS

1. For each medically underserved group identified in Step 1 Question 2, describe how the project will:
- Improve access to services and health care
 - Improve health equity
 - Reduce health disparities

Underserved Group	Impact		
	Improve Access	Improve Health Equity	Reduce Health Disparities
Low-income people	- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services. - Because of limited public transportation cross-county, local services may reduce the costs of travel.	Reducing transportation and local access barriers also reduces delays in treatment and avoidance of seeking care.	More timely and appropriate care potentially improves outcomes and reduces risks for stroke.
Racial and ethnic minorities	An Electrophysiology Lab at Auburn Community Hospital reduces transportation	Reducing transportation and local access barriers also reduces delays in treatment and	More timely and appropriate care potentially improves outcomes and

	barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.	avoidance of seeking care.	reduces risks for stroke.
Older Adults	An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.	- Reducing transportation and local access barriers also reduces delays in treatment and avoidance of seeking care. - Older adults may experience long travel, particularly during winter months, as a more severe access barrier.	More timely and appropriate care potentially improves outcomes and reduces risks for stroke.
Persons living in rural areas	An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services.	Reducing transportation and local access barriers also reduces delays in treatment and avoidance of seeking care.	More timely and appropriate care potentially improves outcomes and reduces risks for stroke.
People who are eligible for or receive public health benefits	- An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently, patients travel to Syracuse or Rochester for EP Lab services. - The Applicant accepts Medicaid and Medicare.	- Reducing transportation and local access barriers also reduces delays in treatment and avoidance of seeking care. - The Applicant provides public benefit navigation support and financial assistance.	- More timely and appropriate care potentially improves outcomes and reduces risks for stroke. - Benefit navigation and financial assistance potentially reduces disparities for persons who experience coverage barriers.
People who do not have third-party health coverage or have inadequate third-party health coverage	An Electrophysiology Lab at Auburn Community Hospital reduces transportation barriers. Currently,	Reducing transportation and local access barriers also reduces delays in treatment and avoidance of seeking care.	More timely and appropriate care potentially improves outcomes and reduces risks for stroke.

	patients travel to Syracuse or Rochester for EP Lab services. • The Applicant accepts Medicaid and Medicare.	• The Applicant provides public benefit navigation support and financial assistance.	• Benefit navigation and financial assistance potentially reduces disparities for persons who experience coverage barriers.
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2. For each medically underserved group identified in Step 1 Question 2, describe any unintended positive and/or negative impacts to health equity that might occur as a result of the project.

The project is expected to improve the access and local availability of a specialized diagnostic and treatment service. A potential positive unintended impact is a reduction in the use of ambulance services due to more timely treatment and avoidance of major cardiac or stroke events.

A potential negative impact raised in meaningful engagements was pressure on hospital support staffing from new services

Source:

Community Stakeholders

3. How will the amount of indigent care, both free and below cost, change (if at all) if the project is implemented? Include the current amount of indigent care, both free and below cost, provided by the Applicant.

Total hospital costs incurred in rendering services to uninsured patients:

\$1,968,646 (ICR 2024, Exhibit 50, ICR Line Code 001).

729 patients had their applications for financial assistance approved.

The project is expected to increase the patients seen by 200. 20% of patients at the hospital are on Medicaid or are self-pay. Using that as a proxy for potential

indigent care, this could result in an additional $200 \times .20 = 40$ patients eligible for financial assistance.

Forty additional patients would increase indigent care by 5.5%, or \$10,876 ($40/729 \times \$1,968,646$).

4. Describe the access by public or private transportation, including Applicant-sponsored transportation services, to the Applicant's service(s) or care if the project is implemented.

Applicant

The Applicant partners with Speedy Transport to provide transportation for patients.

Public

Public transportation in the city of Auburn is provided by Centro and it provides a connecting service to Syracuse. Centro has a paratransit service for persons with disabilities. <https://www.centro.org>

Transportation outside of Auburn in Cayuga County is very limited.

Cayuga County provides the SCAT Van which is door-to-door service for the elderly and disabled. The service requires one week notice.

Cayuga County also provides the CAM Van for medical transportation, also requiring a week's notice. Medical transportation to Syracuse is only provided on Mondays and Tuesdays. In Auburn the service is door-to-door. Outside of Auburn, connections are through the SCAT Van which then connects to the CAM Van connection point at Willard Chapel.

VetVan provides free transportation from Cayuga County to the VA Medical Center in Syracuse.

GoGo Grandparent through the Cayuga County Office of the Aging is available to residents age 60 or older who cannot utilize the SCAT Van, VetVan or Medicaid transport. GoGo utilizes ride-share services.

Information and phone numbers on these Cayuga County sponsored programs is available at [Transportation | Cayuga County, NY](#).

Medicaid provides transportation through MAS: <https://www.medanswering.com>

8. Did the local health department(s) provide information for, or partner with, the Independent Entity for the HEIA of this project?

Yes.

9. Meaningful engagement of stakeholders: Complete the "Meaningful Engagement" table in the document titled "HEIA Data Table". Refer to the Instructions for more guidance.

See attached Meaningful Engagement Worksheet.

The meaningful engagement included meetings with the Cayuga County Department of Health, East Hill Medical Center (an FQHC), Finger Lakes Community Health (an FQHC), Cayuga County Mental Health Center (the stakeholder is also the County SPAC), and Unity House (a CBO providing services to disabled persons and persons in recovery). Five highly-vulnerable community residents with cardiac conditions who are Health Home members were interviewed. An onsite survey of patients receiving cardiac-related services at Auburn Community Hospital was also conducted.

Because the project involves the addition of a highly specialized and technical service it was difficult for several stakeholders to directly comment on the specific service. Instead the discussions focused on the overall needs in the community for specialty services, the challenges of travel and transportation, collaborative relationships with the hospital, and concerns with staffing and quality. The project was strongly supported because of significant barriers to access and limited local availability of advanced services.

The Department of Health noted that cardiovascular disease is a significant problem and that transportation is a significant access barrier. Currently, patients need to travel to Syracuse or Rochester for advanced cardiac procedures. Due to the age of persons requiring these procedures, the time-sensitivity of the medical conditions, the distance required, and inadequate public transportation the need for local availability is great. Often patients will avoid or delay treatment because of the obstacles, a theme repeated by other stakeholders. There are no negative impacts anticipated but they emphasized the importance of adequate staffing and that it takes time to develop experience and that volume is important for quality.

The stakeholders in community-based organizations concurred that transportation was a significant barrier. They also noted that financial barriers were concerning in the community, involving persons who were uninsured but who could be eligible for Medicaid, uninsured immigrants, and persons with high-deductible health plans. Primary care access is also a problem, with missed

appointments often leading to disruption in access to primary care. Since advanced cardiac services often require a chain of referrals, disruption in primary care can lead to prolonged delays. Language may also be a barrier, with the quality of translation services being important. The availability of specialty care is limited locally, with distant travel to Syracuse or Rochester common. Because of this increasing local advanced specialty services is very welcomed and project strongly supported.

There were two common threads in the comments regarding better adaptation to the needs of vulnerable and underserved persons. The first involved improving collaboration with community-based organizations. There were comments that the social workers at the hospital were collaborative but that physicians could be difficult to communicate with, particularly when clients had behavioral health problems. The second involved staffing and quality. Specifically mentioned were concerns about adequate support staff and not relying on floating staff. While the electrophysiology lab is not likely to benefit from outreach or community education, there is a need in the community for general healthcare education and information about how to navigate the healthcare system.

Direct Consumer Engagement: Highly Vulnerable Health Home Members

Five Health Home members living in Cayuga County, who have severe behavioral health problems, chronic physical health conditions, and are currently being treated for cardiac conditions were interviewed using an open-ended survey instrument by their care managers or outreach workers. The open-ended questions included whether they supported the project, how it might help them, what is important for their treatment and health-related social needs they might have. (If the health-related social needs were already known by the care managers that information was recorded from the care management system.) All of the interviewed persons supported the project. As expected with a highly specialized service, they indicated that they supported a service that potentially could improve their care and help their doctor. There was one comment that called out not having to travel to Syracuse for services.

Direct Consumer Engagement: Onsite Survey

The Onsite Survey was distributed to patients receiving outpatient cardiac services at Auburn Community Hospital clinics during May. The survey provided a description of the project, a project support question, open-ended questions about how the project affected them and what was important when receiving treatment services, space for writing a statement about the project, demographic items, and questions about health-related social needs. Project support was assessed using a five-point Likert scale, ranging from Strongly Disagree to

Strongly Agree for a support statement. A score of five indicated strong agreement with a project's support statement, and a score of one indicated strong disagreement.

There were 26 responses to the survey. The average support was 4.2, indicating support for the project. Sixteen of the responses were strongly agree, four were agree, three were neutral, and three were strongly disagree. Based on the written comments, two of the "strongly disagree" responses may have been due to a misunderstanding of the scale, since the comments seemed supportive. The other "strongly disagree" response included a comment about wanting a different location.

All of the respondents answered the race, ethnicity, and gender questions. All respondents indicated White race and non-Hispanic ethnicity. The average age was 68.2 years. 57.7% of the respondents were male, and 42.3% were female.

None of the respondents identified any social needs.

The open-ended comments mentioned that the service would be closer to home, reduced travel and shorter waits. There was brief comments about having more accurate diagnoses.

10. Based on your findings and expertise, which stakeholders are most affected by the project? Has any group(s) representing these stakeholders expressed concern the project or offered relevant input?

Based on the service area utilization and community stakeholders, the most impacted group is older persons who otherwise would require assistance traveling to Syracuse or Rochester, and may otherwise defer or avoid receiving timely treatment. This obstacle is exacerbated by living in more rural areas of Cayuga County, and those with limited resources for private transportation and assistance from friends or family.

Having an advanced specialty service locally is viewed as a significant benefit for these persons by community stakeholders.

11. How has the Independent Entity's engagement of community members informed the Health Equity Impact Assessment about who will benefit as well as who will be burdened from the project?

The meaningful engagements emphasized the value of having advanced specialty services locally in reducing transportation barriers, particularly for older persons and those living in more remote areas of the county.

There were no specific concerns that the project would burden any vulnerable group. There were recommendations that the hospital improve collaboration with community stakeholders, particularly when care involved persons with behavioral health problems. Language and financial barriers were seen as impacting accessibility in the community.

12. Did any relevant stakeholders, especially those considered medically underserved, not participate in the meaningful engagement portion of the Health Equity Impact Assessment? If so, list.

Not applicable.

STEP 3 – MITIGATION

1. If the project is implemented, how does the Applicant plan to foster effective communication about the resulting impact(s) to service or care availability to the following:
- a. People of limited English-speaking ability
 - b. People with speech, hearing or visual impairments
 - c. If the Applicant does not have plans to foster effective communication, what does the Independent Entity advise?

For persons with limited English-speaking ability, the Applicant uses language line services with a portable iPad. In-person translators are also used. The iPad is also used with sign language services. Assistive technology and in-person support are available for people with visual impairments.

The Assessor generally recommends the following guidelines to improve communication with persons of limited English-speaking ability:

- Use the U.S. Census Bureau American Community Survey to assess the most commonly spoken non-English language in the service area and/or, track encounters in the Applicant's EMR with persons with limited English-speaking ability and provide reporting on those encounters.
- Provide written communications for 80% of the persons with limited English-speaking ability based on language use assessment.

- In written communications, include contact information for bilingual staff or contracted language lines.
- Include translated material in the public website and social media.
- Plan outreach events at locations for persons with limited English-speaking abilities.
- In the facility, provide posters or other visual aids that provide information about interpreting services in multiple languages.
- Staff training on language access resources.

We also recommend the following approaches for persons with speech, hearing, or visual impairments when appropriate.

- Outreach events with sign-language interpreters, written materials for persons with hearing impairments, and readers or large print materials for persons with visual impairments. In general, the availability of pencil and paper can assist persons with speech disabilities.
- The following specialized services may be appropriate for scheduled video or web conferences:
 - TRS (711) service, which includes TTY and other support for relaying communication between people who have hearing or speech disabilities and use assistive technology with persons using standard telephones.
 - VRS, a video relay service, which provides relaying between people who use sign language and a person using standard video communication (smartphone) or phone communication.
 - VRI, video remote interpreting for video conferencing meetings.
- Accessible Web Sites
- General considerations
 - Visual impairment: Provide qualified readers, information in large print, Braille, computer-screen reading kiosks, or audio recordings as appropriate for the setting.
 - Hearing impairment: Provide qualified sign-language interpreters at outreach events, captioning of video presentations, or written materials.
 - Speech disabilities: For general situations, have pencil and paper available, and in some circumstances, a qualified speech-to-speech transliterator.
- Staff training on available resources

2. What specific changes are suggested so the project better meets the needs of each medically underserved group (identified above)?

The community stakeholders view the project as having a positive impact, with no anticipated negative impacts. They made several recommendations to better adapt the project to the needs of vulnerable people and the general community.

For all groups:

Communication of the Availability of the Services

General communication to providers and the community about the availability of advanced specialty services is recommended.

Source:

Community Stakeholders

Ensure Adequate Support Staff

Several of the community stakeholders made similar comments about the need to ensure adequate staffing for new services. Specific comments were made about support staff and about having permanent staff rather than “floaters.” The concern is that the quality of care would be impacted without adequate permanent staff.

Source:

Community Stakeholders

General Healthcare Education

Stakeholders noted that community members often have difficulty navigating the healthcare system and would benefit from general healthcare education.

Source:

Community Stakeholders

Monitoring of Translation Services

Community Stakeholders noted that language accessibility is a concern. The Applicant does provide translation services both through technology and in-person translators. The effective use of translation services can be complex, and issues can develop in both administrative and clinical settings. It is suggested that quality monitoring address satisfaction with translation services.

Source:

Community Stakeholders

STEP 4 – MONITORING

1. What are existing mechanisms and measures the Applicant already has in place that can be leveraged to monitor the potential impacts of the project?

Demographic and SDOH data is collected on intake and included in the EMR. Demographic data includes race, ethnicity, sex, age, preferred language, insurance status, PCP provider and primary pharmacy. Every patient is connected with an enroller if they don't have insurance. Every patient with an identified social need is connected with a social worker. The Applicant works closely with the County Department of Health to identify social needs.

Dashboards were developed for the stroke program. A similar approach is being taken with the cardiac program. The dashboards being developed will include disparities and will be used to improve care plans, such as highlighting culturally important differences. Currently, monitoring has disaggregation for maternal, prenatal, and pediatric care.

The SDOH screening process involves an opt-in process and connection with a hospital social worker. Social work will attempt to connect patients with community care managers. They will provide a packet for resources to the patients and will do warm hand-off calls. If mental health is involved social work will do follow-ups to mental health providers.

Data is submitted to the regional health information organization (RHIO).

2. What new mechanisms or measures can be created or put in place by the Applicant to ensure that the Applicant addresses the findings of the HEIA?

A concern discussed in the meaningful engagements was delayed treatment due to barriers to access. The project reduces barriers related to transportation but other barriers exist related to the chain of referrals needed for advanced specialty care. The lack of primary care is one root cause of this barrier. Since primary care is collected during intake, there is an opportunity to provide disaggregated monitoring to identify disparities in primary care access.

The Applicant provides translation services but language accessibility was identified as a concern. The effectiveness of translation services can be complex, involving the knowledge and training of staff about the availability of the service, utilization in administrative settings such as reception areas as well as clinical settings, the ability of the service to accommodate dialects, the adequacy and tools used to support persons with hearing impairments, and the technical

support provided for equipment. It may be useful to include a satisfaction survey or similar process for translation services users to identify specific problems or areas for improvement.

STEP 5 – DISSEMINATION

The Applicant is required to publicly post the CON application and the HEIA on its website within one week of acknowledgement by the Department. The Department will also publicly post the CON application and the HEIA through NYSE-CON within one week of the filing.

OPTIONAL: Is there anything else you would like to add about the health equity impact of this project that is not found in the above answers? (250 words max)

Disclaimer:

This document was produced from raw data purchased from or provided by the New York State Department of Health (NYSDOH). However, the calculations, metrics, conclusions derived, and views expressed herein are those of the author(s) and do not reflect the conclusions or views of NYSDOH. NYSDOH, its employees, officers, and agents make no representation, warranty, or guarantee as to the accuracy, completeness, currency, or suitability of the information provided here.

Appendix 1: Figures

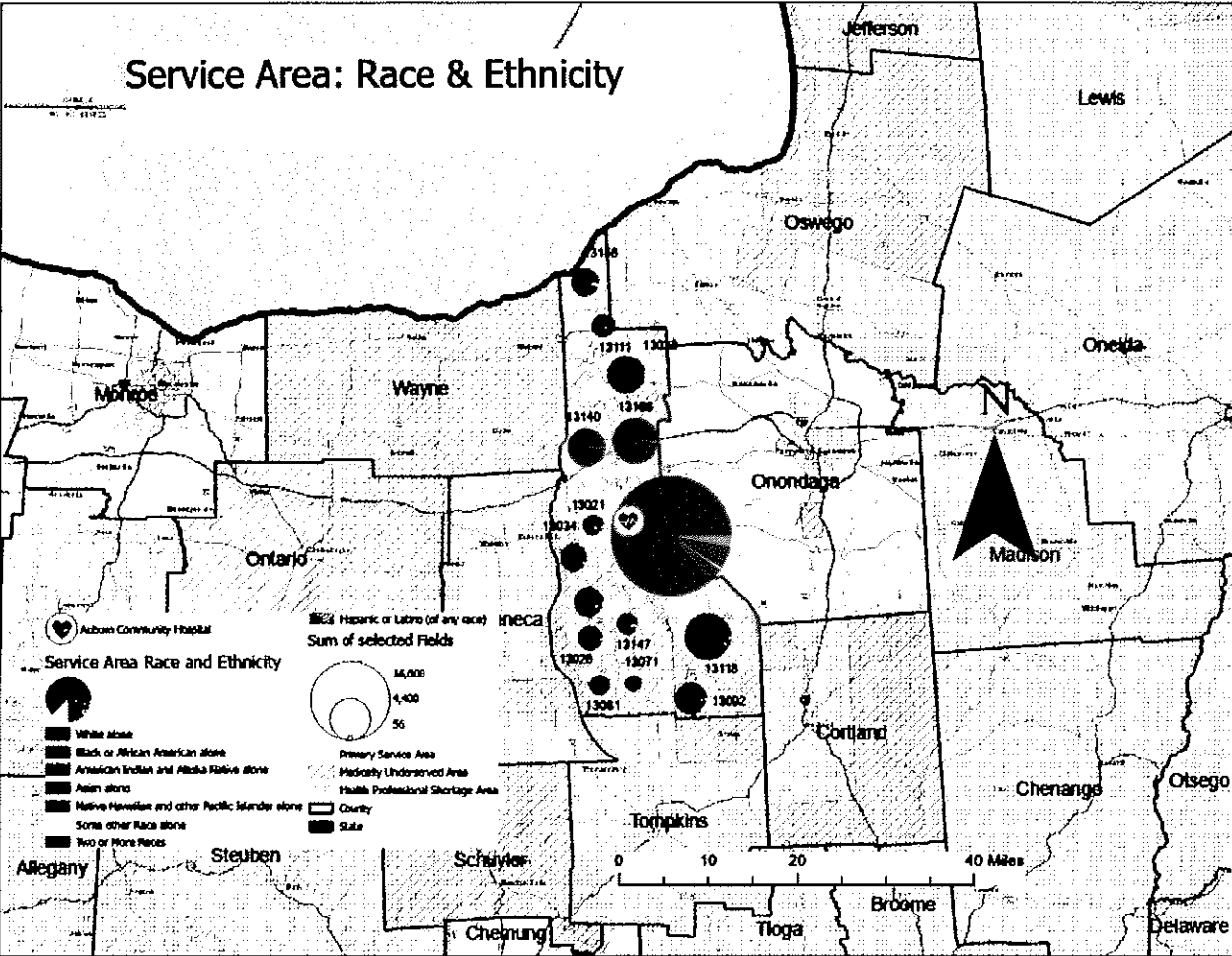
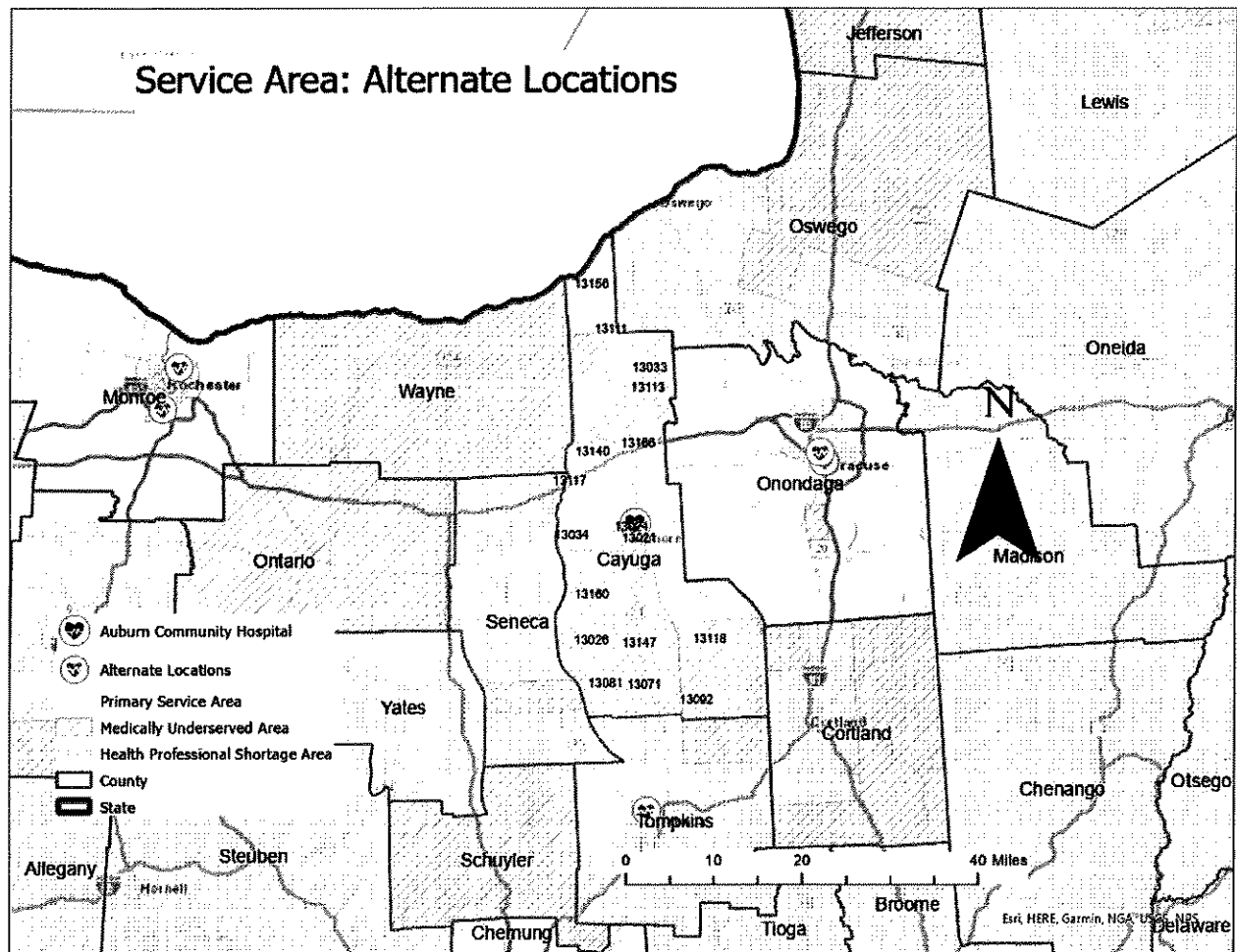


Figure 1 Service Area: Race and Ethnicity



Appendix 2: Meaningful Engagement Discussion Guide

Guide for Community Meaningful Engagement for HEIA Auburn Community Hospital – EP Lab

Introduction:

- Welcome & Introductions
- Purpose of the Discussion: To gather Community insights on healthcare needs and the impact of planned changes. New York State wants to engage the communities in health equity and involve them in the planning processes for healthcare services.
- The Monroe Plan is an independent assessor.

Background:

- Brief Overview of the planned changes:
 - MP CareSolutions is assessing creating an Electrophysiology Lab at Auburn Community Hospital. Electrophysiology labs help diagnose and treat heart conditions.
 - We like to understand the change in the context of community needs, particularly for underserved and vulnerable persons.
 - Stress the importance of community input in shaping healthcare services and considering ways that services can be improved.

Understanding Healthcare Needs

Question 1: To set the context of the planned change, we want to hear your perspective on what are the greatest healthcare needs in this community for persons who are communities?

- Encourage participants to share personal experiences and observations.
- Discuss common healthcare challenges in the community.

Impact Assessment

Question 2: What impacts should be considered with creating an electrophysiology lab?

- Explore direct and indirect consequences on individuals within the community.
- Discuss impacts on access, quality, and affordability of healthcare services.

Question 3: Do you see any negative impacts to the community with these changes?

- Solicit ideas for mitigating negative effects.
- Discussion of potential strategies for improving the situation.

Question 4: Support Question: Do you support this change?

Improving Services

Question 5: How might these services be enhanced to benefit underserved communities or vulnerable persons?

- To identify programs, interventions, or other services that may enhance the services.

Wrap-Up

- Summarize key insights and recommendations from the discussion.
- Thank participants.
- Explain next steps with the HEIA process including submission of a written statement.

Closing Remarks

- Provide contact information for follow-up questions and/or additional input.
- Note that they can submit a statement for inclusion in the Assessment.

Appendix 3: Direct Consumer Survey Instrument

Consumer Questions for Health Equity Impact Assessment
Auburn Community Hospital – Electrophysiology Lab

Elm and Oak Health is assessing the addition of an Electrophysiology Lab at Auburn Community Hospital. Electrophysiology labs help diagnose and treat heart conditions. We want to understand how a new lab may affect people who may receive cardiac services at the hospital. We are also interested in your thoughts on how your care could be further enhanced.

1. Please indicate your agreement: I support the addition of an Electrophysiology Lab.
(Check one)

Strongly Disagree	Disagree	Neither agree or disagree	Agree	Strongly Agree
☐	☐	☐	☐	☐

2. How might these changes affect you?

3. What is most important to you when receiving diagnostic and treatment services?

4. If you would like to make a statement about the expansion, please write below:

5. Can we include your statement in a publicly available assessment of the addition of an Electrophysiology Lab?
- ☐ No
- ☐ Yes

(Please turn over for questions on the back .)

6. Are you Hispanic, Latino/a/x, or Spanish Origin? (Check one)

- ☐ No
- ☐ Yes

7. What is your race? (One or more categories may be selected)

- ☐ White
- ☐ Black or African American
- ☐ American Indian or Alaska Native
- ☐ Asian
- ☐ Native Hawaiian or Other Pacific Islander
- ☐ Other

8. Age in years? (Enter number)

9. Gender? (check one)

- ☐ Female
- ☐ Male
- ☐ Transgender female
- ☐ Transgender male
- ☐ A gender identity not listed:

- _____
- ☐ Not sure
 - ☐ Prefer not to answer

We would like to ask about some specific needs you may have.

10. What is your living situation today? (Check one)

- ☐ I have a steady place to live
- ☐ I have a place to live today, but I am worried about losing it in the future
- ☐ I do not have a steady place to live.

11. Within the past 12 months, you worried that your food would run out before you got money to buy more. (Check one)

- ☐ Often true
- ☐ Sometimes true
- ☐ Never true

12. In the past 12 months, has a lack of reliable transportation kept you from medical appointments, meetings, work, or from getting things needed for daily living? (Check one)

- ☐ Yes
- ☐ No

Thank you for your time today answering these questions.

If you would like to submit additional comments or statements, you may do so by sending an email to mpheia@elmandoakhealth.com

Elm and Oak Health, formerly Monroe Plan, which was founded in 1970 to provide innovative healthcare for the underserved in New York State.

Appendix 4: Direct Consumer Health Home Interview Questions

Consumer Questions for Health Equity Impact Assessments Auburn Community Hospital Open-ended Community Members Guide Draft (Open-ended Responses)

Questions will be asked directly to individuals receiving Health Home services through our CMA.

They should be a resident of Cayuga County.

They should be currently receiving treatment for cardiac conditions.

Section A

1. Auburn Community Hospital is planning on setting up an Electrophysiology Lab. An Electrophysiology Lab helps with the diagnosis and treatment of some kinds of heart conditions.
 - a. Do you support adding an Electrophysiology Lab at Auburn Community Hospital?
 - b. How might having additional services available in Auburn affect you?
2. What is most important for you when receiving treatment for heart or cardiac conditions?

Section B (HRSN Screen – may already have this information – merge with the questionnaire if it is available. *You do not need to ask these questions if you already know the answer.* If you already know the answer, select the appropriate choice.)

3. Was this answered today by the patient or based on previous information? (check one)

Answered today / Previous information

We would like to ask about some specific needs you may have. (all single choice)

4. What is your living situation today?
 - ☐ I have a steady place to live
 - ☐ I have a place to live today, but I am worried about losing it in the future
 - ☐ I do not have a steady place to live.
5. Within the past 12 months, you worried that your food would run out before you got money to buy more.
 - ☐ Often true
 - ☐ Sometimes true



☐ Never true

6. In the past 12 months, has lack of reliable transportation kept you from medical appointments, meetings, work, or from getting things needed for daily living?

☐ Yes

☐ No

Section C (Demographic Questions – may be merged from other data sources)

7. Are you Hispanic, Latino/a, or Spanish Origin:

☐ No

☐ Yes

8. What is your race (One or more categories may be selected)

☐ White

☐ Black or African American

☐ American Indian or Alaska Native

☐ Asian

☐ Native Hawaiian or Other Pacific Islander

☐ Other

9. Age in years (Enter number)

10. Gender (check one)

Male / Female / Other / Prefer not to identify

Thank you for your time today answering these questions. If you would like to submit a written statement, you may do so by sending an email to mpheia@elmandoakhealth.com

----- SECTION BELOW TO BE COMPLETED BY THE APPLICANT -----

SECTION C. ACKNOWLEDGEMENT AND MITIGATION PLAN

Acknowledgment by the Applicant that the Health Equity Impact Assessment was reviewed by the facility leadership before submission to the Department. This section is to be completed by the Applicant, not the Independent Entity.

I. Acknowledgement

I, ERIC BURCH, attest that I have reviewed the Health Equity Impact Assessment for the Addition of an Electrophysiology Lab that has been prepared by the Independent Entity, Elm and Oak Health (formerly, MP CareSolutions).

ERIC BURCH

Name

EVP OF ADMIN

Title

ERB

Signature

7/30/2026

Date

II. Mitigation Plan

If the project is approved, how has or will the Applicant mitigate any potential negative impacts to medically underserved groups identified in the Health Equity Impact Assessment? (1000 words max)

Please note: this narrative must be made available to the public and posted conspicuously on the Applicant's website until a decision on the application has been made.

Auburn Community Hospital will implement the initiatives below to address issues raised by the Health Equity Impact Assessment:

Formation of a Community Advisory Council to meet quarterly and facilitate communication/collaboration with other Community-Based Organizations to address comments from CBO's looking for a more collaborative relationship with the Hospital.

Evaluate translation services and other options to improve the service with written material and train staff on resources available, and utilize a satisfaction survey to measure results.

Expand on our Outreach initiative to include general education on healthcare, along with specialty services available at Auburn Community Hospital.



MP CareSolutions
A MONROE PLAN COMPANY

March 27, 2026

Eric Burch
Auburn Hospital

Re: Health Equity Impact Assessments Letter of Agreement

Mr. Burch,

This Letter of Agreement ("LOA") dated the 27th day of March, 2026, between Auburn Hospital, located at 17 Lansing St, Auburn NY 13021 and MP CareSolutions, LLC ("MPCS"), dba Elm & Oak Services ("Elm & Oak Health"), located at 1120 Pittsford-Victor Road, Pittsford, NY 14534, is intended to memorialize Auburn Hospital's intent to engage Elm & Oak Health and its affiliates to provide designated Health Equity Impact Assessment Services ("Services") for Auburn Hospital's Certificate of Need (CON) to add the services of Electrophysiology Lab to its site.

The following outlines the terms and conditions of this LOA:

1. Term, Payment, and Termination

This LOA shall begin on the date the LOA has been signed by the parties and shall end upon completion of the project unless earlier terminated by either party.

Payment shall not exceed \$13,000 (thirteen thousand dollars) for services rendered as defined herein. Service project is estimated at a duration of approximately 6-8 weeks following the project kickoff meeting with Auburn Hospital stakeholder staff.

Elm & Oak Health shall provide Auburn Hospital with an invoice at the conclusion of this assessment in an agreed upon format for Services performed and payment shall be made by Auburn Hospital to Elm & Oak Health within thirty (30) days of receipt. If this LOA is terminated prior to delivery of the assessment, Auburn Hospital shall be invoiced for the time spent up to the date of termination.

Termination without Cause: Either party may terminate this LOA at any time. The intent to terminate this LOA must be sent, in writing, to the other party, as outlined in the Notices section below.

2. Responsibilities of Elm & Oak Health

To independently complete the HEIA assessment ("Project") in an acceptable manner to meet the requirements for inclusion in the Certification of Need ("CON") application in a format required by the New York State Department of Health ("NYSDOH").

Project documents to be completed shall include the following:

1. A quantitative analysis of the service area's demographics and the utilization of the project's services including utilizing multiple data sources, geographic information systems, and statistical analysis.
2. Extensive and meaningful engagements with the affected communities and other stakeholders, employing multiple techniques such as interviews, community forums, focus groups, and surveys. These will occur in the context of local community culture and the history of systemic barriers, building on relationships with active social organizations.
3. Development of proposed modifications and adaptations to the project that creatively address the communities' concerns, insights, and strengths, informed by a knowledge of local health system capabilities, opportunities, and funding sources, leveraging the knowledge and experience of the facility's staff.

Elm & Oak Health shall also adhere to the standard format of the Health Equity Impact Assessment template issued by the NYSDOH reflecting the following recommended "stepwise" structure:

- Scoping
- Potential Impact
- Mitigation
- Monitoring
- Dissemination

3. Responsibilities of Auburn Hospital

Auburn Hospital shall be responsible for providing Elm & Oak Health all documentation and requests reasonably required by Elm & Oak Health to complete the Project within the parameters of NYSDOH requirements. Auburn Hospital and its affiliated staff shall also work cooperatively with Elm & Oak Health to develop HEIA processes related to completion of the assessment tools.

4. Miscellaneous

In the performance of its obligations hereunder, Elm & Oak Health shall be and shall act at all times as an independent contractor to Auburn Hospital and its affiliates.

- A. This is a non-exclusive agreement and neither party is restricted from entering into agreements comparable to this LOA with any other third parties.

- B. **Hold Harmless/Indemnification:** Each party covenants to hold the other party harmless against; and to indemnify the other party for, all losses, damages, expenses, liabilities and any other costs, including attorney fees, arising out of or incurred in connection with such party's breach or default in performance of this LOA or arising out of the negligence or other unlawful malfeasance or non-feasance by such party or its servants, agents, employees or agencies in relation to this LOA. Each party further covenants to the other that, in the event any claim or demand is asserted against it which may result in indemnification liability to the other, it will give prompt written notice thereof to the other party and will cooperate in the investigation of any such claim and/or the defense of any action arising there from.
- C. **Jurisdiction/Choice of Law:** This LOA shall be governed by the laws of the State of New York.
- D. **Confidentiality:** Each party agrees that all information concerning the other which may be made available to respective personnel during the course of this LOA shall be deemed to be confidential, and such personnel shall not be permitted or required to disclose any such information to any third party without the express written consent of the other party.
- E. **Health Insurance Portability and Accountability Act ("HIPAA"):** Both parties agree to be bound by all current terms and conditions of HIPAA.
- F. This LOA constitutes the entire agreement of the parties hereto, and all previous communications between the parties, whether written or oral, with respect to the subject matter of this contract, are hereby superseded. No amendment, change or modification of this agreement shall be effective unless in writing and signed by the parties hereto.

REST OF PAGE LEFT BLANK INTENTIONALLY

If the foregoing is acceptable, please sign where indicated below and return the other to Elm & Oak Services at:

MP CareSolutions, LLC dba Elm & Oak Services
Elm & Oak Health
1120 Pittsford-Victor Road
Pittsford, New York 14534
Attn: Colleen Boyle, Manager, Product Strategy & Compliance
E-Mail: cboyle@elmandoakhealth.com

Sincerely yours,

Colleen Boyle

March 27, 2026

Colleen Boyle
Manager, Product Strategy &
Compliance
Elm & Oak Health

Date

Agreed to by:

ERP Bui

APR 24, 2026

Date

Signature

Name:

Title:

~~Rochester Regional Health~~

AUBURN COMMUNITY HOSPITAL

New York State Department of Health

Health Equity Impact Assessment Conflict of Interest Form

This Conflict of Interest form must be completed in full, signed by the Independent Entity, and submitted with the Health Equity Impact Assessment.

Section 1 – Definitions

Independent Entity means individual or organization with demonstrated expertise and experience in the study of health equity, anti-racism, and community and stakeholder engagement, and with preferred expertise and experience in the study of health care access or delivery of health care services, able to produce an objective written assessment using a standard format of whether, and, if so, how, the facility's proposed project will impact access to and delivery of health care services, particularly for members of medically underserved groups.

Conflict of Interest means having a financial interest in the approval of an application or assisting in drafting any part of the application on behalf of the facility, other than the health equity assessment.

Stakeholders shall include individuals or organizations currently or anticipated to be served by the facility, employees of the facility including facility boards or committees, public health experts including local health departments, residents of the facility's service area and organizations representing those residents, patients or residents of the facility, community-based organizations, and community leaders.

Section 2 – Independent Entity

What does it mean for the Independent Entity to have a conflict of interest? For the purpose of the Health Equity Impact Assessment, if one or a combination of the following apply to the Independent Entity, the Independent Entity **HAS** a conflict of interest and must **NOT** perform the Health Equity Impact Assessment:

- The Independent Entity helped compile or write any part of the Certificate of Need (CON) application being submitted for this specific project, other than the Health Equity Impact Assessment (for example, an individual or organization hired to compile the Certificate of Need application for the facility's project cannot be the same individual or organization conducting the Health Equity Impact Assessment);
- The Independent Entity has a financial interest in the outcome of this specific project's Certificate of Need application (i.e., individual is a member of the facility's Board of Directors or advisory board); or
- The Independent Entity has accepted or will accept a financial gift or other incentive from the Applicant in addition to the fair market value cost of performing the Health Equity Impact Assessment.

Section 3 – General Information

About the Independent Entity

1. Name of Independent Entity: MP CareSolutions, dba Elm and Oak Health
2. Is the Independent Entity a division/unit/branch/associate/employee of, or in a similar role in relationship to, an organization (Y/N)?
☒ If yes, indicate the name of the organization:
Monroe Plan for Medical Care
3. Is the Independent Entity able to produce an objective written Health Equity Impact Assessment on the facility's proposed project (Y/N)?
Yes
4. Briefly describe the Independent Entity's previous experience working with the Applicant. Has the Independent Entity or any organization to which the Independent Entity has belonged performed any work for the Applicant in the last 5 years?

The Independent Entity has not previously worked with the Applicant.

Section 4 – Attestation

I, Howard R. Brill (individual name), having personal knowledge and the authority to execute this Conflict of Interest form on behalf of MP Care Solutions, LLC (INDEPENDENT ENTITY), do hereby attest that the Health Equity Impact Assessment for project Electrophysiology Lab (PROJECT NAME) provided for Auburn Community Health Center (APPLICANT) has been conducted in an independent manner and without a conflict of interest as defined in Title 10 NYCRR § 400.26.

I attest that the feedback and personal information collected from stakeholders through the meaningful engagement process is legitimate, having not been altered, misrepresented, or falsified in any manner.

I further attest that the information provided by the INDEPENDENT ENTITY in the Health Equity Impact Assessment and on this Conflict of Interest form is true and accurate to the best of my knowledge, and fulfills the intent of the Health Equity Impact Assessment requirement.

Signature of Independent Entity: _____



Date: 06/29/2026

Geo_ID	ZCASA320	Population	dp03_0119pe	dp03_0119pm	dp03_0062e	dp03_0062m	dp03_0074pe	dp03_0074pm	dp03_0005pe	dp03_0005pm	dp02_0067pe	dp02_0067pm	dp04_0038pe	dp04_0038pm
			Below Poverty Level		Median Household Income	Food Stamp/SNAP	Benefits		Unemployment		High School Education		No Vehicles Available	
860200US13021	13021	37247	10.1	2.3	60712	3618	18	2.8	2.5	0.7	92.2	13	11.2	2.2
860200US13024	13024	1230							0	3.4	25	6.2		
860200US13026	13026	1619	2.9	2.7	96524	12455	8.8	4.3	2.3	1.6	95.9	2.2	2.3	2
860200US13033	13033	3732	5.4	2.7	93092	14352	7.9	3	3.4	2	93.7	2.7	3.6	1.8
860200US13034	13034	2029	2.5	1.9	104154	7056	8.5	3.6	2.9	2.3	91.3	3	7.9	4
860200US13071	13071	796	4.3	3.4	85972	12553	7.7	4.7	1.1	1.4	92.3	4.1	2.8	3.3
860200US13081	13081	1122	3.6	8.3	93558	12914	6.4	4.5	0.7	0.7	97.6	1.7	5.4	4
860200US13092	13092	2689	14.9	2689	78056	16175	7.1	3.6	0.3	0.3	89.5	2.7	4.9	2.5
860200US13111	13111	1463	17.1	17.6	59063	23122	18.4	12.2	3.2	2	85.5	8.8	8	5.4
860200US13113	13113	56	18.8	24.2	35313	11138	61.3	23.5	0	47	91.8	10.6	0	60.9
860200US13117	13117	132	0	60.9	63250	53193	25.5	26.7	0	31.6	79.4	15.9	2.1	7.4
860200US13118	13118	5591	9.3	3.2	67651	6338	10.3	2.2	2.6	1	82.1	2.2	9.4	3
860200US13140	13140	3959	14.2	5.6	61977	6012	15.7	3.7	2.1	1.1	81.8	3.1	7.9	3.5
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Summary		73123	9.1				14.4		2.3		89.6		8.8	

Label	Estimate	Percent
Total Population	73123	100.0%
Male	37419	51.2%
Female	35704	48.8%
Sex ratio (males per 100 females)	105	
Under 5 years	3582	4.9%
5 to 9 years	4013	5.5%
10 to 14 years	4066	5.6%
15 to 19 years	4290	5.9%
20 to 24 years	3954	5.4%
25 to 34 years	9054	12.4%
35 to 44 years	9146	12.5%
45 to 54 years	8496	11.6%
55 to 59 years	5093	7.0%
60 to 64 years	5994	8.2%
65 to 74 years	9229	12.6%
75 to 84 years	4575	6.3%
85 years and over	1631	2.2%
Median age (years)		
Race Total population	73123	100.0%
One race	68127	93.2%
Two or more races	4996	6.8%
One race (2)	68127	93.2%
White	63801	87.3%
Black or African American	2584	3.5%
American Indian and Alaska Native	123	0.2%
Asian	493	0.7%
Native Hawaiian and Other Pacific Islander	0	0.0%
Some other race	1126	1.5%
Two or more races (2)	4996	6.8%
Total population (3)	73123	100.0%
Hispanic or Latino (of any race)	2722	3.7%
Not Hispanic or Latino	70401	96.3%
Civilian noninstitutionalized population	70410	100.0%
With health insurance coverage	67309	95.6%
With private health insurance	46454	66.0%
With public coverage	33116	47.0%
No health insurance coverage	3101	4.4%
Total Civilian Noninstitutionalized Population	70410	100.0%
With a disability	10163	14.4%

Limited Review Application

State of New York Department of Health
Office of Primary Care and Health Systems Management

LRA Cover Sheet

Project to be Proposed/Applicant Information

This application is for those projects subject to a limited review pursuant to 10 NYCRR 710.1(c)(5)-(7). Please check the appropriate box(es) reflective of the project being proposed by your facility (**NOTE** – Some projects may involve requisite “Construction”. If so, and **total** project costs are below designated thresholds, then **both boxes** must be checked and necessary LRA Schedules submitted). Please read the LRA Instructions to ensure submission of an appropriate and complete application:

- ☐ **Minor Construction** – Minor construction project with total project costs of up to \$30,000,000 for general hospitals and up to \$8,000,000 for all other facilities.

Necessary LRA Schedules: Cover Sheet, 2, 3, 4, 5, and 6.

- X **Equipment** – Project related to the acquisition, relocation, installation or modification of certain medical equipment, with total project costs of up to \$30,000,000 for general hospitals and up to \$8,000,000 for all other facilities. (**NOT** necessary for “1-for-1” replacement of existing equipment without construction, pursuant to Chapter 174 of the Laws of 2011 amending Article 28 of the Public Health law to eliminate limited review and CON review for one for one equipment replacement)

Necessary LRA Schedules: Cover Sheet, 2, 3, 4, and 5.

- ☐ **Service Delivery** – Project to decertify a facility's beds/services; add services which involve a total project cost up to \$30,000,000 for general hospitals and up to \$8,000,000 for all other facilities; or convert beds within approved categories. (If construction associated, also check “Construction” above.)

Necessary LRA Schedules: Cover Sheet, 2, 6, 7, 8, 10, and 12. *If proposing to decertify beds within a nursing home, provide a description of the proposed alternative use of the space including a detailed sketch (unless the decertification is being accomplished by eliminating beds in multiple-bedded rooms). If proposing to convert beds within approved categories, an LRA Schedule 6 and all supporting documentation are required to confirm appropriate space for the new use.

- ☐ **Mobile Vans** – Project to certify a new mobile van extension clinic or replace a previously certified mobile extension clinic van.

Necessary LRA Schedules: Cover Sheet, 2, 3, 4, 5, and 6.

- ☐ **Relocation of Extension Clinic** – Project to relocate an extension clinic within the same service area which involve a total project cost up to \$15,000,000 for general hospitals and up to \$6,000,000 for all other facilities. (If construction associated, also check “Construction” above.)

Necessary LRA Schedules: Cover Sheet, 2, 3, 4, 5, 6 and 7. Also include a Closure Plan for vacating extension clinic.

- ☐ **Part-Time Clinic** – Project to operate, change services offered, change hours of operation or relocate a part-time clinic site – for applicants already certified for “part-time clinic”. (If construction associated, also check “Construction” above.)

Necessary LRA Schedules: Cover Sheet, 2, 8, 10, 11, and 12.

OPERATING CERTIFICATE NO. 0501000H	CERTIFIED OPERATOR Auburn Community Hospital	TYPE OF FACILITY Hospital
---------------------------------------	---	------------------------------

OPERATOR ADDRESS – STREET & NUMBER 17 Lansing Street		PFI 085	NAME AND TITLE OF CONTACT PERSON Eric Burch, EVP of Administration		
CITY Auburn	COUNTY Cayuga	ZIP 13021	STREET AND NUMBER 17 Lansing Street		
PROJECT SITE ADDRESS – STREET & NUMBER 17 Lansing Street		PFI 085	CITY Auburn	STATE NY	ZIP 13021
CITY Auburn	COUNTY Cayuga	ZIP 13021	TELEPHONE NUMBER 315-777-7450	FAX NUMBER 315-255-7382	
TOTAL PROJECT COST: \$ 1,316,503			CONTACT E-MAIL: Burcheri@auburnhospital.org		

(Rev 09/2023)

Limited Review Application

State of New York Department of Health/Office of Health Systems Management

Schedule LRA 2

Total Project Cost

ITEM	ESTIMATED PROJECT COST	
1.1 Land Acquisition (<i>attach documentation</i>)	\$	0.00
1.2 Building Acquisition	\$	0.00
	1.1-1.2 Subtotal:	0.00
2.1 New Construction	\$	0.00
2.2 Renovation and Demolition	\$	0.00
2.3 Site Development	\$	0.00
2.4 Temporary Power	\$	0.00
	2.1-2.4 Subtotal:	0.00
3.1 Design Contingency	\$	0.00
3.2 Construction Contingency	\$	
	3.1-3.2 Subtotal:	0.00
4.1 Fixed Equipment (NIC)	\$	
4.2 Planning Consultant Fees	\$	
4.3 Architect/Engineering Fees (incl. computer installation, design, etc.)	\$	
4.4 Construction Manager Fees	\$	
4.5 Capitalized Licensing Fees	\$	
4.6 Health Information Technology Costs	\$	
4.6.1 Computer Installation, Design, etc.	\$	
4.6.2 Consultant, Construction Manager Fees, etc.	\$	
4.6.3 Software Licensing, Support Fees	\$	
4.6.4 Computer Hardware/Software Fees	\$	
4.7 Other Project Fees (Consultant, etc.)	\$	
	4.1-4.7 Subtotal:	0.00
5.1 Movable Equipment	\$	1315503
6.1 Total Basic Cost of Construction	\$	1,315,503.00
7.1 Financing Cost (points, fees, etc.)	\$	
7.2 Interim Interest Expense - Total Interest on Construction Loan:		
Amount \$ @ % for months		
7.3 Application Fee	\$	1,000.00
8.1 Estimated Total Project Cost (Total 6.1 – 7.3)	\$	1,316,503.00

If this project involves construction enter the following anticipated construction dates on which your cost estimates are based.

Construction Start Date _____

Construction Completion Date _____

(Rev. 1/31/2013)

Limited Review Application

State of New York Department of Health/Office of Health Systems Management

Schedule LRA 3

Proposed Plan for Project Financing

A. LEASE ☒

If any portion of the cost for land, building or Equipment is to be financed through a lease, rental agreement or lease/purchase agreement, complete the chart at the right.

A complete copy of each proposed lease must be submitted.

Attachment # _____

ITEM	COST AS IF PURCHASED
CARTO 3 SYSTEM	\$ [REDACTED]
	\$
	\$
	\$
	\$

B. CASH ☐

If cash is to be used, complete the chart at the right.

Attach a copy of the latest certified financial Statement and interim monthly or quarterly financial reports to cover the balance of time to date.

Attachment # _____

Accumulated Funds	\$
Sale of Existing Assets*	\$
Other - (i.e. gifts, grants, **etc.)	\$
TOTAL CASH	\$ 0.00

*Attach a full and complete description of the assets to be sold.

Attachment # _____

** If grants, attach a description of the source of financial support

Attachment # _____

C. DEBT FINANCING ☐

If the project is to be financed by debt of any type, complete the chart at the right.

Attach a copy of the proposed letter of interest From the intended source of permanent financing. This letter must include an estimate of the Principal, term, interest rate and pay-out period presently being considered.

Attachment # _____

Principal	\$
Interest Rate	%
Term	Yrs
Pay-out Period	Yrs
Type *	

* Commercial, Dormitory Authority Bonds, Dormitory Authority, TELP Lease, Industrial Development Agency Bonds, Other (identify).



Schedule LRA 4/Schedule 7 CON Forms Regarding Environmental issues

Contents:

Schedule LRA 4/Schedule 7 - Environmental Assessment

Environmental Assessment			
Part I.	The following questions help determine whether the project is "significant" from an environmental standpoint.	Yes	No
1.1	If this application involves establishment, will it involve more than a change of name or ownership only, or a transfer of stock or partnership or membership interests only, or the conversion of existing beds to the same or lesser number of a different level of care beds?	☐	X ☐
1.2	Does this plan involve construction and change land use or density?	☐	X ☐
1.3	Does this plan involve construction and have a permanent effect on the environment if temporary land use is involved?	☐	X ☐
1.4	Does this plan involve construction and require work related to the disposition of asbestos?	☐	X ☐
Part II.	If any question in Part I is answered "yes" the project may be significant, and Part II must be completed. If all questions in Part II are answered "no" it is likely that the project is not significant	Yes	No
2.1	Does the project involve physical alteration of ten acres or more?	☐	X ☐
2.2	If an expansion of an existing facility, is the area physically altered by the facility expanding by more than 50% and is the total existing and proposed altered area ten acres or more?	☐	X ☐
2.3	Will the project involve use of ground or surface water or discharge of wastewater to ground or surface water in excess of 2,000,000 gallons per day?	☐	X ☐
2.4	If an expansion of an existing facility, will use of ground or surface water or discharge of wastewater by the facility increase by more than 50% and exceed 2,000,000 gallons per day?	☐	X ☐
2.5	Will the project involve parking for 1,000 vehicles or more?	☐	X ☐
2.6	If an expansion of an existing facility, will the project involve a 50% or greater increase in parking spaces and will total parking exceed 1000 vehicles?	☐	X ☐
2.7	In a city, town, or village of 150,000 population or fewer, will the project entail more than 100,000 square feet of gross floor area?	☐	X ☐
2.8	If an expansion of an existing facility in a city, town, or village of 150,000 population or fewer, will the project expand existing floor space by more than 50% so that gross floor area exceeds 100,000 square feet?	☐	X ☐
2.9	In a city, town or village of more than 150,000 population, will the project entail more than 240,000 square feet of gross floor area?	☐	X ☐
2.10	If an expansion of an existing facility in a city, town, or village of more than 150,000 population, will the project expand existing floor space by more than 50% so that gross floor area exceeds 240,000 square feet?	☐	X ☐
2.11	In a locality without any zoning regulation about height, will the project contain any structure exceeding 100 feet above the original ground area?	☐	X ☐
2.12	Is the project wholly or partially within an agricultural district certified pursuant to Agriculture and Markets Law Article 25, Section 303?	☐	X ☐
2.13	Will the project significantly affect drainage flow on adjacent sites?	☐	X ☐

2.14	Will the project affect any threatened or endangered plants or animal species?	☐	X ☐
2.15	Will the project result in a major adverse effect on air quality?	☐	X ☐
2.16	Will the project have a major effect on visual character of the community or scenic views or vistas known to be important to the community?	☐	X ☐
2.17	Will the project result in major traffic problems or have a major effect on existing transportation systems?	☐	X ☐
2.18	Will the project regularly cause objectionable odors, noise, glare, vibration, or electrical disturbance as a result of the project's operation?	☐	X ☐
2.19	Will the project have any adverse impact on health or safety?	☐	X ☐
2.20	Will the project affect the existing community by directly causing a growth in permanent population of more than five percent over a one-year period or have a major negative effect on the character of the community or neighborhood?	☐	X ☐
2.21	Is the project wholly or partially within, or is it contiguous to any facility or site listed on the National Register of Historic Places, or any historic building, structure, or site, or prehistoric site, that has been proposed by the Committee on the Registers for consideration by the New York State Board on Historic Preservation for recommendation to the State Historic Officer for nomination for inclusion in said National Register?	☐	X ☐
2.22	Will the project cause a beneficial or adverse effect on property listed on the National or State Register of Historic Places or on property which is determined to be eligible for listing on the State Register of Historic Places by the Commissioner of Parks, Recreation, and Historic Preservation?	☐	X ☐
2.23	Is this project within the Coastal Zone as defined in Executive Law, Article 42? If Yes, please complete Part IV.	☐	X ☐
Part III.		Yes	No
3.1	Are there any other state or local agencies involved in approval of the project? If so, fill in Contact Information to Question 3.1 below.	☐	X ☐
	Agency Name:		
	Contact Name:		
	Address:		
	State and Zip Code:		
	E-Mail Address:		
	Phone Number:		
	Agency Name:		
	Contact Name:		
	Address:		
	State and Zip Code:		
	E-Mail Address:		
	Phone Number:		
	Agency Name:		
Contact Name:			

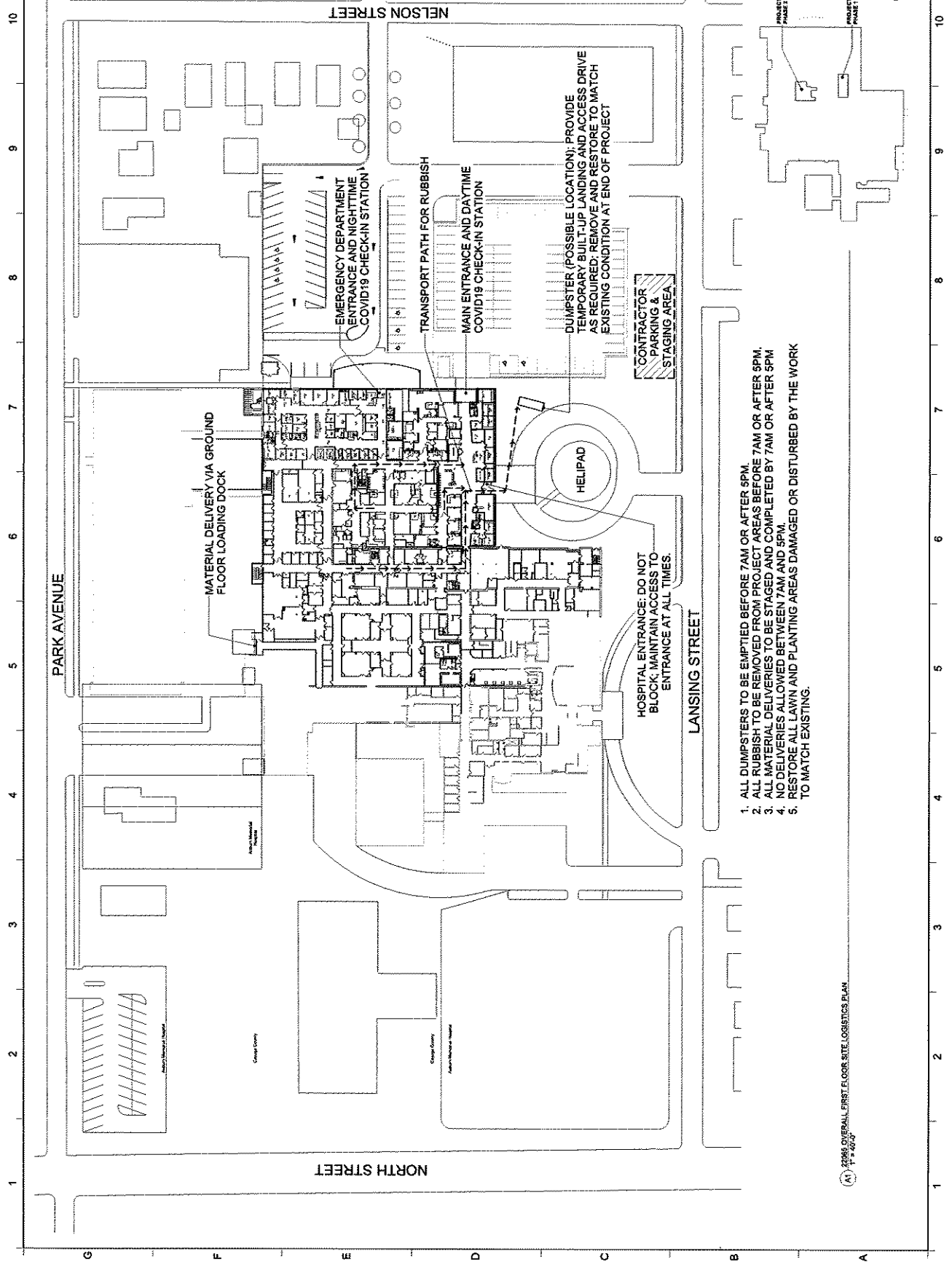
	Address:				
	State and Zip Code:				
	E-Mail Address:				
	Phone Number:				
	Agency Name:				
	Contact Name:				
	Address:				
	State and Zip Code:				
	E-Mail Address:				
	Phone Number:				
3.2	Has any other agency made an environmental review of this project? If so, give name, and submit the SEQRA Summary of Findings with the application in the space provided below.			Yes ☐	No X ☐
	Agency Name:				
	Contact Name:				
	Address:				
	State and Zip Code:				
	E-Mail Address:				
	Phone Number:				
3.3	Is there a public controversy concerning environmental aspects of this project? If yes, briefly describe the controversy in the space below.			Yes ☐	No X ☐
Part IV. Storm and Flood Mitigation					
	Definitions of FEMA Flood Zone Designations Flood zones are geographic areas that the FEMA has defined according to varying levels of flood risk. These zones are depicted on a community's Flood Insurance Rate Map (FIRM) or Flood Hazard Boundary Map. Each zone reflects the severity or type of flooding in the area.				
	Please use the FEMA Flood Designations scale below as a guide to answering all Part IV questions regardless of project location, flood and or evacuation zone.			Yes	No
4.1	Is the proposed site located in a flood plain? If Yes, indicate classification below and provide the Elevation Certificate (FEMA Flood Insurance).			☐	X ☐
	Moderate to Low Risk Area			Yes	No
	Zone	Description		☐	X ☐
	In communities that participate in the NFIP, flood insurance is available to all property owners and renters in these zones:				
	B and X	Area of moderate flood hazard, usually the area between the limits of the 100-year and 500-year floods. Are also used to designate base floodplains of lesser hazards, such as areas protected by levees from 100-year flood, or shallow flooding areas with average depths of less than one foot or drainage areas less than 1 square mile.		☐	

C and X	Area of minimal flood hazard, usually depicted on FIRMs as above the 500-year flood level.	☐	
High Risk Areas		Yes	No
Zone	Description	☐	X ☐
In communities that participate in the NFIP, mandatory flood insurance purchase requirements apply to all these zones:			
A	Areas with a 1% annual chance of flooding and a 26% chance of flooding over the life of a 30-year mortgage. Because detailed analyses are not performed for such areas; no depths or base flood elevations are shown within these zones.	☐	
AE	The base floodplain where base flood elevations are provided. AE Zones are now used on new format FIRMs instead of A1-A30.	☐	
A1-30	These are known as numbered A Zones (e.g., A7 or A14). This is the base floodplain where the FIRM shows a BFE (old format).	☐	
AH	Areas with a 1% annual chance of shallow flooding, usually in the form of a pond, with an average depth ranging from 1 to 3 feet. These areas have a 26% chance of flooding over the life of a 30-year mortgage. Base flood elevations derived from detailed analyses are shown at selected intervals within these zones.	☐	
AO	River or stream flood hazard areas, and areas with a 1% or greater chance of shallow flooding each year, usually in the form of sheet flow, with an average depth ranging from 1 to 3 feet. These areas have a 26% chance of flooding over the life of a 30-year mortgage. Average flood depths derived from detailed analyses are shown within these zones.	☐	
AR	Areas with a temporarily increased flood risk due to the building or restoration of a flood control system (such as a levee or a dam). Mandatory flood insurance purchase requirements will apply, but rates will not exceed the rates for unnumbered A zones if the structure is built or restored in compliance with Zone AR floodplain management regulations.	☐	
A99	Areas with a 1% annual chance of flooding that will be protected by a Federal flood control system where construction has reached specified legal requirements. No depths or base flood elevations are shown within these zones.	☐	
High Risk Coastal Area		Yes	No
Zone	Description		
In communities that participate in the NFIP, mandatory flood insurance purchase requirements apply to all these zones:			
Zone V	Coastal areas with a 1% or greater chance of flooding and an additional hazard associated with storm waves. These areas have a 26% chance of flooding over the life of a 30-year mortgage. No base flood elevations are shown within these zones.	☐	X ☐
VE, V1 - 30	Coastal areas with a 1% or greater chance of flooding and an additional hazard associated with storm waves. These areas have a 26% chance of flooding over the life of a 30-year mortgage. Base flood elevations derived from detailed analyses are shown at selected intervals within these zones.	☐	
Undetermined Risk Area		Yes	No
Zone	Description	☐	X ☐

	D	Areas with possible but undetermined flood hazards. No flood hazard analysis has been conducted. Flood insurance rates are commensurate with the uncertainty of the flood risk.		
4.2	Are you in a designated evacuation zone?		☐	X ☐
	If Yes, the Elevation Certificate (FEMA Flood Insurance) shall be submitted with the application.			
	If yes which zone is the site located in?			
4.3	Does this project reflect the post Hurricane Lee, and or Irene, and Superstorm Sandy mitigation standards?		☐	X ☐
	If Yes, which floodplain?	100 Year	☐	
		500 Year	☐	

The Elevation Certificate provides a way for a community to document compliance with the community's floodplain management ordinance.

FEMA Elevation_Certificate and Instructions



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**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**

AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

DATE	04/10/21
PROJECT	22065
CONTRACT NO.	22100
CONTRACTOR	22100

REVISIONS

NO.	DESCRIPTION	DATE
1	ISSUED FOR PERMIT	04/10/21

REVISION SCHEDULE

NAME	DATE

22065 G301

(A) 22065 OVERALL FIRST FLOOR SITE LOGISTICS PLAN
1" = 40'-0"



KATHY HOCHUL
Governor

Department of Health

JAMES V. McDONALD, M.D., M.P.H.
Acting Commissioner

MEGAN E. BALDWIN
Acting Executive Deputy Commissioner

SELF-CERTIFICATION FORM FOR ARCHITECTS AND ENGINEERS

Date: February 2, 2026
CON Number: 261018
Facility Name: Auburn Community Hospital
Facility ID Number: 85
Facility Address: 17 Lansing Street, Auburn NY 13021

NYS Department of Health/Office of Health Systems Management
Center for Health Care Facility Planning, Licensure and Finance
Bureau of Architectural and Engineering Review
ESP, Corning Tower, 18th Floor
Albany, New York 12237
To The New York State Department of Health:

I hereby certify that:

1. I have been retained by the above-named facility, to provide services related to the design and preparation of construction documents and specifications for the aforementioned construction project, and, as applicable, to make periodic visits to the site during construction, and perform such other required services to familiarize myself with the general progress, quality and conformance of the work.
2. I have ascertained that, to the best of my knowledge, information and belief, the completed structure will be designed and constructed, in accordance with the programmatic requirements for the aforementioned and in accordance with any project definitions, modifications and or revisions approved or required by the New York State Department of Health.
3. The above-referenced construction project will be designed and constructed in compliance with all applicable local codes, statutes, and regulations, and the applicable provisions of the State Hospital Code -- 10 NYCRR Part 711 (General Standards for Construction) and Parts (check all that apply):
 - a. ☒ 712 (Standards of Construction for General Hospital Facilities)
 - b. ☐ 713 (Standards of Construction for Nursing Home Facilities)
 - c. ☐ 714 (Standards of Construction for Adult Day Health Care Program Facilities)
 - d. ☐ 715 (Standards of Construction for Freestanding Ambulatory Care Facilities)
 - e. ☐ 716 (Standards of Construction for Rehabilitation Facilities)
 - f. ☐ 717 (Standards of Construction for New Hospice Facilities and Units)
4. I understand that as the design of this project progresses, if a component of this project is inconsistent with the State Hospital Code (10 NYCRR Parts 711, 712, 713, 714, 715, 716, or 717), I shall bring this to the attention of Bureau of Architecture and Engineering Review (BAER) of the New York State Department of Health prior to or upon submitting final drawings for compliance resolution.
5. I understand that upon completion of construction, the costs of any subsequent corrections necessary to address the pre-opening survey findings of deficiencies by the NYSDOH Regional Office, to achieve compliance with applicable requirements of 10 NYCRR Parts 711, 712, 713, 714, 715, 716 and 717, when the prior work was not completed properly as certified herein, may not be considered allowable costs for reimbursement under 10 NYCRR Part 86.

SELF-CERTIFICATION FORM FOR ARCHITECTS AND ENGINEERS

6. I have reviewed and acknowledged the Supplemental Self-Certification Eligibility Checklist Page 4 of this document and evaluated and determined this project does meet the prerequisite requirements for Self-Certification. I understand and agree, if the project is deemed by NYSDOH not meeting the criteria allowable for self-certification, I will be required to be resubmit the project documents for an AER review.

This self-certification is being submitted to facilitate the Architectural CON process and is in lieu of a plan review. It is understood that an electronic copy of final Construction Documents on CD, meeting the requirements of DSG-05 must be submitted to PMU for all projects, including limited, administrative, full review, self-certification and reviews performed and completed by DASNY, prior to construction.

Project Name: Add EP Services to IR/ Cath Lab

Location: Auburn Community Hospital, 17 Lansing Street, Auburn, New York 13021

Description: ADD Cardiac Electrophysiology services in the new IR/Cath Lab

Paul A. Levesque II

Signature of NYS Licensed Architect/Engineer

030772

Name of Architect/Engineer (Print)

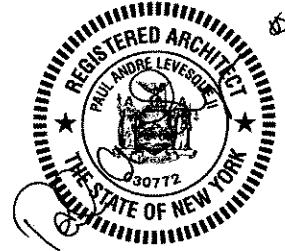
Paul A. Levesque II

Professional New York State License Number

HOLT Architects, 619 West State Street, Ithaca, NY 14850

Business Street Address, City, State, Zip Code

Architectural or Engineering Professional
Stamp



The undersigned applicant understands and agrees that, notwithstanding this architectural/engineering certification the Department of Health shall have continuing authority to (a) review the plans submitted herewith and/or inspect the work with regard thereto, and (b) withdraw its approval thereto. The applicant shall have a continuing obligation to make any changes required by the Division to comply with the above-mentioned codes and regulations, whether or not physical plant construction or alterations have been completed.

Authorized Signature for Applicant

Date

Name (Print)

Title

Notary signing required for the applicant

STATE OF NEW YORK

)

) SS:

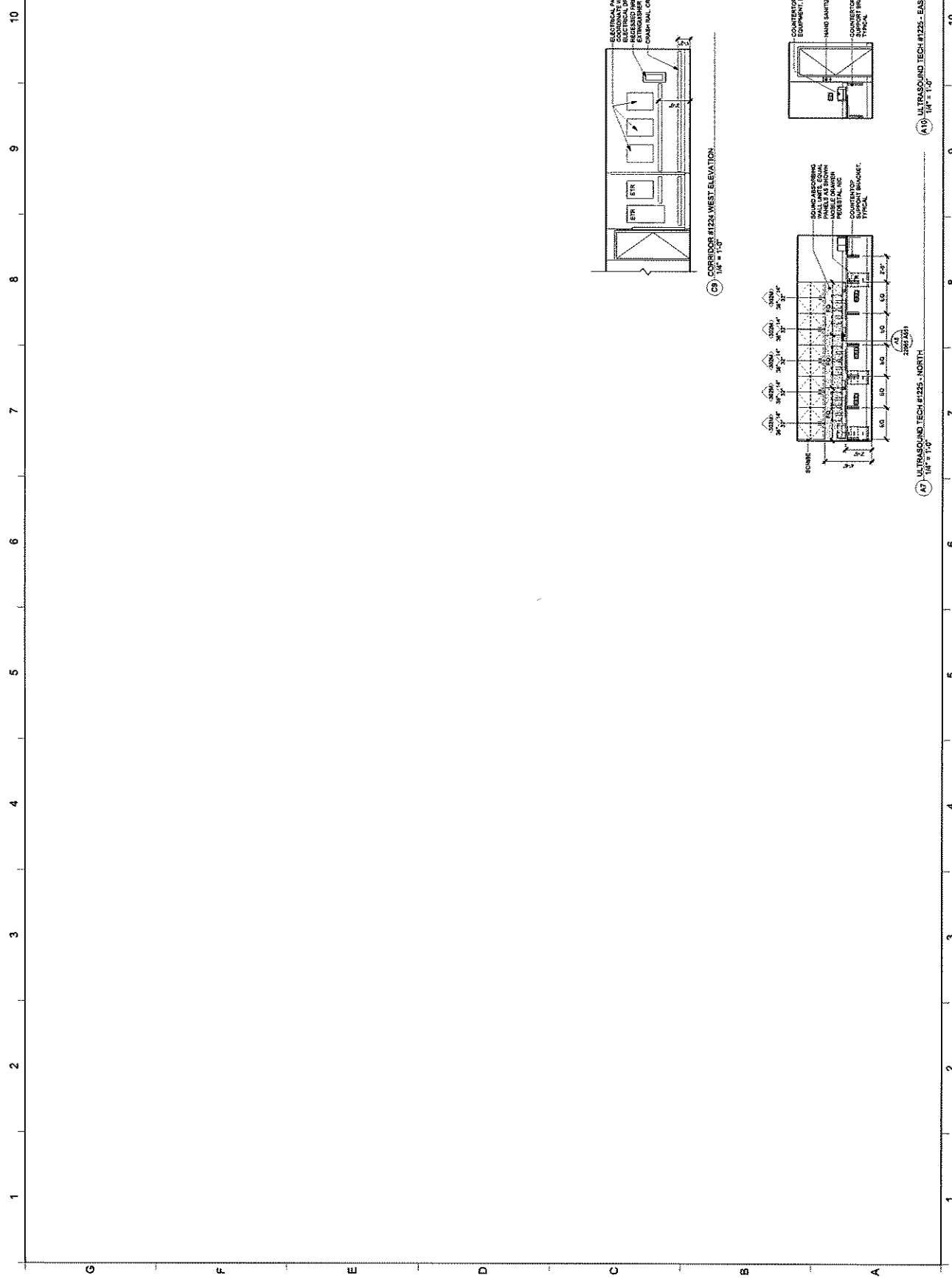
County of _____

)

On the ____ day of _____ 20__, before me personally appeared _____, to me known, who being by me duly sworn, did depose and say that he/she is the _____ of the _____, the facility described herein which executed the foregoing instrument; and that he/she signed his/her name thereto by order of the governing authority of said facility.

(Notary) _____

Project Eligibility Checklist for Architectural/Engineering Self-Certification		
	YES If Yes, project is not eligible for Self-Certification and is required to be submitted for an AER review.	NO
Does the project include any of the following?		NO
1. Is a waiver or exceptions required?		NO
2. Will the project costs exceed \$15,000,000.00 (fifteen million dollars.)?		NO
3. Is Bulk Oxygen /Medical Gas Storage associated with this project? Examples of Bulk Oxygen /Medical Gas Storage projects include but not limited to the following:		NO
a. Hyperbaric Chambers		
b. Bulk Systems include Nitrous Oxide System and Oxygen System: Definitions as defined below:		
Bulk Nitrous Oxide System. An assembly of equipment as described in the definition of bulk oxygen system that has a storage capacity of more than 3200 lb (1452 kg) [approximately 28,000 ft ³ (793 m ³) (NTP)] of nitrous oxide. (PIP)ground		
Bulk Oxygen System* An assembly of equipment such as oxygen storage containers, pressure regulators, pressure relief devices, vaporizers, manifolds, and interconnecting piping that has a storage capacity of more than 20,000 ft ³ (566 m ³) of oxygen (NTP) including unconnected reserves on hand at the site. The bulk oxygen system terminates at the point where oxygen at service pressure first enters the supply line. (PIP)		
4. Will this project have Locked or Secured Units? Examples of Locked or Secured Units include but not limited to the following;		NO
a. Observation Units for behavioral health in ED's.		
b. Behavioral health located within inpatient settings.		
c. Nursing Homes or other facilities with Dementia Units that are locked.		
d. Corrections and Detention Facilities located in Hospitals, Ambulatory Health Care Occupancies and Business Occupancies where healthcare is provided.		
5. Will this project involve construction of new procedure rooms, new operating rooms, renovations and or alterations to existing procedure rooms and or operating rooms, including modifications made to existing support systems, including, but not limited to heating, cooling, plumbing, electrical systems, medical gas systems, fire detection and fire protection systems, located in hospitals and existing ambulatory surgery centers? Examples, include but not limited to the following.		NO
a. Endoscopy Procedure Rooms		
b. Procedure Rooms		
c. Operating Rooms		
d. Interventional Imaging		
i. Located in procedure rooms		
ii. Located in operating rooms		
6. Is this a project requiring construction that is required to comply with New Ambulatory Health Care Occupancies as indicated in Chapter 20 of NFPA 101, 2012 edition requirements? Examples, include but not limited to the following:		NO
a. New Ambulatory Surgery Center		NO
b. Endoscopy Centers and or Other Procedure Rooms		NO
c. Free Standing Emergency Departments providing Definitive Care.		NO
7. Is this project intended to provide Ventilator units for patients located in nursing homes?		NO
8. Does this project involve Airborne infection isolation (AII) room?		NO
9. Does this project involve Protective environment (PE) room?		NO



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INTERVENTIONAL RADIOLOGY
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17 Lansing Street, Auburn, New York 13021

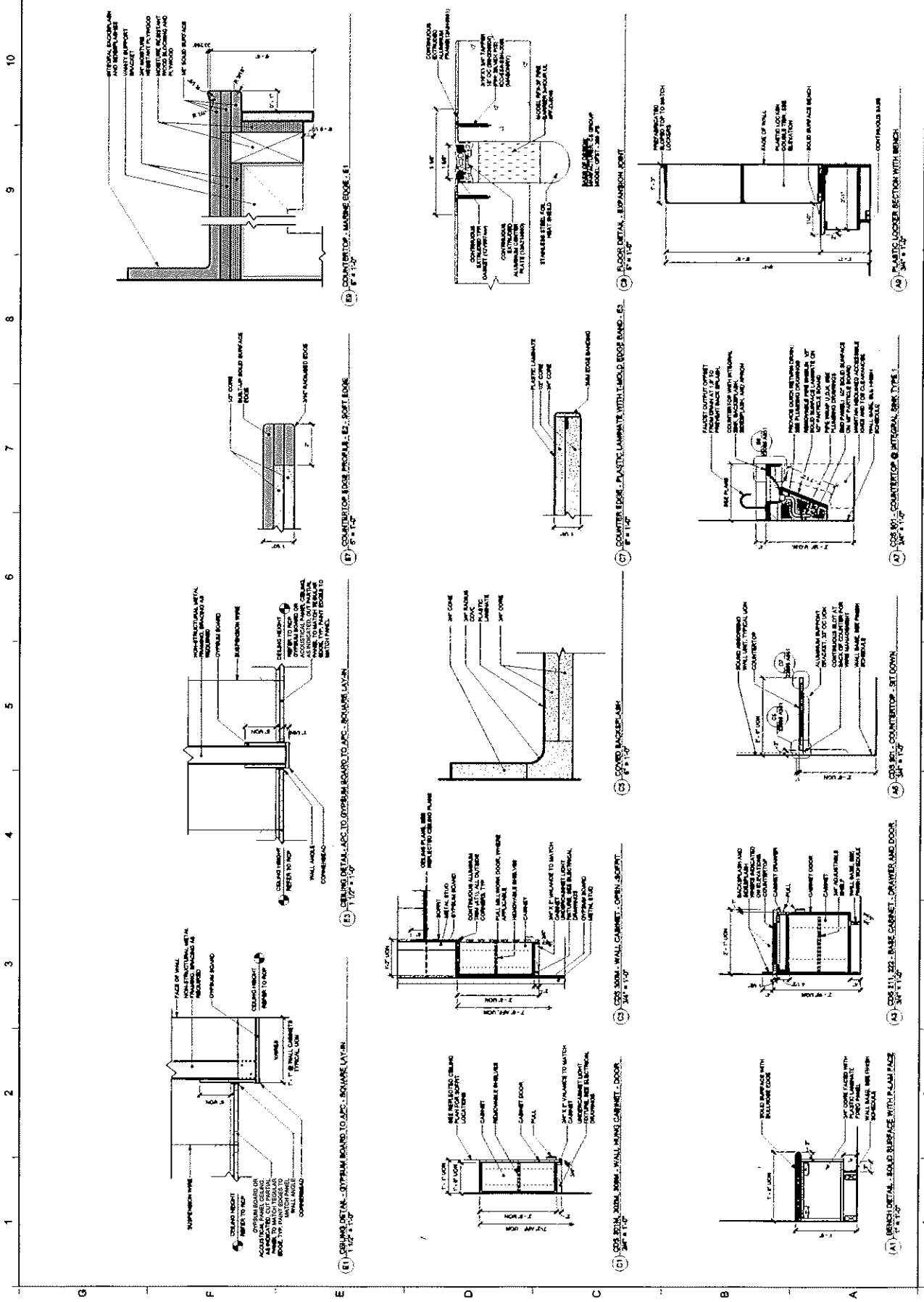
NAME _____ DATE _____

WARNING: It is a violation of New York State Law for any person, other than acting under the direction of a Licensed Architect, to alter this document in any way. If a document bearing the seal of an Architect is altered, the altering Architect will also to such document his seal and the notation "altered by" followed by the name of the altering Architect.



**Architecture
Planning
Interior Design**
415 N. State Street
Rm. 400
New York, NY 10037

HOLT RINEHART & WINSTON



**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

DATE: _____
DRAWN BY: _____
CHECKED BY: _____
APPROVED BY: _____

22065 A851

**MISCELLANEOUS
DETAILS**

HOLT RECEPTIONS
Architecture
Planning
Interior Design
441 W. Main Street
Auburn, New York 13021
Tel: (315) 255-1234
Fax: (315) 255-1235
www.holtreceptions.com

REVISION SCHEDULE

NO.	DATE	DESCRIPTION
1	10/1/01	ISSUED FOR PERMIT
2	10/1/01	ISSUED FOR BIDDING
3	10/1/01	ISSUED FOR CONSTRUCTION



	9	8	7	6	5	4	3	2
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	9	8	7	6	5	4	3	2
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[illegible]

	9	8	7	6	5	4	3	2
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[illegible]

	9	8	7	6	5	4	3	2
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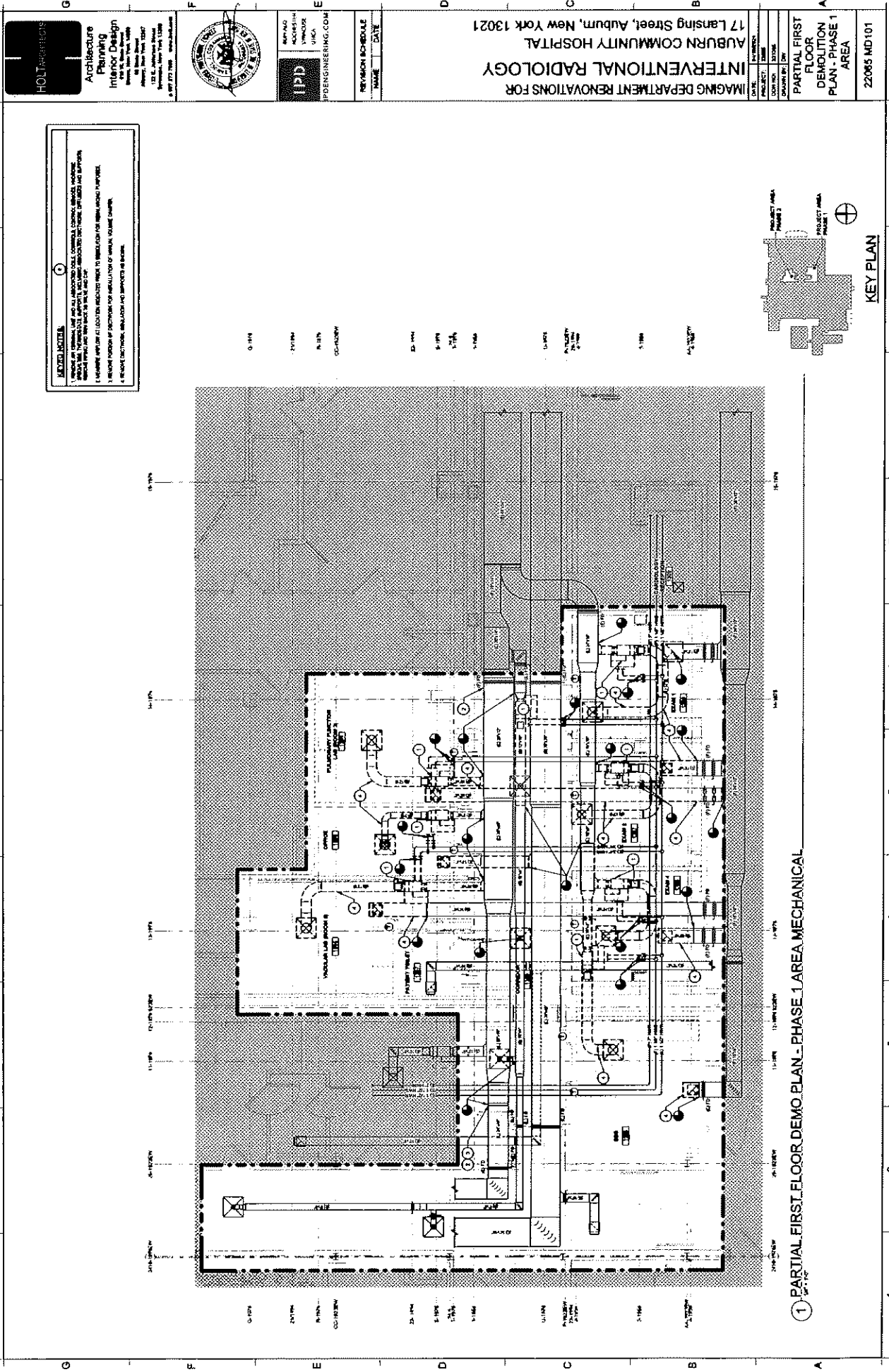
	9	8	7	6	5	4	3	2
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		AIR BALANCE SCHEDULE					
		SUPPLY		RETURN		OUTSIDE	
UNIT NO.	EXISTING NEW	EXISTING NEW	EXISTING NEW	EXISTING NEW	EXISTING NEW	EXISTING NEW	EXISTING NEW
	CFM	CFM	CFM	CFM	CFM	CFM	CFM
ACU-14	14380	NOTE 1	27345	12771	2185	3340	
EF-10							2183
ACU-2	16630	16975	5345	5975	3200	10000	1750
EF-2							
Re-2							
							7385
							7385

NOTES:
 1. CON. MATCHES TO COMPARABLE NEW UNIT VALUE BASED ON PRE-EXHAUSTION AIR FLOW RATING.

101010

1 2 3 4 5 6 7 8 9 10



NOTES

1. ALL WORK SHALL BE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE MECHANICAL, ELECTRICAL, AND PLUMBING CODES, AS WELL AS THE AIA/CES CODE OF ETHICS AND STANDARDS OF PRACTICE.
2. ALL WORK SHALL BE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE MECHANICAL, ELECTRICAL, AND PLUMBING CODES, AS WELL AS THE AIA/CES CODE OF ETHICS AND STANDARDS OF PRACTICE.
3. ALL WORK SHALL BE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE MECHANICAL, ELECTRICAL, AND PLUMBING CODES, AS WELL AS THE AIA/CES CODE OF ETHICS AND STANDARDS OF PRACTICE.
4. ALL WORK SHALL BE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE MECHANICAL, ELECTRICAL, AND PLUMBING CODES, AS WELL AS THE AIA/CES CODE OF ETHICS AND STANDARDS OF PRACTICE.

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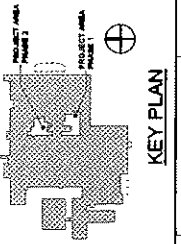
REVISION SCHEDULE	
NO.	DATE

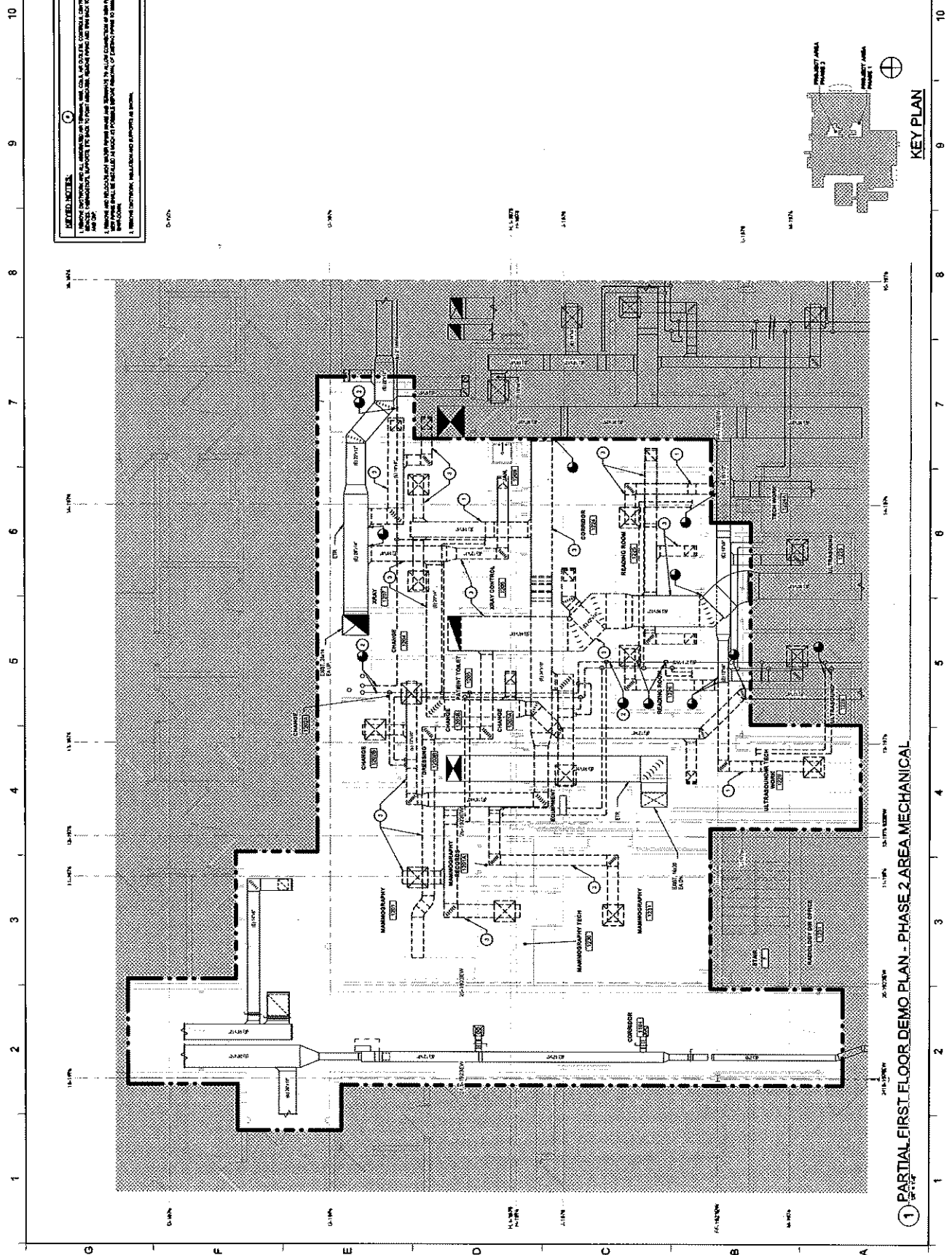
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**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021**

DATE	1/15/2024
PROJECT NO.	22065 MD101
PROJECT NAME	IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY AUBURN COMMUNITY HOSPITAL 17 Lansing Street, Auburn, New York 13021
PROJECT AREA	22065 MD101





NOTES:

1. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE MECHANICAL, ELECTRICAL, AND PLUMBING (MEP) CODES AND STANDARDS.
2. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE MECHANICAL, ELECTRICAL, AND PLUMBING (MEP) CODES AND STANDARDS.
3. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE MECHANICAL, ELECTRICAL, AND PLUMBING (MEP) CODES AND STANDARDS.
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State of Alabama
No. 12345
Exp. 12/31/2024

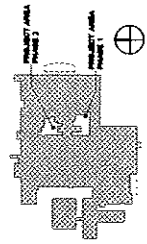
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REVISION	DATE	DESCRIPTION
1	04/24/2024	ISSUED FOR PERMIT

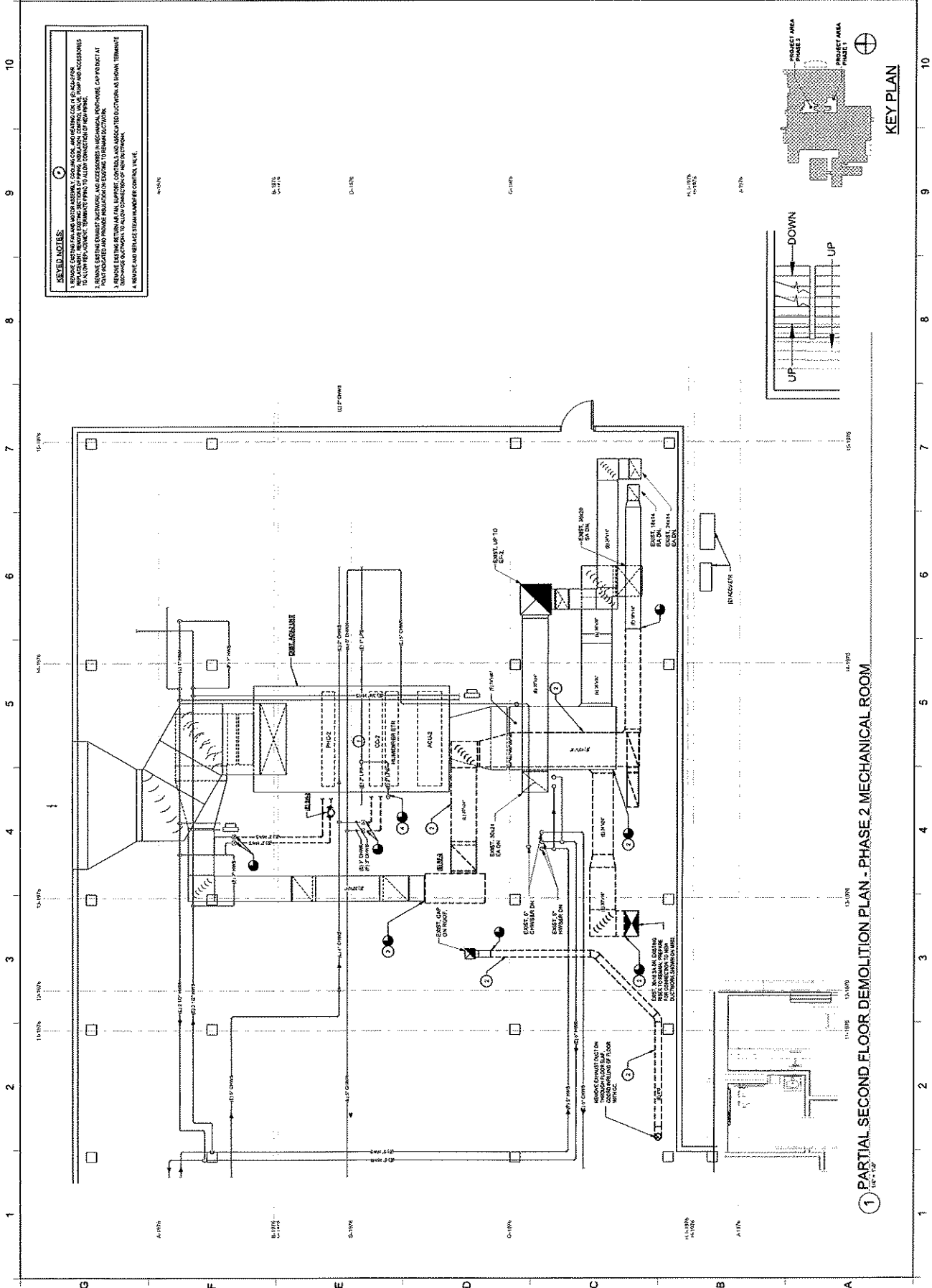
IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

DATE	PROJECT	STATUS
04/24/2024	IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY	ISSUED FOR PERMIT

PARTIAL FIRST FLOOR DEMO PLAN - PHASE 2 AREA
22065 MD102



KEY PLAN



REMOVED NOTES

1. REMOVE EXISTING FAN AND MOTOR ASSEMBLY, COOLING COIL, AND HEATING COIL IN PLACEMENT TO ALIGN WITH EXISTING DUCTWORK. REMOVE EXISTING DUCTWORK AND ACCESSORIES TO ALIGN WITH EXISTING. TERMINATE PIPING TO ALIGN WITH EXISTING DUCTWORK.

2. REMOVE EXISTING EXHAUST, DUCTWORK, AND ACCESSORIES IN MECHANICAL ROOM. CAP PIPING AT POINT INDICATED AND PROVIDE PLUMBING OR EXISTING TO REMAIN IN SECTION.

3. REMOVE EXISTING EXHAUST, DUCTWORK, AND ACCESSORIES IN MECHANICAL ROOM. CAP PIPING AT POINT INDICATED AND PROVIDE PLUMBING OR EXISTING TO REMAIN IN SECTION.

4. REMOVE EXISTING EXHAUST, DUCTWORK, AND ACCESSORIES IN MECHANICAL ROOM. CAP PIPING AT POINT INDICATED AND PROVIDE PLUMBING OR EXISTING TO REMAIN IN SECTION.

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10. REMOVE EXISTING EXHAUST, DUCTWORK, AND ACCESSORIES IN MECHANICAL ROOM. CAP PIPING AT POINT INDICATED AND PROVIDE PLUMBING OR EXISTING TO REMAIN IN SECTION.

Holt Associates
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Interior Design
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WILLIAM E. HOLT
Professional Engineer
No. 10000
State of New York

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REVISIONS	
NO.	DATE

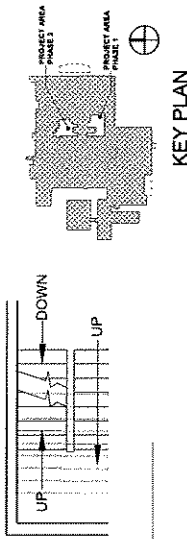
**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**

17 Lansing Street, Auburn, New York 13021

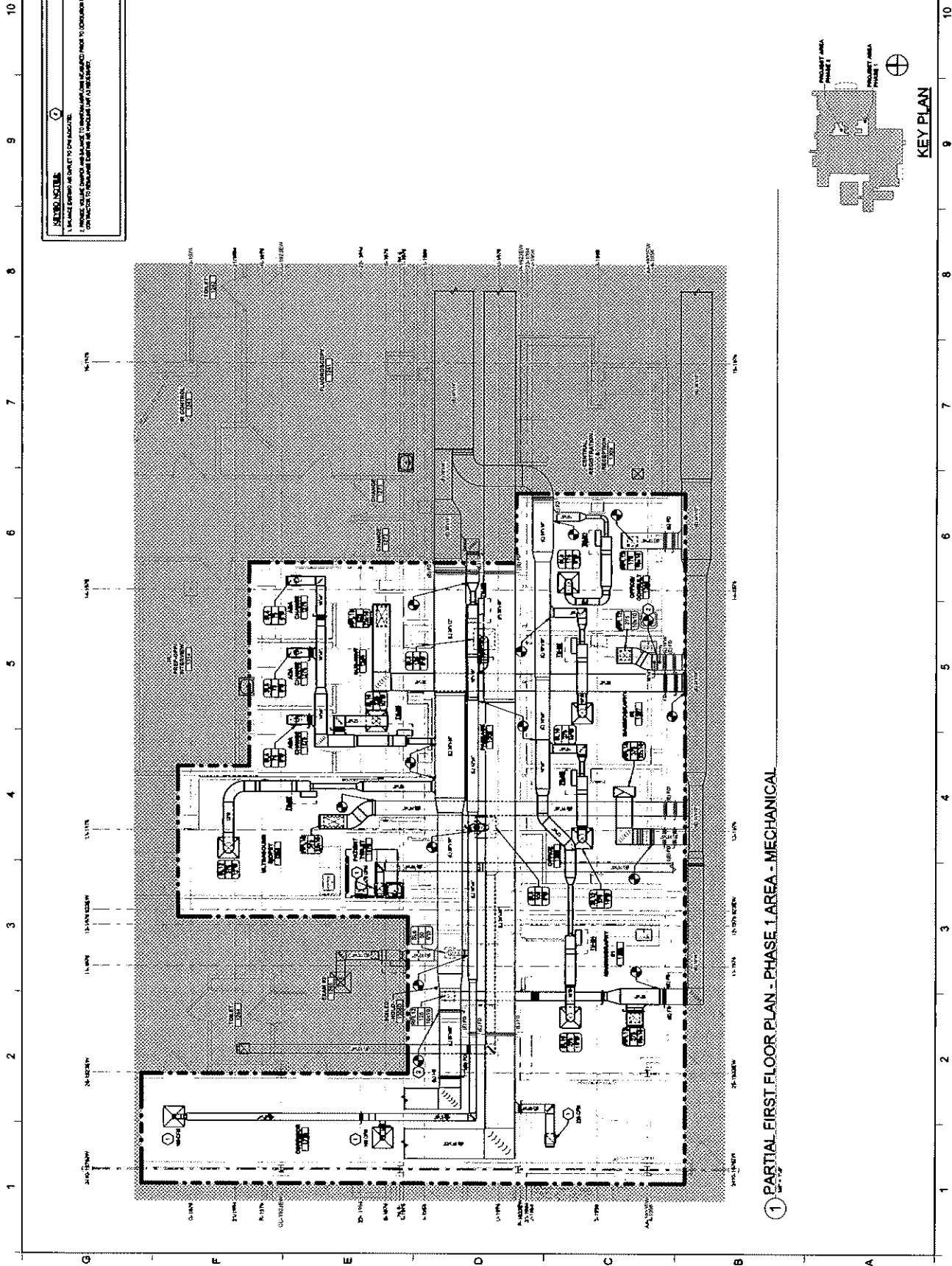
DATE	DESCRIPTION
11/11/2023	PROJECT: 22065
11/11/2023	CONTRACT NO. 22065
11/11/2023	CONTRACT NO. 22065

**PARTIAL
SECOND FLOOR
DEMOLITION
PLAN - PHASE 2
MECH ROOM**

22065 MD103



1 PARTIAL SECOND FLOOR DEMOLITION PLAN - PHASE 2 MECHANICAL ROOM



① PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - MECHANICAL

REVISIONS

- 1. INITIAL DESIGN AND CONSTRUCTION.
- 2. REVISED DESIGN AND CONSTRUCTION.
- 3. REVISED DESIGN AND CONSTRUCTION.



HOLT/TAKEWELL

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REVISION	DATE
1	04/24/2024

IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
17 Lansing Street, Auburn, New York 13021

PROJECT: IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY

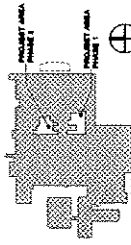
DATE: 04/24/2024

PROJECT NO: 22065 MT01

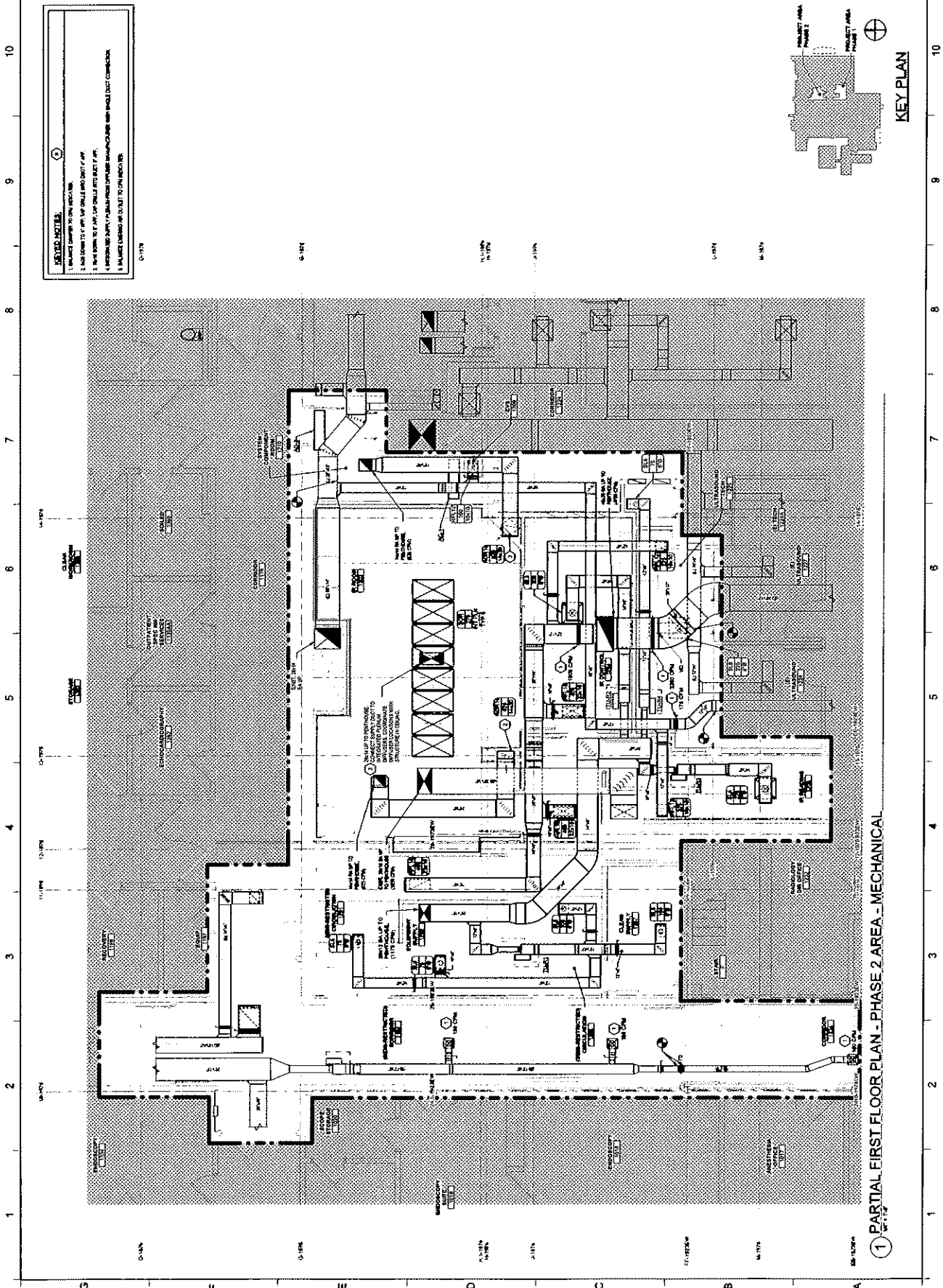
PHASE 1 AREA - DUCTWORK

PARTIAL FIRST FLOOR PLAN

22065 MT01



KEY PLAN



HOLT ARCHITECTS

Architecture
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17 Lansing Street, Auburn, New York 13021
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Auburn, New York 13021
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ELECTRICAL
PLUMBING
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REVISION SCHEDULE

NO.	NAME	DATE
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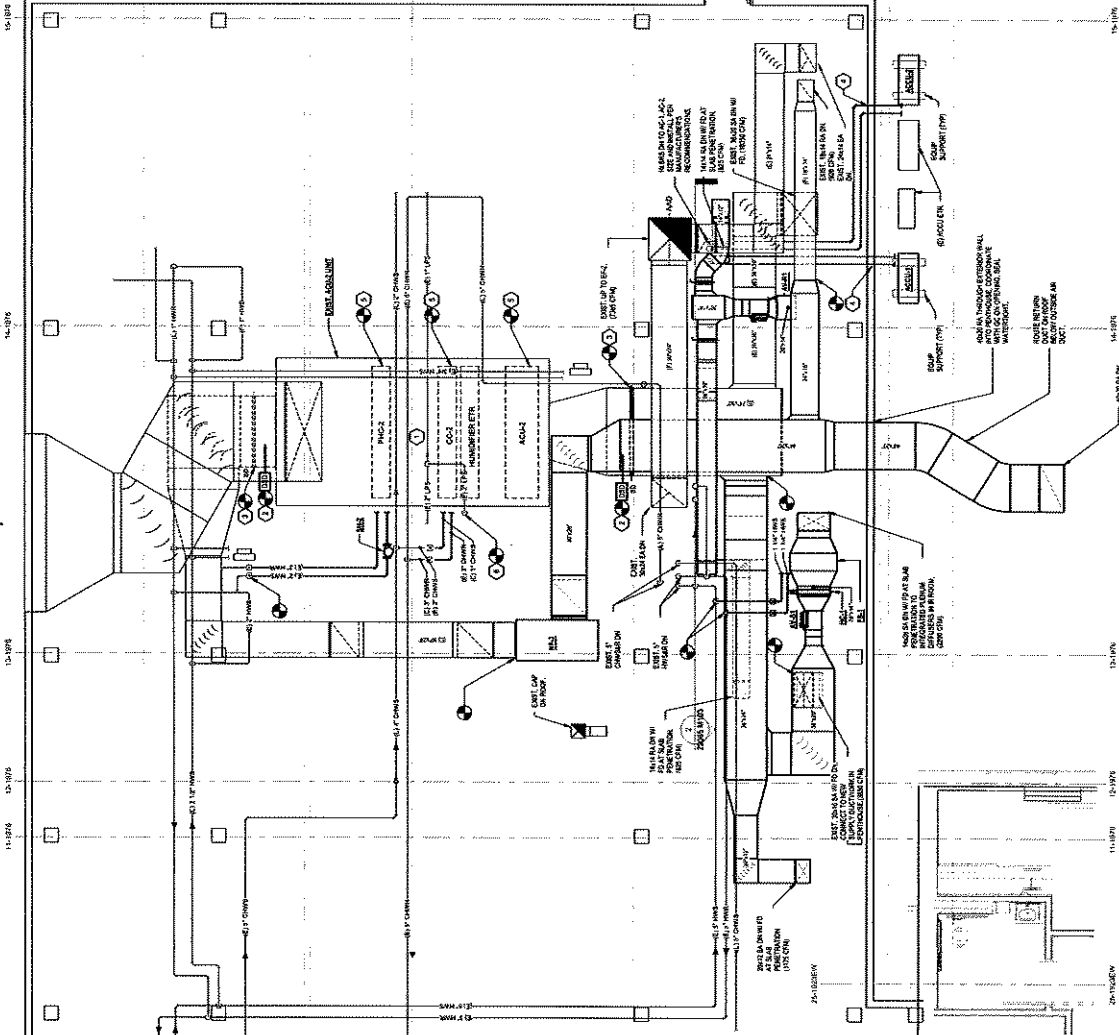
**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**

17 Lansing Street, Auburn, New York 13021

DATE: 12/20/2023
PROJECT: 22085
CLIENT: AUBURN COMMUNITY HOSPITAL
DESIGNER: HOLT ARCHITECTS

**PARTIAL FIRST
FLOOR PLAN -
PHASE 2 AREA -
DUCTWORK**

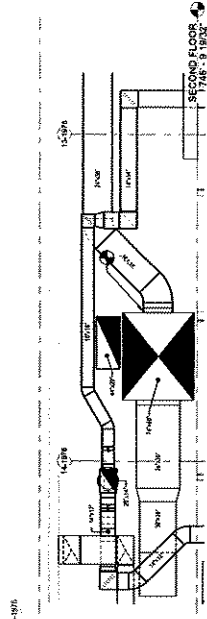
22085 M102



1 PARTIAL SECOND FLOOR PLAN - PHASE 2 MECHANICAL ROOM
1/4" x 1/2"



2 MECHANICAL ROOM DUCTWORK



REVISION SCHEDULE



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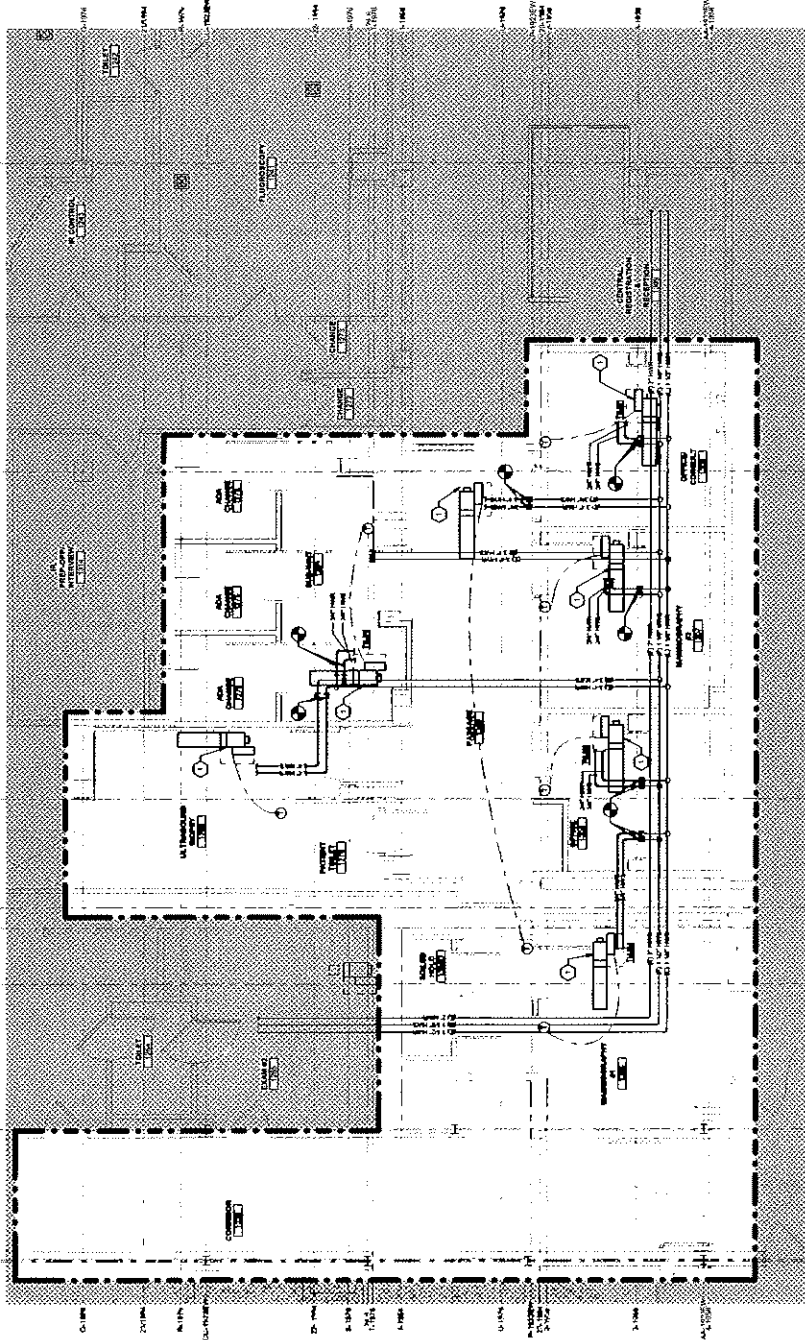
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Architecture
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Interior Design
619 W. 114th Street
Riverside, New York 14880
80 State Street
Albany, New York 12247
132 E. Jefferson Street
Syracuse, New York 13202
P 607 253 7606 www.holtarch.com

22065 M103	PARTIAL SECOND FLOOR PLAN - PHASE 2 MECHANICAL ROOM	IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY AUBURN COMMUNITY HOSPITAL 17 Lansing Street, Auburn, New York 13021	DATE: 04/10/20 PROJECT: 2006 DRAWING NO: 2006-001 SCALE: 1/8" = 1'-0"
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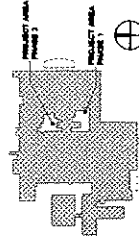
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REVISIONS

NO.	DESCRIPTION	DATE
1	ISSUED FOR CONSTRUCTION	04/24/2024



1 PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - PIPING



KEY PLAN

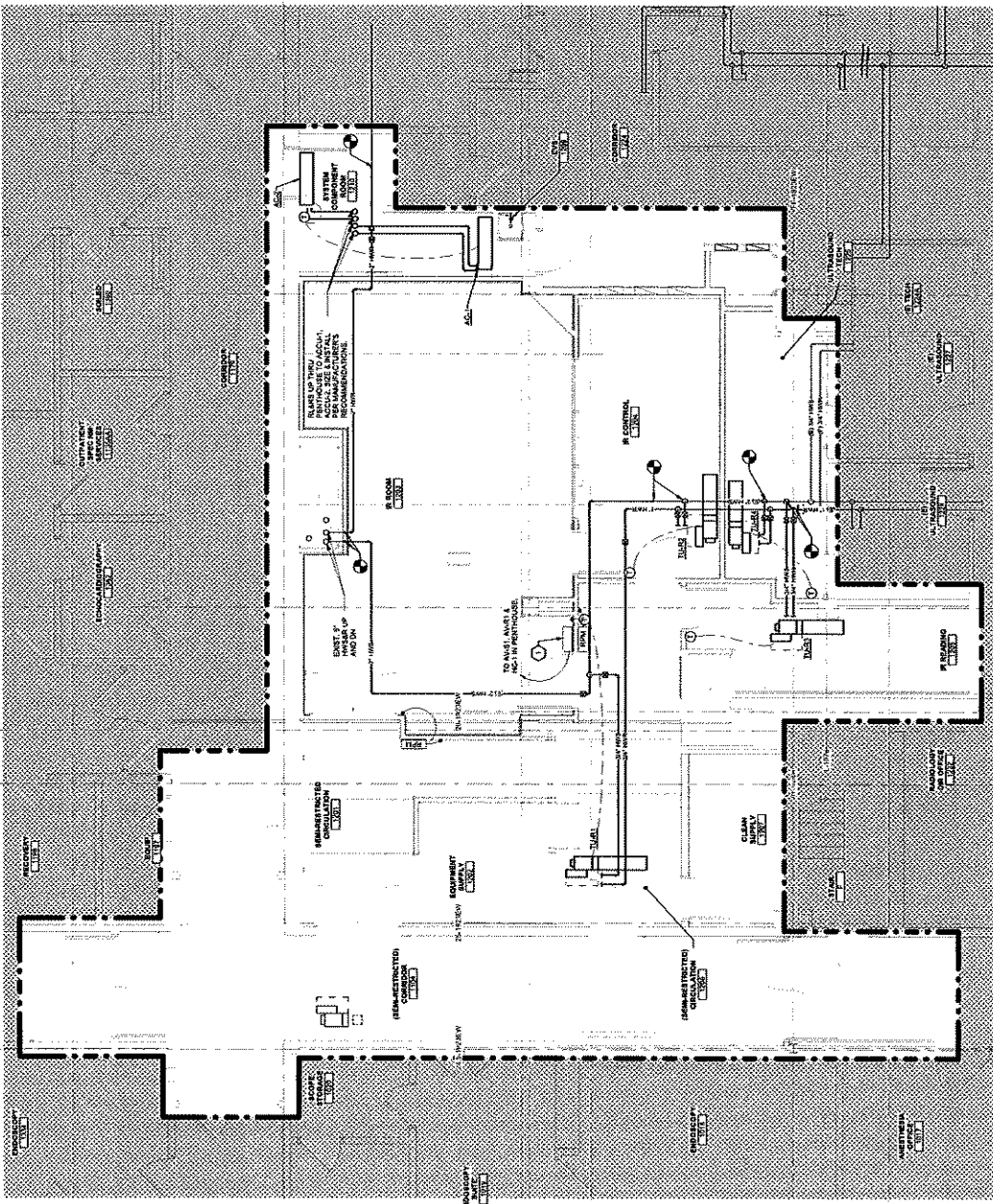
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HOLT ARCHITECTS Architecture Planning Interior Design 17700 Highway 100, Suite 100 Auburn, AL 36830 205.425.7999 www.holtarchitects.com			IPD IMAGING DEPARTMENT RENOVATIONS 17700 Highway 100, Suite 100 Auburn, AL 36830 205.425.7999 www.ipd-engineering.com	REVISIONS NAME: DATE:	IMAGING DEPARTMENT RENOVATIONS FOR AUBURN COMMUNITY HOSPITAL 17 Lansing Street, Auburn, New York 13021	<table border="1"><tr><td>DATE:</td><td>04/24/2024</td></tr><tr><td>PROJECT:</td><td>22065 M201</td></tr><tr><td>DESIGNER:</td><td>IPD</td></tr><tr><td>CHECKED:</td><td>IPD</td></tr><tr><td>APPROVED:</td><td>IPD</td></tr></table>	DATE:	04/24/2024	PROJECT:	22065 M201	DESIGNER:	IPD	CHECKED:	IPD	APPROVED:	IPD
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PROJECT:	22065 M201															
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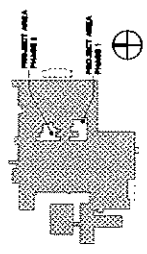
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NOTES:

- 1. VERIFY EXISTING CONDITIONS, FIELD SURVEY, CLIMATE, AND ROOM REQUIREMENTS.
- 2. VERIFY EXISTING AND PROPOSED WALLS, CEILING, FLOOR, AND PARTITIONING.
- 3. VERIFY EXISTING AND PROPOSED WALLS, CEILING, FLOOR, AND PARTITIONING.



1 PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA - PIPING



KEY PLAN

HOLTRACORP

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NO.	DATE	DESCRIPTION
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**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**

AUBURN COMMUNITY HOSPITAL

17 Lansing Street, Auburn, MA 01503

**PARTIAL FIRST FLOOR PLAN -
PHASE 2 AREA - PIPING**

22065 M202

DIRECT DIGITAL CONTROLS

- A. PROVIDE AUTOMATIC THERMISTORS FOR ALL ELECTRIC EQUIPMENT.
- B. PROVIDE POWER FOR ELECTRIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- C. ALL CONTROLS SHOULD BE DERIVED FROM 120V, 60 HERTZ POWER SUPPLY. THERMISTORS SHOULD BE PROVIDED WITH THE THERMISTOR POWER SYSTEM UNDER DISCREET MONITORING.
- D. ALL CONTROLS, EXCEPT THERMISTORS, SHOULD BE PROVIDED WITH THE THERMISTOR POWER SYSTEM UNDER DISCREET MONITORING.
- E. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- F. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- G. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- H. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- I. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- J. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- K. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.
- L. PROVIDE ELECTRIC AUTOMATIC THERMISTORS, CURRENT SENSORS, TRANSMITTERS, ENERGY METERS, MONITORS, AND ACCESSORIES.

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REVISION SCHEDULE	
NAME	DATE

22065 M601

IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

22065 M601

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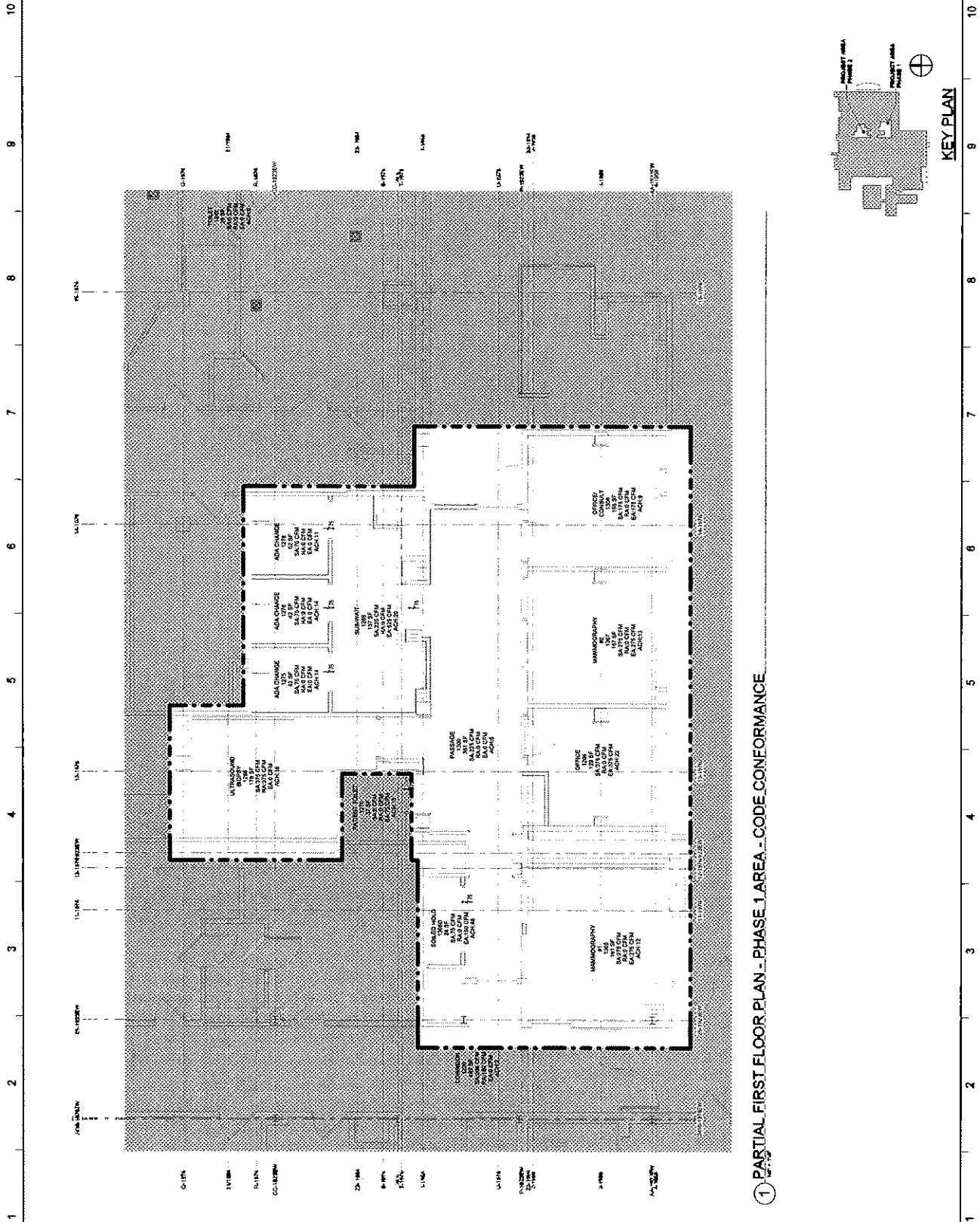
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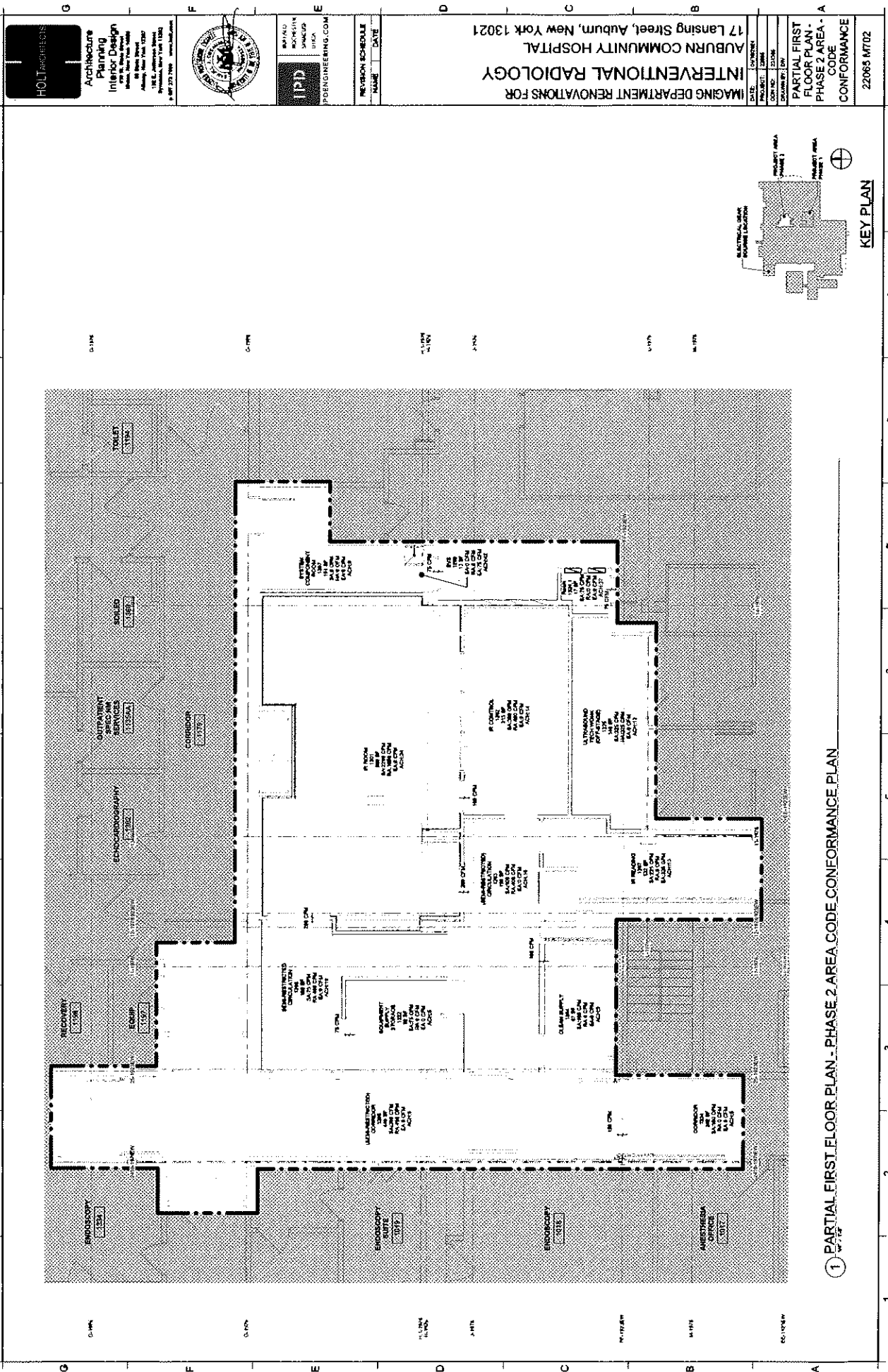
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1 PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - CODE CONFORMANCE

HOLT RATHBUN Architecture Planning Interior Design 100 N. 1st Street Auburn, AL 36801 205.425.1234 www.holtrathbun.com			IPED INTEGRATED PROJECT ENGINEERING 100 N. 1st Street Auburn, AL 36801 205.425.1234 www.iped-engineering.com	REVISION SCHEDULE NO. DATE		MAING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY AUBURN COMMUNITY HOSPITAL 17 Lansing Street, Auburn, New York 13021		22065 M701	
DATE	BY	DATE	BY	DATE	BY	DATE	BY	DATE	BY
PROJECT	DATE	PROJECT	DATE	PROJECT	DATE	PROJECT	DATE	PROJECT	DATE
PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - CODE CONFORMANCE									

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1 PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA CODE CONFORMANCE PLAN

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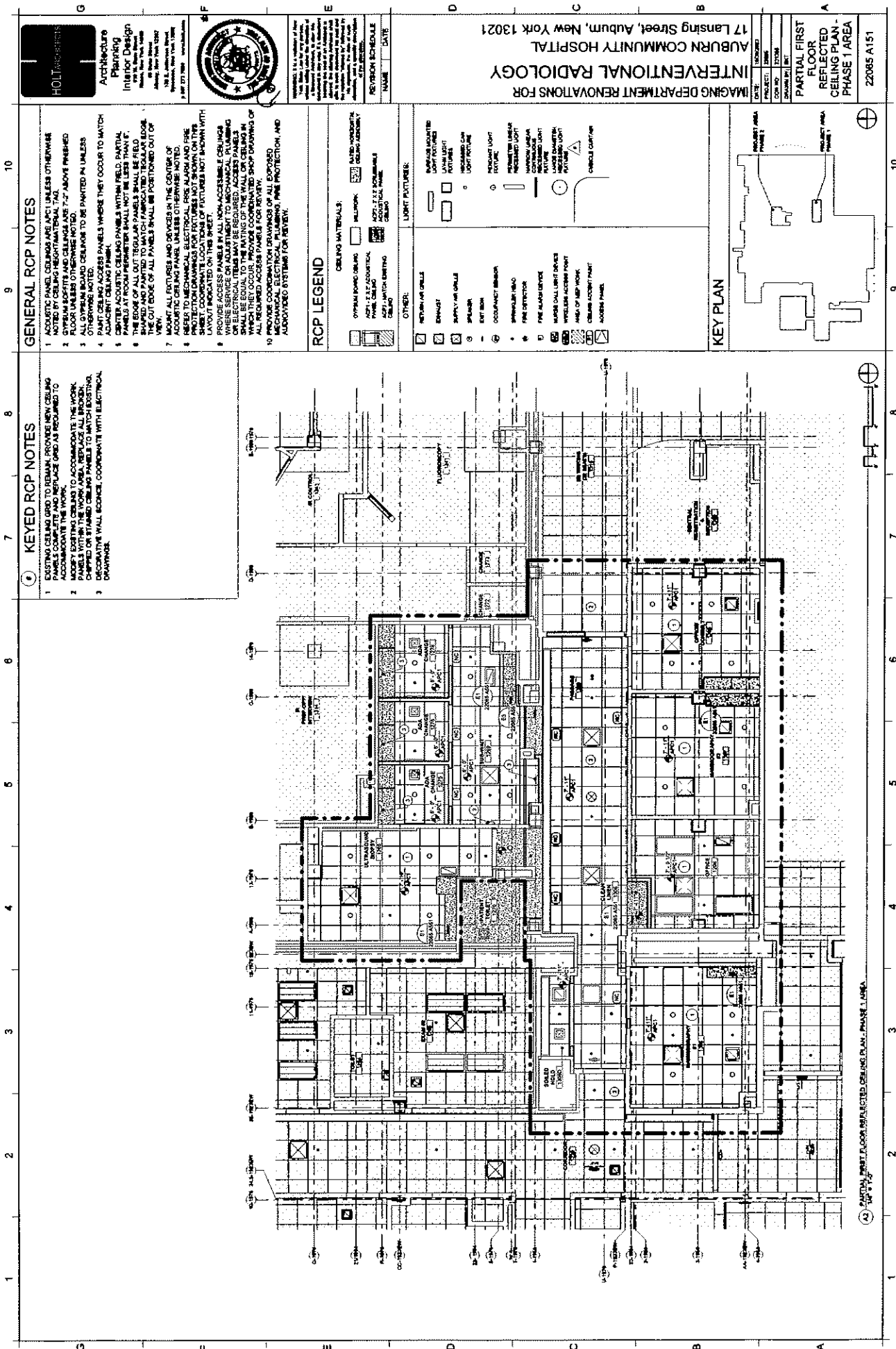
John D. Smith
Professional Engineer
State of New York
No. 67890
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REVISION SCHEDULE

NO.	DATE	DESCRIPTION
1	04/24/2024	ISSUED FOR PERMIT

IMAGING DEPARTMENT RENOVATIONS FOR
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021



KEYED RCP NOTES

- 1 EXISTING CEILING GRID TO REMAIN. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS.
- 2 EXISTING CEILING GRID TO REMAIN. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS.
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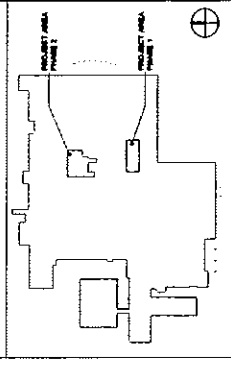
GENERAL RCP NOTES

- 1 ACoustic PANEL CEILING ARE APIC UNLESS OTHERWISE NOTED BY CEILING HEIGHT MATERIAL FALL.
- 2 ALL CEILING PANELS SHALL BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS.
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- 4 ALL CEILING PANELS SHALL BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS.
- 5 ALL CEILING PANELS SHALL BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS.
- 6 ALL CEILING PANELS SHALL BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS.
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- 10 ALL CEILING PANELS SHALL BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS. PROVIDE NEW CEILING PANELS WHERE INDICATED. PANELS TO BE 2'x2' ALUMINUM DIBOND PANELS.

RCP LEGEND

- CEILING MATERIALS:
 - 1 ACoustic PANEL CEILING
 - 2 ALUMINUM DIBOND PANEL
 - 3 ACoustic PANEL CEILING
 - 4 ALUMINUM DIBOND PANEL
 - 5 ACoustic PANEL CEILING
 - 6 ALUMINUM DIBOND PANEL
 - 7 ACoustic PANEL CEILING
 - 8 ALUMINUM DIBOND PANEL
 - 9 ACoustic PANEL CEILING
 - 10 ALUMINUM DIBOND PANEL
- OTHER:
 - 1 ACCESS PANEL
 - 2 ACCESS PANEL
 - 3 ACCESS PANEL
 - 4 ACCESS PANEL
 - 5 ACCESS PANEL
 - 6 ACCESS PANEL
 - 7 ACCESS PANEL
 - 8 ACCESS PANEL
 - 9 ACCESS PANEL
 - 10 ACCESS PANEL
- LIGHT FIXTURES:
 - 1 RECESSED LIGHT
 - 2 RECESSED LIGHT
 - 3 RECESSED LIGHT
 - 4 RECESSED LIGHT
 - 5 RECESSED LIGHT
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KEY PLAN



Add Electrophysiology Services to IR/ Cath Lab

Space Program Summary

Auburn Community Hospital

2/2/2026

17 Lansing Street, Auburn, New York 13021

APPLICABLE CODES

1. 2020 New York State Building Codes
2. NY Codes, Rules & Regulations (NYCRR), Volume C, Chapter V, Subchapter C, Article 2, Part 712-2 Standards for General Hospital Construction Projects Approved or Completed on or after October 14, 1998
3. 2018 FGI Design and Construction of Hospitals | Section 2.2 Specific Requirements for General Hospitals; Section 2.2-3.3 Surgical Services; Section 2.2-3.4 Imaging Services
4. 2018 FGI Design and Construction of Outpatient Facilities | Section 2.1-3.5 Imaging Services/ Chapter 2.3 Specific Requirements for Outpatient Imaging Facilities
5. 2012 NFPA 101 Life Safety Code, Chapter 18 'New Healthcare Occupancies'
6. Americans With Disabilities Act (ADA) Standards for Accessible Design 2010, and Accessible and Usable Buildings and Facilities (ICC/ANSI A117.1-2009)

CODE NOTES

Type of Space	Qty	Design SF	Subtotal SF	Notes	FTE
Total unit NSF			#REF!		
Reception, Waiting, & Administration					
			0		
Reception	1	0	0	EXISTING.	
Office Equip. Supply Storage	0	0	0	EXISTING. Within Reception	
Waiting Area	1	0	0	EXISTING. 23 Seats, 1 PoS & (1) Wheelchair parking	
Public Toilets	2	0	0	EXISTING Women's & Men's Public Toilets	
Public telephone access	0	0	0	EXISTING.	
Interview Space/Consult	1	0	0	EXISTING.	
Inpatient Imaging Services				*2.2-3.4	
			988		
Interventional Radiology (Class 3 Hybrid Operating Room)	1	577	577	EXISTING 2.2-3.4.2.1(3) Where a Class 3 Imaging Room is provided, It shall meet the requirements of one of the following: (A) Section 2.2-3.3.4 (Hybrid Operating Room) (B) The applicable imaging modality and Section 2.2-3.3.3 (Operating Rooms), except for Section 2.2-3.3.3.2 (1) (Space requirements—Operating room).	
Hand-Scrub Facilities	1	0	0	CLASS 3 CEILING Section 2.1-7.2.3.3 (2) Restricted areas EXISTING 2.2-3.4.2.3(3) Hand-scrub facilities shall be provided adjacent to the entrance to the Class 3 Imaging room	
Shielded Control Room	1	215	215	EXISTING 2.2-3.4.3/ 2.2-3.4.1.3 Radiation protection; A2.2-3.4.1.3(1)(c) Shielded view window; 2.2-3.4.1.3(d) Class 3 Imaging Room physically separated from the Imaging room, laminar flow diffusers and low returns not req. in the control	
System Component Room / IR Equip Room	1	101	101	EXISTING 2.2-3.4.2.5 For Class 3 Imaging Rooms, System Component room shall not open into the Imaging Room or any Restricted Space	
Support Areas in the Semi-Restricted Area: Equipment Storage	1	95	95	EXISTING 2.2-3.3.8.13 Emergency Equipment storage; bed/gurnery storage located in the semi-restricted area adj. to the IR room; shall be permitted to be in alcove	
Support Areas in the Semi-Restricted Area: Environmental Services Room	1	0	0	EXISTING. 2.2-3.3.6.14 EVS room shall be accessed from a semi-restricted corridor	
Electrophysiology Room (Class 2 Imaging)	1	0	0	New service: Electrophysiology procedures to be performed in IR/ Cath Lab (Class 3 Imaging Room) See above.	

Type of Space	Qty	Design SF	Subtotal SF	Notes	FTE
Class 2 Design Requirements				Flooring: cleanable and wear-resistant for the location; stable, firm, and slip-resistant (COMPLIES) Floor and wall base assemblies: monolithic floor with integrated wall base carried up the wall a minimum of 6 inches (COMPLIES) Wall finishes: washable; free of fissures, open joints, or crevices (COMPLIES) Ceiling: smooth and without crevices, scrubbable, non-absorptive, non-perforated; capable of withstanding cleaning chemicals; lay-in ceiling permitted if gasketed or each ceiling tile weighs at least one pound per square foot and no perforated, tegular, serrated, or highly textured tiles (COMPLIES)	
Support Areas for Patients			0		
Patient Waiting Room or Area	0	0	0	EXISTING. General Waiting for Imaging Services	
Sub-Waiting Area (Outpatient)	1	0	0	EXISTING. 2.1-3.5.10.4(3) Sharing of sub-waiting areas among similar modalities shall be permitted. (4) sub-waiting areas shall be screened and separated from unrelated traffic and under staff control	
Patient Changing Room (ADA Change) (Outpatient)	3	0	0	EXISTING. 2.1-3.5.10.3 Adj. to imaging rooms; shall include a seat or bench and mirror; lockable storage for patient clothing shall be immediately accessible to changing rooms	
Toilet Room (Outpatient)	1	0	0	EXISTING TO REMAIN. A.2.1-3.5.10.2(2)(b)(c)	
Phase 2 Inpatient Support Areas for Imaging Services			314		
Reception Area w/ Control Desk	1	0	0	EXISTING. Refer to Reception Above	
Documentation Area	1	0	0	2.2-3.4.8.3 Located in Control Room and IR Reading Room	
Consultation Area	1	0	0	EXISTING. 2.2-3.4.8.3, located at Pre-op Check-in	
Medication Safety Zone and Storage	1	0	0	EXISTING. 2.2-3.4.8.8 located in IR Room	
Clean Supply Room	1	87	87	2.2-3.4.8.11 located in IR Suite and addition supplies in Surgical Department	
Soiled Workroom	1	0	0	EXISTING. 2.2-3.4.8.12(2) located in PACU & Surgical Department	
Soiled Holding	1	0	0	EXISTING. 2.2-3.4.8.12(1) located in PACU & Surgical Department	
Equipment & Supply Storage (Mammography)	1	95	95	2.2-3.3.8.13/ 2.2-3.4.8.13	
Environmental Services Room	1	0	0	EXISTING. 2.2-3.3.6.14/ 2.1-3.4.8.14(1)EVS with immediate access to the imaging suite shall be provided. (2) Sharing of EVS with other depts. Is permitted. Located in adjacent Surgical Department	
Pre-and post-procedure Patient Care Area (Existing PACU)	1	0	0	EXISTING. 2.2-3.4.8.15(3) Phase 1, Phase 2 & Pre-Procedure: Outpatient: 2.1-3.5.8.15	
Contrast Media Prep Area	0	0	0	Not Applicable.	
Image Management System	1	0	0	EXISTING.	
Image Interpretation/Reading Rooms	1	132	132	2.1-3.5.8.18 Located in IR Suite	
Support Areas for Imaging Services Staff			0		

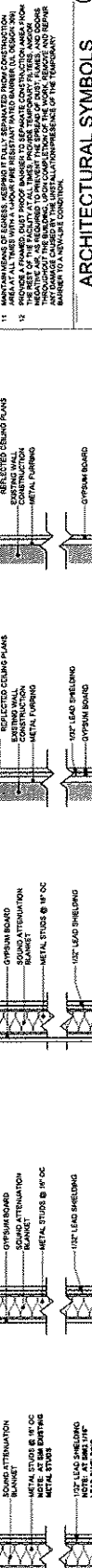
Type of Space	Qty	Design SF	Subtotal SF	Notes	FTE
Staff Break Room	2	0	0	EXISTING. 2.2-3.5.9.1; 2.1:Common Elements for Outpatient Facilities; Support Areas for Imaging Services Staff: 2.1-3.5.9.1 Located in Adacent Imaging Department and Surgical Deparment	
Staff Toilet Room	3	0	0	EXISTING. 2.2-3.4.9.5; 2.1:Common Elements for Outpatient Facilities; Support Areas for Imaging Services Staff: 2.1-3.5.9.2 Located in Adacent Imaging Department and Surgical Deparment	
Storage for Staff	1	0	0	EXISTING. 2.1:Common Elements for Outpatient Facilities; Support Areas for Imaging Services Staff: 2.1-3.5.9.4 Located in Adacent Imaging Department and Surgical Department	
Staff Changing Area	1	0	0	EXISTING. 2.2-3.4.9.4 Class 3 Imaging Rooms, staff changing area that meets requirements in Section 2.2-3.4.9.4 - EXISTING OR Lockers / Changing / Showers	
TOTAL DEPARTMENTAL NET SQUARE FOOTAGE			1,302		
multiplier			1.40		
DEPT. GROSS SQUARE FOOTAGE TOTAL			1,800	(Actual IR/ Cath Lab Suite Area 1,844 GSF)	

1 2 3 4 5 6 7 8 9 10

GENERAL NOTES

1. ALL WORK IS SHOWN UNLESS NOTED AS EXISTING. THE DOCUMENTS SHALL BE CONSIDERED TO BE THE MOST CURRENT AND OF THE BEST QUALITY.
2. REFER TO CODE COMPLIANCE DRAWINGS FOR REQUIRED RISE RATES.
3. PROTECT EXISTING ALL EXISTING LINE PROTECTION AND FIRE RESISTANCE RATED CONSTRUCTION. WHERE EXISTING CONSTRUCTION IS TO BE REMOVED, THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION OF THE REMAINING CONSTRUCTION.
4. WHERE ANY CONSTRUCTION ACTIVITY OCCURS WITHIN THE EXISTING SYSTEM, THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION OF THE EXISTING SYSTEM AND WITH AT LEAST FOURTEEN (14) DAYS NOTICE.
5. THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION OF THE EXISTING SYSTEM AND WITH AT LEAST FOURTEEN (14) DAYS NOTICE.
6. THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION OF THE EXISTING SYSTEM AND WITH AT LEAST FOURTEEN (14) DAYS NOTICE.
7. DO NOT SCALE DRAWINGS TO OBTAIN DIMENSIONS.
8. NOTES ON ONE DETAIL OR DRAWING APPLY TO ALL SIMILAR DETAILS AND DIMENSIONS.
9. ROOM NUMBERING FOR CONSTRUCTION PURPOSES ONLY. ACTUAL ROOM NUMBERING SHALL BE PROVIDED BY THE OWNER. ROOM NUMBERING SHALL BE PROVIDED BY THE OWNER.
10. ROOM NUMBERING FOR CONSTRUCTION PURPOSES ONLY. ACTUAL ROOM NUMBERING SHALL BE PROVIDED BY THE OWNER. ROOM NUMBERING SHALL BE PROVIDED BY THE OWNER.
11. MAINTAINANCE OF EXISTING. KEEPING IT FULLY SEPARATED FROM CONSTRUCTION AREA. ALL TIMES WITH A LOCKER PERMANENT WATERBARRIER (W.B.) DESIGN. THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION OF THE EXISTING SYSTEM AND WITH AT LEAST FOURTEEN (14) DAYS NOTICE.
12. THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION OF THE EXISTING SYSTEM AND WITH AT LEAST FOURTEEN (14) DAYS NOTICE.
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20. THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION OF THE EXISTING SYSTEM AND WITH AT LEAST FOURTEEN (14) DAYS NOTICE.

ARCHITECTURAL SYMBOLS



ABBREVIATIONS

SYMBOL	ABBREVIATION	DESCRIPTION
AS	AREA ALARM PANEL	NOT IN CONTRACT
AC	ACROUSTICAL CEILING PANEL	NOT IN CONTRACT
AD	ADJUSTABLE DIVIDER	NOT IN CONTRACT
AO	ACROUSTICAL CEILING PANEL	NOT IN CONTRACT
CA	CEILING ATTENUATION	NOT IN CONTRACT
CL	CEILING LIGHT	NOT IN CONTRACT
CLC	CEILING LIGHT CONTROL	NOT IN CONTRACT
CLD	CEILING LIGHT DIMMER	NOT IN CONTRACT
CLF	CEILING LIGHT FIXTURE	NOT IN CONTRACT
CLG	CEILING LIGHT GUARD	NOT IN CONTRACT
CLH	CEILING LIGHT HANGER	NOT IN CONTRACT
CLL	CEILING LIGHT LUMINAIRE	NOT IN CONTRACT
CLM	CEILING LIGHT MOUNTING	NOT IN CONTRACT
CLN	CEILING LIGHT NAIL	NOT IN CONTRACT
CLP	CEILING LIGHT PLATE	NOT IN CONTRACT
CLS	CEILING LIGHT SINK	NOT IN CONTRACT
CLT	CEILING LIGHT TIE	NOT IN CONTRACT
CLV	CEILING LIGHT VALVE	NOT IN CONTRACT
CLW	CEILING LIGHT WIRE	NOT IN CONTRACT
CLX	CEILING LIGHT X	NOT IN CONTRACT
CLY	CEILING LIGHT Y	NOT IN CONTRACT
CLZ	CEILING LIGHT Z	NOT IN CONTRACT
CLAA	CEILING LIGHT ACCESSORY	NOT IN CONTRACT
CLAB	CEILING LIGHT ACCESSORY BOX	NOT IN CONTRACT
CLAC	CEILING LIGHT ACCESSORY CORD	NOT IN CONTRACT
CLAD	CEILING LIGHT ACCESSORY DRAIN	NOT IN CONTRACT
CLAE	CEILING LIGHT ACCESSORY ELECTRICAL	NOT IN CONTRACT
CLAF	CEILING LIGHT ACCESSORY FAN	NOT IN CONTRACT
CLAG	CEILING LIGHT ACCESSORY GAS	NOT IN CONTRACT
CLAH	CEILING LIGHT ACCESSORY HEAT	NOT IN CONTRACT
CLAI	CEILING LIGHT ACCESSORY INSULATION	NOT IN CONTRACT
CLAJ	CEILING LIGHT ACCESSORY JUNCTION	NOT IN CONTRACT
CLAK	CEILING LIGHT ACCESSORY KITCHEN	NOT IN CONTRACT
CLAL	CEILING LIGHT ACCESSORY LAUNDRY	NOT IN CONTRACT
CLAM	CEILING LIGHT ACCESSORY MACHINERY	NOT IN CONTRACT
CLAN	CEILING LIGHT ACCESSORY NAIL	NOT IN CONTRACT
CLAO	CEILING LIGHT ACCESSORY OIL	NOT IN CONTRACT
CLAP	CEILING LIGHT ACCESSORY PLASTER	NOT IN CONTRACT
CLAQ	CEILING LIGHT ACCESSORY QUARTZ	NOT IN CONTRACT
CLAR	CEILING LIGHT ACCESSORY RADIATION	NOT IN CONTRACT
CLAS	CEILING LIGHT ACCESSORY SINK	NOT IN CONTRACT
CLAT	CEILING LIGHT ACCESSORY TIE	NOT IN CONTRACT
CLAU	CEILING LIGHT ACCESSORY UNDER	NOT IN CONTRACT
CLAV	CEILING LIGHT ACCESSORY VALVE	NOT IN CONTRACT
CLAW	CEILING LIGHT ACCESSORY WIRE	NOT IN CONTRACT
CLAX	CEILING LIGHT ACCESSORY X	NOT IN CONTRACT
CLAY	CEILING LIGHT ACCESSORY Y	NOT IN CONTRACT
CLAZ	CEILING LIGHT ACCESSORY Z	NOT IN CONTRACT
CLCAA	CEILING LIGHT ACCESSORY CABLE	NOT IN CONTRACT
CLCAB	CEILING LIGHT ACCESSORY CABLE BOX	NOT IN CONTRACT
CLCAC	CEILING LIGHT ACCESSORY CABLE CORD	NOT IN CONTRACT
CLCAD	CEILING LIGHT ACCESSORY CABLE DRAIN	NOT IN CONTRACT
CLCAE	CEILING LIGHT ACCESSORY CABLE ELECTRICAL	NOT IN CONTRACT
CLCAF	CEILING LIGHT ACCESSORY CABLE FAN	NOT IN CONTRACT
CLCAG	CEILING LIGHT ACCESSORY CABLE GAS	NOT IN CONTRACT
CLCAH	CEILING LIGHT ACCESSORY CABLE HEAT	NOT IN CONTRACT
CLCAI	CEILING LIGHT ACCESSORY CABLE INSULATION	NOT IN CONTRACT
CLCAJ	CEILING LIGHT ACCESSORY CABLE JUNCTION	NOT IN CONTRACT
CLCAK	CEILING LIGHT ACCESSORY CABLE KITCHEN	NOT IN CONTRACT
CLCAL	CEILING LIGHT ACCESSORY CABLE LAUNDRY	NOT IN CONTRACT
CLCAM	CEILING LIGHT ACCESSORY CABLE MACHINERY	NOT IN CONTRACT
CLCAN	CEILING LIGHT ACCESSORY CABLE NAIL	NOT IN CONTRACT
CLCAO	CEILING LIGHT ACCESSORY CABLE OIL	NOT IN CONTRACT
CLCAP	CEILING LIGHT ACCESSORY CABLE PLASTER	NOT IN CONTRACT
CLCAQ	CEILING LIGHT ACCESSORY CABLE QUARTZ	NOT IN CONTRACT
CLCAR	CEILING LIGHT ACCESSORY CABLE RADIATION	NOT IN CONTRACT
CLCAS	CEILING LIGHT ACCESSORY CABLE SINK	NOT IN CONTRACT
CLCAT	CEILING LIGHT ACCESSORY CABLE TIE	NOT IN CONTRACT
CLCAU	CEILING LIGHT ACCESSORY CABLE UNDER	NOT IN CONTRACT
CLCAV	CEILING LIGHT ACCESSORY CABLE VALVE	NOT IN CONTRACT
CLCAW	CEILING LIGHT ACCESSORY CABLE WIRE	NOT IN CONTRACT
CLCAX	CEILING LIGHT ACCESSORY CABLE X	NOT IN CONTRACT
CLCAY	CEILING LIGHT ACCESSORY CABLE Y	NOT IN CONTRACT
CLCAZ	CEILING LIGHT ACCESSORY CABLE Z	NOT IN CONTRACT

REVISION SCHEDULE

NAME	DATE

INTERIOR WALL SYSTEM IWS-1 22065

1 1/2" x 1/2"

INTERIOR WALL SYSTEM IWS-2 22065

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INTERIOR WALL SYSTEM IWS-3 22065

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INTERIOR WALL SYSTEM IWS-4 22065

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INTERIOR WALL SYSTEM IWS-13 22065

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INTERIOR WALL SYSTEM IWS-14 22065

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INTERIOR WALL SYSTEM IWS-15 22065

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INTERIOR WALL SYSTEM IWS-17 22065

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INTERIOR WALL SYSTEM IWS-18 22065

1 1/2" x 1/2"

COLD-FORMED STEEL FRAMING

- [illegible]

A BUILT-UP METALWORK COMPANY'S 100' GABLE CASE IS 6.6' HIGH.

- DELEGATED DESIGN**
- DESIGNER: ENGINEER AND ARCHITECT FIRM INCORPORATED IN A PROFESSIONAL ENGINEERING LICENSED IN THE STATE THE WORK IS PERFORMED. TEMPORARY ENGINEER

TO THE EXTENT THAT IT IS OR AVOIDS BY THE KRA THE CONTACTING SHALL EXTEND TO

- [illegible]

MAINTAINED IN ACCORDANCE WITH THE ICRA REPORT AND PROVIDE DOCUMENTATION TO THE OWNER AS REQUIRED

- WITH THE OWNER AND VERIFY BEFORE SHUTDOWN THAT EXISTING OR DESIGNED AIR

COLD-FORMED STEEL FRAMING

- [illegible]

INFECTION CONTROL MEASURES

- DELEGATED DESIGN**
- FOR PROFESSIONAL ENGINEERS' DOCUMENTATION AND INFORMATION INDICATED PREPARED BY A
REGISTERED PROFESSIONAL ENGINEER IN THE STATE OF NEW YORK FOR EACH INSTALLATION.
1. TEMPORARY SHORING
2. UNDERPINNING
3. SOIL BEARING AND SURFACE CONDITIONS FOR STRUCTURAL WORK ON EARTH OR FILL
4. FOUNDATIONS FOR STRUCTURAL STEEL CONNECTIONS
5. STAMPS, DAMPGRADES, AND RAILINGS
6. CONCRETE FORMWORK
7. COLD-FOAMED STEEL OR METAL FRAMING OF JOINTS
8. REINFORCED CONCRETE SLABWORK

MAINTAINED IN ACCORDANCE WITH THE ICRA REPORT AND PROVIDE DOCUMENTATION TO THE OWNER AS REQUIRED

- WITH THE OWNER AND VERIFY BEFORE SHUTDOWN THAT EXISTING OR DESIGNED AIR

COLD-FORMED STEEL FRAMING

- [illegible]

4. EXTERIOR LOAD-BEARING WALL FRAMING: HORIZONTAL DEFLECTION OF 1/160 IN.

- [illegible]

TO THE EXTENT THAT IT IS OR AVOIDS BY THE KRA THE CONTACTING SHALL EXTEND TO

- ADJACENT TO THE CONSTRUCTION WORK ZONE AND ELSEWHERE IN THE FACILITY, IT SHOULD BE ANTICIPATED THAT THE ICRA REPORT WILL REQUIRE MEASURES TO THIS

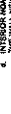
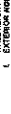
MAINTAINED IN ACCORDANCE WITH THE ICRA REPORT AND PROVIDE DOCUMENTATION TO THE OWNER AS REQUIRED

- WITH THE OWNER AND VERIFY BEFORE SHUTDOWN THAT EXISTING OR DESIGNED AIR

GENERAL CONDITIONS STEEL NOTES UNISTRUT NOTES COLD-FORMED STEEL FRAMING

- [illegible]

MEDICAL EQUIPMENT SUPPORT

- |   | |   | |   | |   | |   | |   | |   | |   | |
|---|--|---|--|---|--|---|--|---|--|---|--|---|--|---|--|
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PAGE CONTINUED

INFECTIOUS CONTROL MEASURE

6. BARRIAGE SUPPORT FRAMES: FRAMING GROWTH COORDINATE FRAMING LAYOUT

EXISTING CONDITIONS

CONSTRUCTION AT TIME OF ADDITION CONSTRUCTION:

- * 180 PSF
- GROUND FLOOR *
- * ROOF *

- [illegible]

[illegible][illegible]

STRUCTURAL SPECIAL INSPECTIONS

THE FOLLOWING TABLE COMPRISES THE STRUCTURAL SPECIAL INSPECTION REQUIREMENTS FOR THIS PROJECT IN ACCORDANCE WITH THE NEW YORK STATE UNIFORM CODE AND THE ROR BENTS, CHAPTER 17. REFER TO PROJECT SPECIFICATIONS FOR REQUIRED QUALIFICATIONS OF PERSONNEL PERFORMING STRUCTURAL INSPECTIONS. CERTIFICATE AND FOR A CATEGORY, SPECIALTIES, AND PERSONNEL INFORMATION.

SPECIAL INSPECTION NOTES
OF ENGINE INSPECTION AND FOR ADDITIONAL INFORMATION AND REQUIREMENTS:

1. SPECIAL INSPECTIONS ARE REQUIRED FOR THIS PROJECT.
2. COORDINATE WORK WITH OWNER'S TESTING AND SPECIAL INSPECTION REPRESENTATIVE.

[illegible]

STRUCTURAL SPECIAL INSPECTIONS

THE FOLLOWING TABLE COMPRISES THE STRUCTURAL SPECIAL INSPECTION REQUIREMENTS FOR THIS PROJECT IN ACCORDANCE WITH THE NEW YORK STATE UNIFORM CODE AND THE ROR BENTS, CHAPTER 17. REFER TO PROJECT SPECIFICATIONS FOR REQUIRED QUALIFICATIONS OF PERSONNEL PERFORMING STRUCTURAL INSPECTIONS. CERTIFICATE AND FOR A CATEGORY, SPECIALTIES, AND PERSONNEL INFORMATION.

SPECIAL INSPECTION NOTES
OF ENGINE INSPECTION AND FOR ADDITIONAL INFORMATION AND REQUIREMENTS:

1. SPECIAL INSPECTIONS ARE REQUIRED FOR THIS PROJECT.
2. COORDINATE WORK WITH CONVECT TESTING AND SPECIAL INSPECTION REPRESENTATIVE.
3. PREPARE ITEMS WITH SHOCKS BEING MANUFACTURED BY APPROVED AND CERTIFIED SHOPS.
4. REFERENCE BOAT'S CHAPTER 7 FOR DEFINITION OF "CONTINUOUS" AND "PERIODIC".
5. REFERENCE AND 36 CHAPTER 17 FOR DEFINITION OF "REPAIR" AND "CONSUMABLE".

COLD FORMED STEEL FRAMING (SEE STRUCTURAL STEEL FOR GENERAL REQUIREMENTS)

- | ITEM NO. | DESCRIPTION | UNIT | QTY | PRICE | TOTAL |
|----------|---|----------|-----|----------|-------|
| 1. | FRAMING MEMBERS, INT. SPACING, SWITCH CONNECTIONS, ORIENTATION, AND ALIGNMENT | PER LINE | 400 | \$100.00 | |
| 2. | 80' TAPPING SPACERS FOR BRACING AND ANGLE WELLS | PER LINE | 400 | \$100.00 | |
| 3. | ANGLE WELLS | PER LINE | 400 | \$100.00 | |
| 4. | SIZE, QUANTITY, BRACING, EDGE DISTANCE, AND LOCATION | PER LINE | 400 | \$100.00 | |
| 5. | SAP AND RED WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 6. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
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| 47. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 48. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 49. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 50. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 51. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 52. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
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| 60. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
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| 62. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 63. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 64. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 65. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 66. | WELDED CONNECTIONS | PER LINE | 400 | \$100.00 | |
| 67. | WELDED CONNECTIONS | PER LINE | 400 | | |

POST INSTALLED ANCHORS IN MASONRY AND CONCRETE

- | | | | |
|---|------------|----------------|------------|
| 1. ANCHOR SIZE AND EMBEDMENT AND HOLE CLEANING (ALL) | PERIODIC | MANUFACTURER'S | AS 319 AND |
| 2. HOLE CLEANING AND PREPARATION (ADHESIVE) | CONTINUOUS | INSTALLATION | ESR REPORT |
| 3. OVERLAP APPLICATIONS (ALL) | CONTINUOUS | INSTRUCTIONS | |
| 4. HORIZONTAL ANCHORS SUPPORTING SUSTAINED TENSION (ADHESIVE) | CONTINUOUS | | |
| 5. ADDITIONAL INSPECTION PER MANUFACTURER'S ESR REPORT | CONTINUOUS | | |
- CARTON-PLACE CONCRETE**

1. INSPECT REPAIRMENT, INCLUDING PROTECTING TENDONS, AND

- | VERIFY PLACEMENT | FORMING | TABLE |
|---|------------------------|--------------------------|
| 2. GUINCHING BAR WELDING
a. VERIFY WELDABILITY OF REINFORCING BARS OTHER THAN ASTM A306
b. INSPECT SINGLE PASS BUTT WELDS, WAXMOUNT B/W
c. INSPECT ALL OTHER WELDS | PERIODIC
CONTINUOUS | ANSI 3.11
ACI 318.4.8 |
| 3. INSPECT ANCHORS IN CONCRETE | PERIODIC | ACI 318.17A.2 |

4. IMPROPER ANCHORAGE POST INSTALLATION HAS LIMITED CONSEQUENCE: WEAPONS
R. ADHESIVE ANCHORS INSTALLED IN HORIZONTAL OR VERTICAL
A0. 916.17.8.2.4
CONTINUOUS

- [illegible]

2. INSPECT CONCRETE AND SHOTCRETE PLACEMENT FOR PROPER APPLICATION TECHNIQUES	CONTINUOUS	NOV 31/84	1500 H
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- | E. VERIFY MAINTENANCE OF SPECIFIED CONDITIONS, TEMPERATURE AND TECHNIQUES | AG 316.11A-D | 100% |
|--|--------------|------|
| 1. INSPECT PRESTRESSED CONCRETE FOR:
a. APPLICATION OF PRESTRESSING FORCES
b. CRACKING OF EXPOSED PRESTRESSING TENDONS | PERIODIC | 100% |
| | CONTINUOUS | " |
| | CONTINUOUS | " |
| | PERIODIC | " |
| F. INSPECT EJECTION OF PRECAST CONCRETE MEMBERS: | | |
| | AG 316.21A | " |
| | AG 316.21B | " |
| | AG 316.21C | " |
| | AG 316.21D | " |

11. VERIFY IN-SITU CONCRETE STRENGTH, PRIOR TO STRIPPING OF FORMS IN POST-TENSIONED CONCRETE AND PRIOR TO REMOVAL OF FORMS IN PRECAST CONCRETE.	PERIODIC	AC 308 26.1.2
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- | | | |
|--|-----------------|---|
| 1. IMPACT EXAMINATIONS FOR SPALLS, CRACKS AND DISINTEGRATION OF THE CONCRETE BEARING BEAMS (POWER) | ASD TO 11.1.201 | - |
| STEEL CONSTRUCTION (REFERENCE ALSO VEC CHAPTER 41) | | |
| 1. INSPECTION *ASAS PRIOR TO WELDING | | |

A. WELDING PROCEDURE SPECIFICATIONS (WPS) AVAILABLE:
B. MAKE-AS-TOGETHER CERTIFICATIONS FOR WELDING COMPLETABLES

- | | |
|--|----------|
| AVAILABLE | DESIGNEE |
| C. MATERIAL IDENTIFICATION (HYDROGEN) | DESIGNEE |
| D. WELDER IDENTIFICATION SYSTEM | DESIGNEE |
| E. FIT-UP OF GROOVE WELDS INCLUDING JOINT GEOMETRY | DESIGNEE |
| F. COMPLETION AND FINISH OF ACCESS HOLES | DESIGNEE |
| G. FIT-UP OF FILLET WELDS | DESIGNEE |
| H. INSPECTION "NOISE DURING WELDING" | DESIGNEE |

4. USE OF QUALIFIED WELDERS	CRS-001
B. CONTROL AND MONITORING OF WELDING CONSUMABLES	CRS-002

- | | |
|---|---|
| <p>C. NO WELDING OVER CRACKED TACK WELDS</p> <p>D. ENVIRONMENTAL CONDITIONS</p> <p>E. WPS FOLLOWED</p> <p>F. WELDING TECHNIQUES</p> <p>A. INSPECTION TACK AFTER WELDING</p> <p>A. YELDS CLEARED</p> | <p>CRACKING</p> <p>CRACKING</p> <p>CRACKING</p> <p>CRACKING</p> <p>CRACKING</p> <p>CRACKING</p> |
|---|---|

WORLD COMMUNITY WITHIN THE SEA
SUSTAINABLE AND ECONOMIC WELLS

- | | |
|--|-----------|
| D. AGE STRAINS | PAPERWORK |
| E. KABELA | PAPERWORK |
| F. BACKING REMOVED AND WELD TACK REMOVED AS REQUIRED | PAPERWORK |
| G. REPAIR ACTIVITIES | PAPERWORK |
| H. DOCUMENT ACCEPTANCE OR REJECTION OF WELDED JOINT MEMBER | PAPERWORK |
| I. NONDESTRUCTIVE TESTING OF WELDED JOINTS, AISC 360 | AWS D1.3 |
| J. STRUCTURAL STEEL SPECIFICATION CHAPTER 9.6.4 | |

A MANUFACTURER'S CERTIFICATION AVAILABLE FOR EASYFINDER
PERIODIC
USDC 340
A BELL 10

- | CHAPTER 11 | | ZINC | |
|--|-----------|-----------|-----------|
| DESIGN | DESIGN | DESIGN | DESIGN |
| MATERIALS | MATERIALS | MATERIALS | MATERIALS |
| W. MATERIALS HANDLED IN ACCORDANCE WITH ASTM REQUIREMENTS. | | | |
| C. PROTECT FASTENERS SELECTED FOR THE JOINT DATA (GRADE, TYPE, NOT LENGTH) IF THREADS ARE TO BE EXCLUDED FROM SHEAR PLANE. | | | |
| D. PROTECT BOLTS TWO PROCEDURES SELECTED FOR JOINT DATA. | | | |
| E. CONNECTING ELEMENTS, INCLUDING THE APPROPRIATE FAYING SURFACE CONDITION AND HOLE PREPARATION, IF SPECIFIED, MUST MEET ASTM A308-300 REQUIREMENTS. | | | |

6. PRE-INSTALLATION VERIFICATION TESTING BY INSTALLATION DOCUMENTATION AND NON-COMPLIANCE FOR EVERY NEW OR REMODEL

- [illegible]

PRELOADING OPERATION

A FASTENER COMPONENT NOT TIGHTENED BY THE WORKMAN OPERATING

CASE NO. 2

- | | |
|--|-----------------|
| <p>4. INSTRUCTION TASKS AFTER BAZ TWO.</p> <p>A. DOCUMENT ACCEPTANCE ON INJECTION OF WOLDED CONNECTIONS</p> | <p>PERFORM</p> |
| <p>B. FASTENERS ARE INSTALLED IN ACCORDANCE WITH THE BASIC SPECIFICATION. PROCEEDING PROBABLY FROM THE MOST RECENT TOWARD THE FINE DOWLS</p> | <p>CONSIDER</p> |

7. INSPECTION OF STEEL ELEMENTS OF COMPOSITE CONSTRUCTION WORK
TO COMPLY WITH SPECIFICATION

- | | | |
|---|---|--------|
| A | PLACEMENT AND INSTALLATION OF STEEL DECK | PERSON |
| B | PLACEMENT AND INSTALLATION OF STEEL BEAMS TWO ANCHORS | PERSON |
| C | DOCUMENT ACCEPTANCE ON DELIVERY OF STEEL ELEMENTS | PERSON |

HOLL ARCHITECTS Architecture Planning Interior Design 100 W. State Street Rochester, New York 14606 Tel: 255-2200 Fax: 255-2201 www.hollarch.com		Jensen SERV ENGINEERING & PLANNING Professional Engineering Services 100 West Main Street, Suite 200 Rochester, NY 14614 Tel: 255-2200	REVISION SCHEDULE	NAME _____ DATE _____	PROGRESS PRINT NOT FOR CONSTRUCTION OR RECORD	IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY AUBURN COMMUNITY HOSPITAL 17 Lansing Street, Auburn, New York 13021	DATE: 04/20/2004	SPECIAL INSPECTIONS	22065 S002
			PROJECT: 22065	DRAWN BY: JH					



PHASE 1 PROJECT INFORMATION		PHASE 2 PROJECT INFORMATION	
SUMMARY OF WORK: THE PHASE 1 PROJECT AREA WILL BE RENOVATED TO ACCOMMODATE THE NEW CLASS 3 IMAGING DEPARTMENT RENOVATIONS FOR AUBURN COMMUNITY HOSPITAL. THE RENOVATION WILL CREATE A NEW FULLY SPRINKLERED NON-SLEEPING PATIENT CARE SUITE.		SUMMARY OF WORK: THE PHASE 2 PROJECT AREA WILL BE RENOVATED TO ACCOMMODATE THE NEW CLASS 3 IMAGING DEPARTMENT RENOVATIONS FOR AUBURN COMMUNITY HOSPITAL. THE RENOVATION WILL CREATE A NEW FULLY SPRINKLERED NON-SLEEPING PATIENT CARE SUITE.	
BUILDING CODE OF NEW YORK STATE (ADAMS 2020)		BUILDING CODE OF NEW YORK STATE (ADAMS 2020)	
CHAPTER 18 NEW HEALTH CARE OCCUPANCIES		CHAPTER 18 NEW HEALTH CARE OCCUPANCIES	
MPPA 101 (2012 EDITION)		MPPA 101 (2012 EDITION)	
CLASSIFICATION OF WORK:		CLASSIFICATION OF WORK:	
INPATIENT TREATMENT, NON-SLEEPING SUITE		INPATIENT TREATMENT, NON-SLEEPING SUITE	
TOTAL SUITE AREA		TOTAL SUITE AREA	
RENOVATED AREA		RENOVATED AREA	
PERCENT OF SUITE RENOVATED		PERCENT OF SUITE RENOVATED	
OCCUPANT INPATIENT TREATMENT NON-SLEEPING SUITE		OCCUPANT INPATIENT TREATMENT NON-SLEEPING SUITE	
MPPA TABLE 7.1.1.3 OCCUPANT LOAD		MPPA TABLE 7.1.1.3 OCCUPANT LOAD	
AREA OF WORK, INPATIENT NON-SLEEPING (GROSS AREA @ 100 GSF)		AREA OF WORK, INPATIENT NON-SLEEPING (GROSS AREA @ 100 GSF)	
NUMBER OF EXITS		NUMBER OF EXITS	
REQUIRED		REQUIRED	
PROVIDED		PROVIDED	
EXIT CAPACITY (WIDTH IN INCHES, CAPACITY IN PERSONS)		EXIT CAPACITY (WIDTH IN INCHES, CAPACITY IN PERSONS)	
WIDTH (INCHES)		WIDTH (INCHES)	
CAPACITY (PERSONS)		CAPACITY (PERSONS)	
EXIT ACCESS TRAVEL DISTANCE (MPPA 1)		EXIT ACCESS TRAVEL DISTANCE (MPPA 1)	
COMMON PATH OF EXITS (1004.2.1)		COMMON PATH OF EXITS (1004.2.1)	
CORRIDOR WIDTH (MPPA 9.2.2.1)		CORRIDOR WIDTH (MPPA 9.2.2.1)	
ACTUAL MINIMUM		ACTUAL MINIMUM	
ACTUAL MAXIMUM		ACTUAL MAXIMUM	

BUILDING INFORMATION		BUILDING INFORMATION	
CONSTRUCTION CLASSIFICATION (MINI HOSPITAL, GROUND FLOOR)		CONSTRUCTION CLASSIFICATION (MINI HOSPITAL, GROUND FLOOR)	
PRIMARY OCCUPANT CLASSIFICATION		PRIMARY OCCUPANT CLASSIFICATION	
BUILDING SPRINKLER PROTECTION		BUILDING SPRINKLER PROTECTION	
CLASSIFICATION OF WORK		CLASSIFICATION OF WORK	
SEISMIC CLASSIFICATION		SEISMIC CLASSIFICATION	
BUILDING HEIGHT		BUILDING HEIGHT	
RENOVATED BUILDING AREA		RENOVATED BUILDING AREA	
TOTAL RENOVATED AREA (GROSS)		TOTAL RENOVATED AREA (GROSS)	
EXTERIOR WALLS		EXTERIOR WALLS	
INTERIOR WALLS		INTERIOR WALLS	
FLOOR CONSTRUCTION		FLOOR CONSTRUCTION	
ROOF CONSTRUCTION		ROOF CONSTRUCTION	
FIRE WALLS (NON-FIRE RATED)		FIRE WALLS (NON-FIRE RATED)	
SWIFT ENCLOSURES		SWIFT ENCLOSURES	
EXIT ENCLOSURES		EXIT ENCLOSURES	
CORRIDOR WALLS (MIN 1)		CORRIDOR WALLS (MIN 1)	
GROUNDED PROTECTIVES		GROUNDED PROTECTIVES	

BUILDING INFORMATION		BUILDING INFORMATION	
CONSTRUCTION CLASSIFICATION (MINI HOSPITAL, GROUND FLOOR)		CONSTRUCTION CLASSIFICATION (MINI HOSPITAL, GROUND FLOOR)	
PRIMARY OCCUPANT CLASSIFICATION		PRIMARY OCCUPANT CLASSIFICATION	
BUILDING SPRINKLER PROTECTION		BUILDING SPRINKLER PROTECTION	
CLASSIFICATION OF WORK		CLASSIFICATION OF WORK	
SEISMIC CLASSIFICATION		SEISMIC CLASSIFICATION	
BUILDING HEIGHT		BUILDING HEIGHT	
RENOVATED BUILDING AREA		RENOVATED BUILDING AREA	
TOTAL RENOVATED AREA (GROSS)		TOTAL RENOVATED AREA (GROSS)	
EXTERIOR WALLS		EXTERIOR WALLS	
INTERIOR WALLS		INTERIOR WALLS	
FLOOR CONSTRUCTION		FLOOR CONSTRUCTION	
ROOF CONSTRUCTION		ROOF CONSTRUCTION	
FIRE WALLS (NON-FIRE RATED)		FIRE WALLS (NON-FIRE RATED)	
SWIFT ENCLOSURES		SWIFT ENCLOSURES	
EXIT ENCLOSURES		EXIT ENCLOSURES	
CORRIDOR WALLS (MIN 1)		CORRIDOR WALLS (MIN 1)	
GROUNDED PROTECTIVES		GROUNDED PROTECTIVES	

BUILDING INFORMATION		BUILDING INFORMATION	
CONSTRUCTION CLASSIFICATION (MINI HOSPITAL, GROUND FLOOR)		CONSTRUCTION CLASSIFICATION (MINI HOSPITAL, GROUND FLOOR)	
PRIMARY OCCUPANT CLASSIFICATION		PRIMARY OCCUPANT CLASSIFICATION	
BUILDING SPRINKLER PROTECTION		BUILDING SPRINKLER PROTECTION	
CLASSIFICATION OF WORK		CLASSIFICATION OF WORK	
SEISMIC CLASSIFICATION		SEISMIC CLASSIFICATION	
BUILDING HEIGHT		BUILDING HEIGHT	
RENOVATED BUILDING AREA		RENOVATED BUILDING AREA	
TOTAL RENOVATED AREA (GROSS)		TOTAL RENOVATED AREA (GROSS)	
EXTERIOR WALLS		EXTERIOR WALLS	
INTERIOR WALLS		INTERIOR WALLS	
FLOOR CONSTRUCTION		FLOOR CONSTRUCTION	
ROOF CONSTRUCTION		ROOF CONSTRUCTION	
FIRE WALLS (NON-FIRE RATED)		FIRE WALLS (NON-FIRE RATED)	
SWIFT ENCLOSURES		SWIFT ENCLOSURES	
EXIT ENCLOSURES		EXIT ENCLOSURES	
CORRIDOR WALLS (MIN 1)		CORRIDOR WALLS (MIN 1)	
GROUNDED PROTECTIVES		GROUNDED PROTECTIVES	

1 2 3 4 5 6 7 8 9 10

GENERAL RCP NOTES

1. EXISTING CEILING GRID TO REMAIN. PROVIDE NEW CEILING PANELS COMPLETE AND REPLACE GRID AS REQUIRED TO ACCOMMODATE THE WORK.
2. ALL NEW CEILING PANELS TO BE 2' X 4' OR 2' X 2' SQUARE. PANELS WITHIN THE WORK AREA REPLACE ALL EXISTING, CRACKED OR STAINED CEILING PANELS TO MATCH EXISTING. COORDINATE WITH ELECTRICAL DRAWINGS.
3. PROVIDE NEW CEILING PANELS WHERE THEY OCCUR TO MATCH EXISTING CEILING PANELS.
4. THE BOSS OF ALL OUT TESSILAS PANELS SHALL BE FIELD SHAPED AND PAINTED TO MATCH FABRICATED TESSILAS EDGE VIEW. THE OUT EDGE OF ALL PANELS SHALL BE POSITIONED OUT OF VIEW.
5. MOUNT ALL POTLIGHTS AND DEVICES IN THE CENTER OF ACoustic CEILING PANELS, UNLESS OTHERWISE NOTED.
6. PROVIDE NEW CEILING PANELS WHERE THEY OCCUR TO MATCH EXISTING CEILING PANELS.
7. PROVIDE NEW CEILING PANELS WHERE THEY OCCUR TO MATCH EXISTING CEILING PANELS.
8. PROVIDE NEW CEILING PANELS WHERE THEY OCCUR TO MATCH EXISTING CEILING PANELS.
9. PROVIDE NEW CEILING PANELS WHERE THEY OCCUR TO MATCH EXISTING CEILING PANELS.
10. PROVIDE COORDINATION DRAWINGS OF ALL EXPOSED MECHANICAL ELECTRICAL PLUMBING PIPE PROTECTION AND ADJUSTED SYSTEMS FOR REVIEW.

KEY PLAN

22065 A151

PHASE 1 AREA

REFLECTED CEILING PLAN

PARTIAL FIRST FLOOR

IMAGING DEPARTMENT RENOVATIONS FOR

INTERVENTIONAL RADIOLOGY

AUBURN COMMUNITY HOSPITAL

17 Lansing Street, Auburn, New York 13021

DATE: 12/08/07

PROJECT: 22065

CONTRACT NO. 22065

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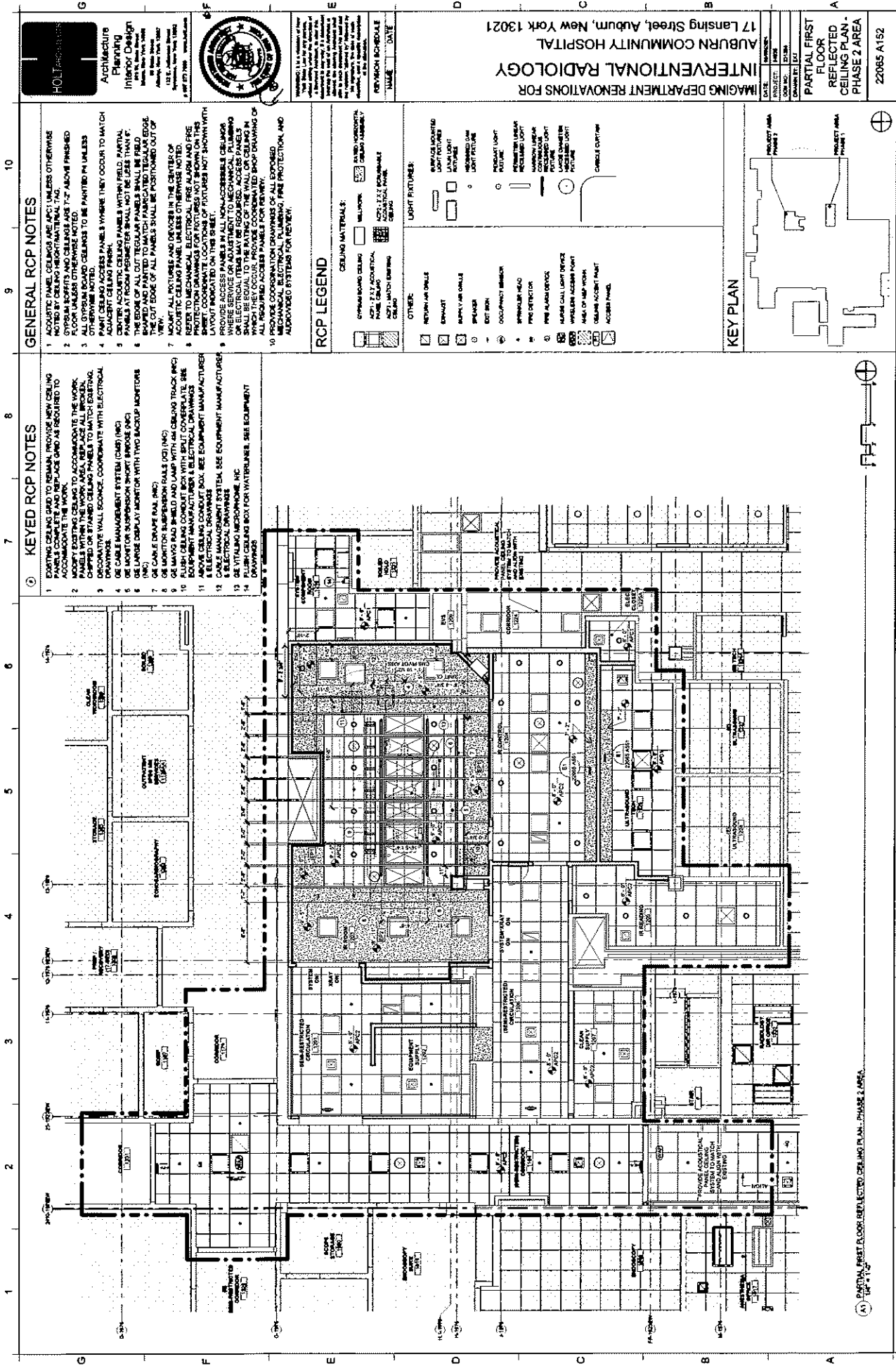
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GENERAL RCP NOTES

1. ACoustic PANEL CEILING ARE APPLICABLE UNLESS OTHERWISE NOTED BY CEILING HEIGHT MATERIAL TAG.
2. ALL CEILING PANELS SHALL BE 2'x2' ALUMINUM FINISHED FLOOR UNLESS OTHERWISE NOTED.
3. ALL GYP-SUM BOARD CEILING SHALL BE PAINTED IN UNLESS OTHERWISE NOTED.
4. ALL GYP-SUM BOARD CEILING SHALL BE PAINTED IN UNLESS OTHERWISE NOTED.
5. CENTER ACoustic CEILING PANELS WHERE THEY OCCUR TO MATCH THE EDGE OF ALL CUT REGULAR PANELS SHALL BE FIELD.
6. THE EDGE OF ALL CUT REGULAR PANELS SHALL NOT BE LESS THAN 6".
7. THE CUT EDGE OF ALL PANELS SHALL BE POSITIONED OUT OF VIEW.
8. MOUNT ALL PICTURES AND DEVICES IN THE CENTER OF PANELS.
9. PROVIDE MECHANICAL ELECTRICAL FIRE ALARM AND FIRE PROTECTION DRAWINGS FOR FEATURES NOT SHOWN ON THIS SHEET. COORDINATE LOCATIONS OF FEATURES NOT SHOWN WITH OTHER DRAWINGS.
10. PROVIDE ACCESS PANELS IN ALL NON-ACCESSIBLE CEILING AREAS WHERE SERVICE OR ADJUSTMENT TO MECHANICAL, ELECTRICAL OR FIRE ALARM DEVICES MAY BE REQUIRED. ACCESS PANELS SHALL BE 18" x 18" MINIMUM SIZE AND SHALL BE SHOWN IN WHICH THEY OCCUR. PROVIDE COORDINATED SHOP DRAWING OF ALL REQUIRED ACCESS PANELS FOR REVIEW.
11. PROVIDE COORDINATION DRAWINGS OF ALL EXPOSED MECHANICAL, ELECTRICAL AND FIRE PROTECTION AND AUDIOVISUAL SYSTEMS FOR REVIEW.

KEYED RCP NOTES

1. EXISTING CEILING GRID TO REMAIN. PROVIDE NEW CEILING ACCESS PANELS TO REPLACE GRID AND AS REQUIRED TO ACCOMMODATE THE WORK.
2. MODIFY EXISTING CEILING TO ACCOMMODATE THE WORK. PANELS WITHIN THE WORK AREA, REPLACE ALL EXISTING, CHIPPED OR STAINED CEILING PANELS TO MATCH EXISTING. PROVIDE COORDINATION DRAWINGS WITH ELECTRICAL DRAWINGS.
3. CEILING ACCESS PANELS SHALL BE 18" x 18" MINIMUM SIZE.
4. CEILING ACCESS PANELS SHALL BE 18" x 18" MINIMUM SIZE.
5. CEILING ACCESS PANELS SHALL BE 18" x 18" MINIMUM SIZE.
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13. CEILING ACCESS PANELS SHALL BE 18" x 18" MINIMUM SIZE.
14. CEILING ACCESS PANELS SHALL BE 18" x 18" MINIMUM SIZE.

RCP LEGEND

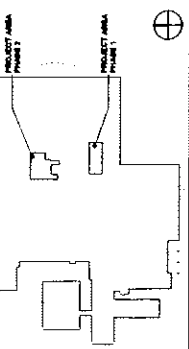
CEILING MATERIALS:

- 1. GYP-SUM BOARD CEILING
- 2. ALUMINUM FINISHED FLOOR
- 3. GYP-SUM BOARD CEILING
- 4. ALUMINUM FINISHED FLOOR
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- 99. GYP-SUM BOARD CEILING
- 100. ALUMINUM FINISHED FLOOR

LIGHT FIXTURES:

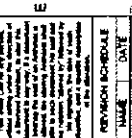
- 1. RECESSED CAN LIGHT
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KEY PLAN



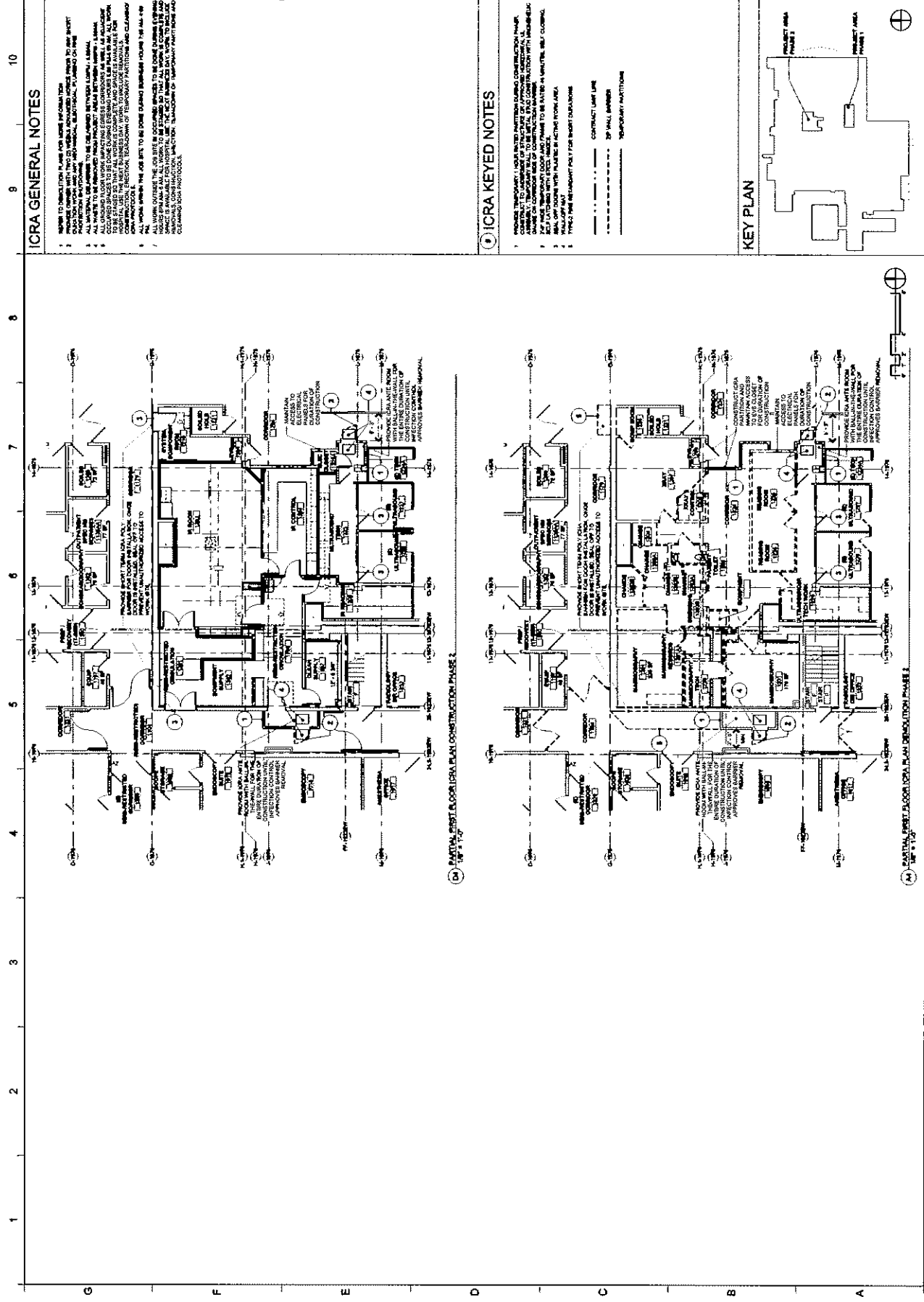
IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

HOLT
Architecture
Planning
Interior Design
1000 North Street
Auburn, New York 13021
Tel: 315.255.1234
Fax: 315.255.1235
www.holtarch.com



REVISION	DATE
1	08/15/2011
2	08/15/2011
3	08/15/2011
4	08/15/2011
5	08/15/2011
6	08/15/2011
7	08/15/2011
8	08/15/2011
9	08/15/2011
10	08/15/2011

(A) PARTIAL FIRST FLOOR REFLECTED CEILING PLAN - PHASE 2 AREA



ICRA GENERAL NOTES

1. REFER TO DISSECTION PLAN FOR DETAILED INFORMATION ON THE ICRA PLAN.
2. ALL WORK SHALL BE COMPLETED WITHIN THE ICRA PERIOD.
3. ALL MATERIALS AND EQUIPMENT SHALL BE STORED IN THE ICRA AREA.
4. ALL WASTE SHALL BE REMOVED FROM THE ICRA AREA AT THE END OF EACH DAY.
5. OCCUPANCY SHALL BE MAINTAINED AT ALL TIMES DURING THE ICRA PERIOD.
6. THE ICRA AREA SHALL BE SECURED AT ALL TIMES DURING THE ICRA PERIOD.
7. ALL WORK SHALL BE COMPLETED WITHIN THE ICRA PERIOD.



NAME	DATE
ARCHITECT	10/1/2021

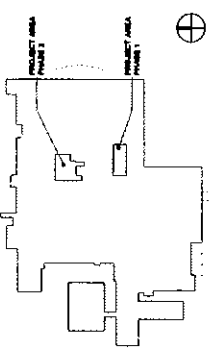
22085 Q302

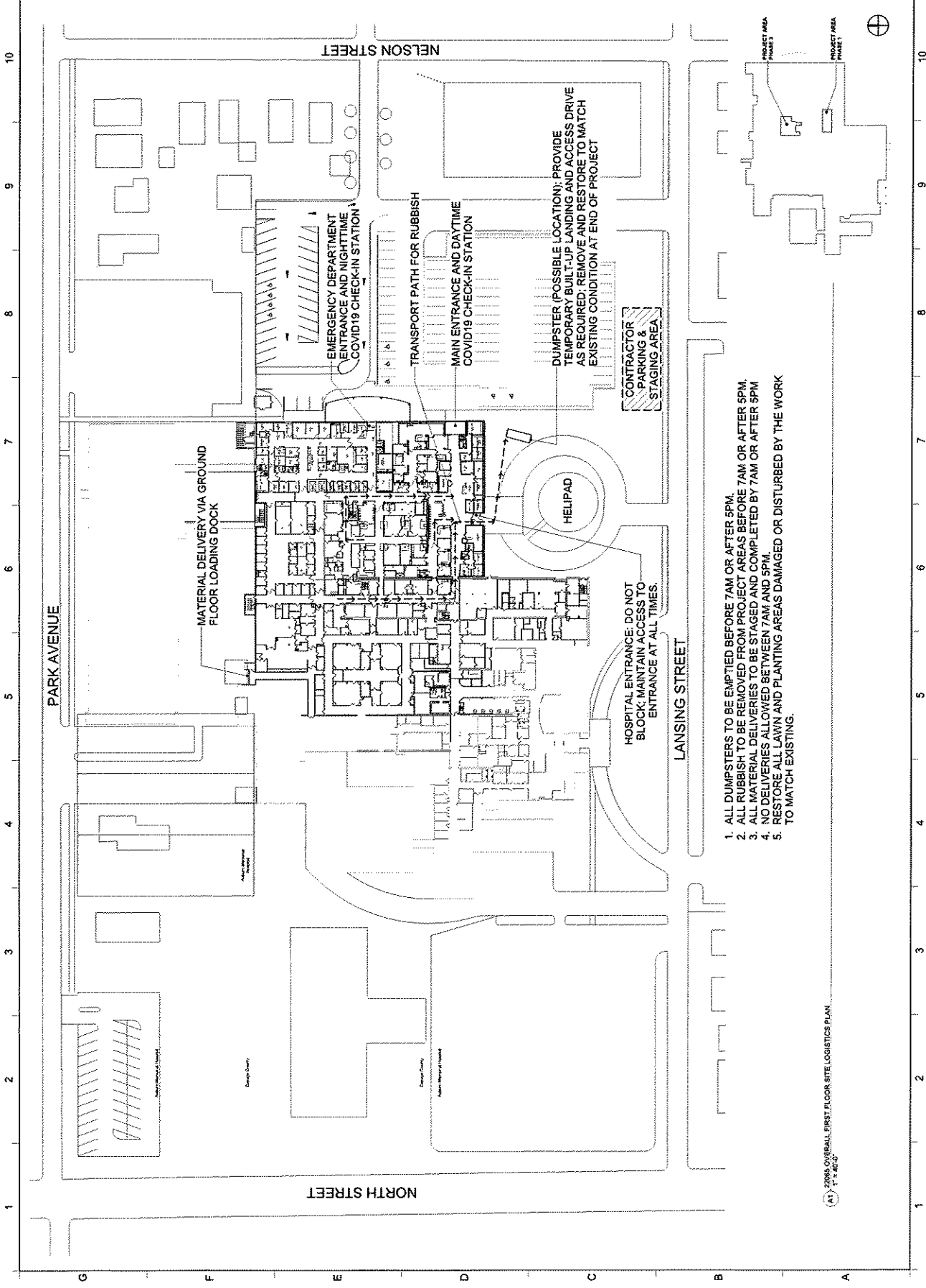
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

ICRA KEYED NOTES

1. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 1.
2. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 2.
3. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 3.
4. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 4.
5. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 5.
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8. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 8.
9. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 9.
10. PROVIDE TEMPORARY 1 HOUR RATED PARTITION DURING CONSTRUCTION PHASE 10.

KEY PLAN





HOLT FACILITIES
Architecture
Planning
Interior Design
1115 N. State Street
Albany, New York 12207
P 518.275.7462 www.holt.com



WARNING: It is a violation of New York State Law to use this drawing for any purpose other than that intended by the architect. The architect is not responsible for any errors or omissions in this drawing. The user of this drawing is responsible for its accuracy and for obtaining the necessary permits for its use.

REVISIONS	
NO.	DATE

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**
AUBURN COMMUNITY HOSPITAL
17 Lansling Street, Auburn, New York 13021

SITE LOGISTICS PLAN	
DATE	12/15/2019
PROJECT NO.	22085
CONTRACT NO.	13123
DRAWN BY	J. L. L.

22085 G301

1. ALL DUMPSTERS TO BE EMPTIED BEFORE 7AM OR AFTER 5PM.
2. ALL RUBBISH TO BE REMOVED FROM PROJECT AREAS BEFORE 7AM OR AFTER 5PM.
3. ALL MATERIAL DELIVERIES TO BE STAGED AND COMPLETED BY 7AM OR AFTER 5PM.
4. NO DELIVERIES ALLOWED BETWEEN 7AM AND 5PM.
5. RESTORE ALL LAWN AND PLANTING AREAS DAMAGED OR DISTURBED BY THE WORK TO MATCH EXISTING.

(A) 2085 OVERALL FIRST FLOOR SITE LOGISTICS PLAN
1" = 10'-0"

April 10, 2026

NYS Department of Health/Office of Health Systems Management
Division of Health Facility Planning
Bureau of Architectural and Engineering Facility Planning
ESP, Corning Tower, 18th Floor
Albany, New York 12237

RE: CON 261018 Add EP Services to IR/ Cath Lab

Auburn Community Hospital (Cayuga County)
17 Lansing Street
Auburn, NY 13021

Auburn Community Hospital (ACH) would like to add Electrophysiology (EP) services to the newly completed IR/ Cath Lab (CON#231365/ MOD#110625. The IR/ Cath Lab is designed and constructed to be Class 3 Imaging room and therefore exceeds the FGI requirements for a Class 2 Imaging room.

The IR/ Cath Lab was completed in 2025 and ACH would like to add EP services to their operating certificate. EP procedures need to take place in a Class 2 Imaging room. The IR/ Cath Lab is a Class 3 Imaging room and exceeds Code requirements for EP. This room is used part of the day for IR (Imaging Department) and part of the day for Cath Lab (Cardiac Department). The EP services will be performed by the Cardiac Department.

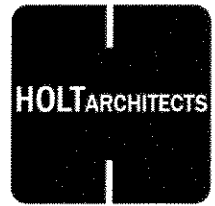
Based on documentation of the original construction documents; to the best of my knowledge:

1. The above-referenced construction project has been constructed in full compliance with the accessibility requirements set forth by the Americans with Disabilities Act (ADA) of 1990, as amended, and the applicable building codes and regulations.
2. Throughout design and construction phases, all necessary ADA standards were adhered to. Additionally, all design and construction practices followed the latest ADA standards, including the 2010 ADA Standards for Accessible Design and any applicable state and local accessibility codes.

Sincerely,
HOLT Architects, P.C.



Paul Levesque II
Principal
NYS License #030772



**Architecture
Planning
Interior Design**

HOLT Architects PC

Ithaca Office

619 W State / MLK Street
Ithaca, NY 14850

Syracuse Office

132 E Jefferson St
Syracuse, NY 13202

607.273.7600
HOLT.com

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Kelly Maher, AIA
A. Quay Thompson, AIA

Principal Associates

Christopher J. Apker, MBA
Catherine Blakemore, AIA
Richard J. Wagner, AIA

Associates

Charles Ackerman
Gary L. Myers, AIA
Tom Kinslow, AIA

Senior Advisors

David H. Taube
Graham L. Gillespie

1 2 3 4 5 6 7 8 9 10

GENERAL SYMBOLS	
SYMBOL	DESCRIPTION
	EQUIPMENT
	BRANCH CIRCUIT
	BRANCH CIRCUIT BREAKER
	BRANCH CIRCUIT SWITCH
	BRANCH CIRCUIT FUSE
	BRANCH CIRCUIT RELAY
	BRANCH CIRCUIT TRANSFORMER
	BRANCH CIRCUIT MOTOR
	BRANCH CIRCUIT GENERATOR
	BRANCH CIRCUIT INVERTER
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ELECTRICAL	
SYMBOL	DESCRIPTION
	ELECTRICAL EQUIPMENT
	ELECTRICAL BRANCH CIRCUIT
	ELECTRICAL BRANCH CIRCUIT BREAKER
	ELECTRICAL BRANCH CIRCUIT SWITCH
	ELECTRICAL BRANCH CIRCUIT FUSE
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ONE LINE DIAGRAM	
SYMBOL	DESCRIPTION
	ONE LINE DIAGRAM EQUIPMENT
	ONE LINE DIAGRAM BRANCH CIRCUIT
	ONE LINE DIAGRAM BRANCH CIRCUIT BREAKER
	ONE LINE DIAGRAM BRANCH CIRCUIT SWITCH
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LIGHTING	
SYMBOL	DESCRIPTION
	LIGHTING EQUIPMENT
	LIGHTING BRANCH CIRCUIT
	LIGHTING BRANCH CIRCUIT BREAKER
	LIGHTING BRANCH CIRCUIT SWITCH
	LIGHTING BRANCH CIRCUIT FUSE
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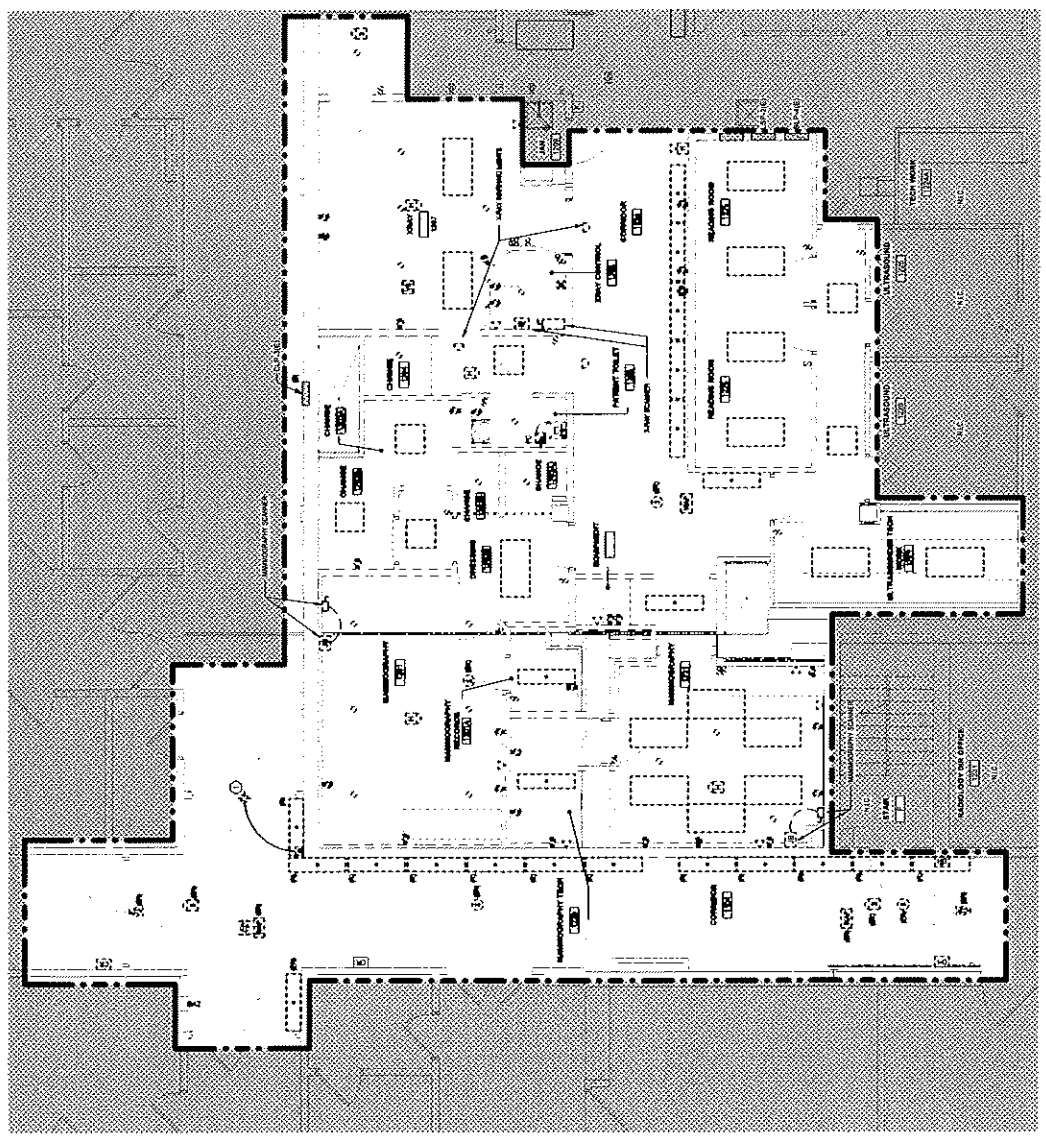
LIGHTING CONTROL	
SYMBOL	DESCRIPTION
	LIGHTING CONTROL EQUIPMENT
	LIGHTING CONTROL BRANCH CIRCUIT
	LIGHTING CONTROL BRANCH CIRCUIT BREAKER
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ACCESS CONTROL	
SYMBOL	DESCRIPTION
	ACCESS CONTROL EQUIPMENT
	ACCESS CONTROL BRANCH CIRCUIT
	ACCESS CONTROL BRANCH CIRCUIT BREAKER
	ACCESS CONTROL BRANCH CIRCUIT SWITCH
	ACCESS CONTROL BRANCH CIRCUIT FUSE
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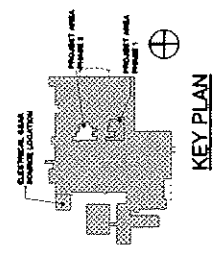
FIRE ALARM	
SYMBOL	DESCRIPTION
	FIRE ALARM EQUIPMENT
	FIRE ALARM BRANCH CIRCUIT
	FIRE ALARM BRANCH CIRCUIT BREAKER
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STANDARD MOUNTING HEIGHTS	
SYMBOL	DESCRIPTION
	STANDARD MOUNTING HEIGHT EQUIPMENT
	STANDARD MOUNTING HEIGHT BRANCH CIRCUIT
	STANDARD MOUNTING HEIGHT BRANCH CIRCUIT BREAKER
	STANDARD MOUNTING HEIGHT BRANCH CIRCUIT SWITCH
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	STANDARD MOUNTING HEIGHT BRANCH CIRCUIT RESISTOR
	STANDARD MOUNTING HEIGHT BRANCH CIRCUIT CAPACITOR
	STANDARD MOUNTING HEIGHT BRANCH CIRCUIT INDUCTOR

1 2 3 4 5 6 7 8 9 10



① PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA DEMOLITION



KEY PLAN

HOLT ARCHITECTS

Architecture
Planning
Interior Design
177 West 10th Street
New York, NY 10011
212.254.1100
holtarchitects.com

IPED

INTERNATIONAL
PRACTICE
ENGINEERING
177 West 10th Street
New York, NY 10011
212.254.1100
ipedengineering.com

REVISION SCHEDULE

NAME DATE

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**

AUBURN COMMUNITY HOSPITAL

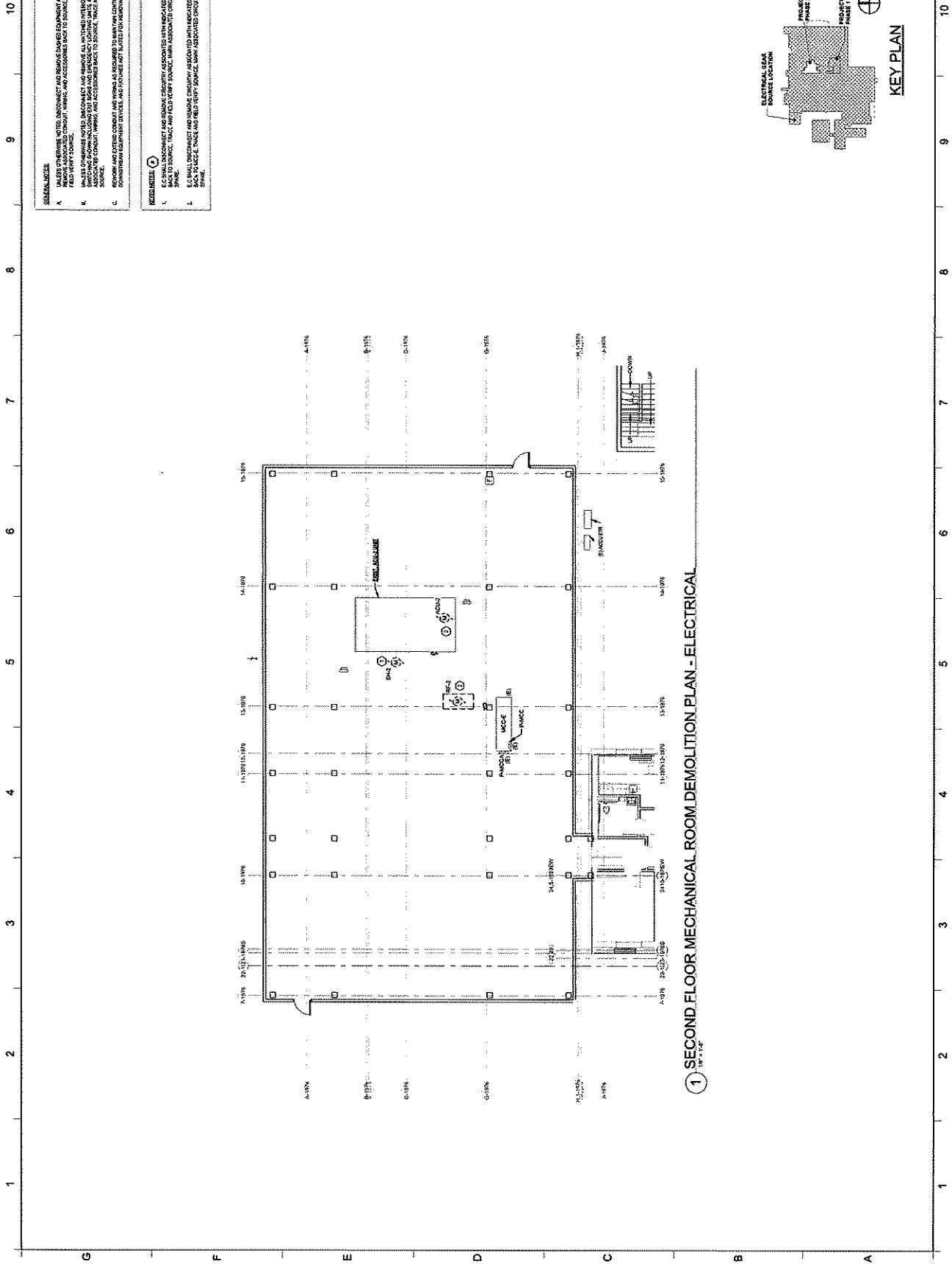
17 Lansing Street, Auburn, New York 13021

DATE: 04/27/2024

TIME: 10:00 AM

PROJECT: 22085 ED102

PARTIAL FIRST
FLOOR PLAN -
PHASE 2 AREA
DEMOLITION



HOLLANDERS

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Albany, New York 12202
518.263.2346

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PROFESSIONAL
DESIGN

POENGINEERING.COM

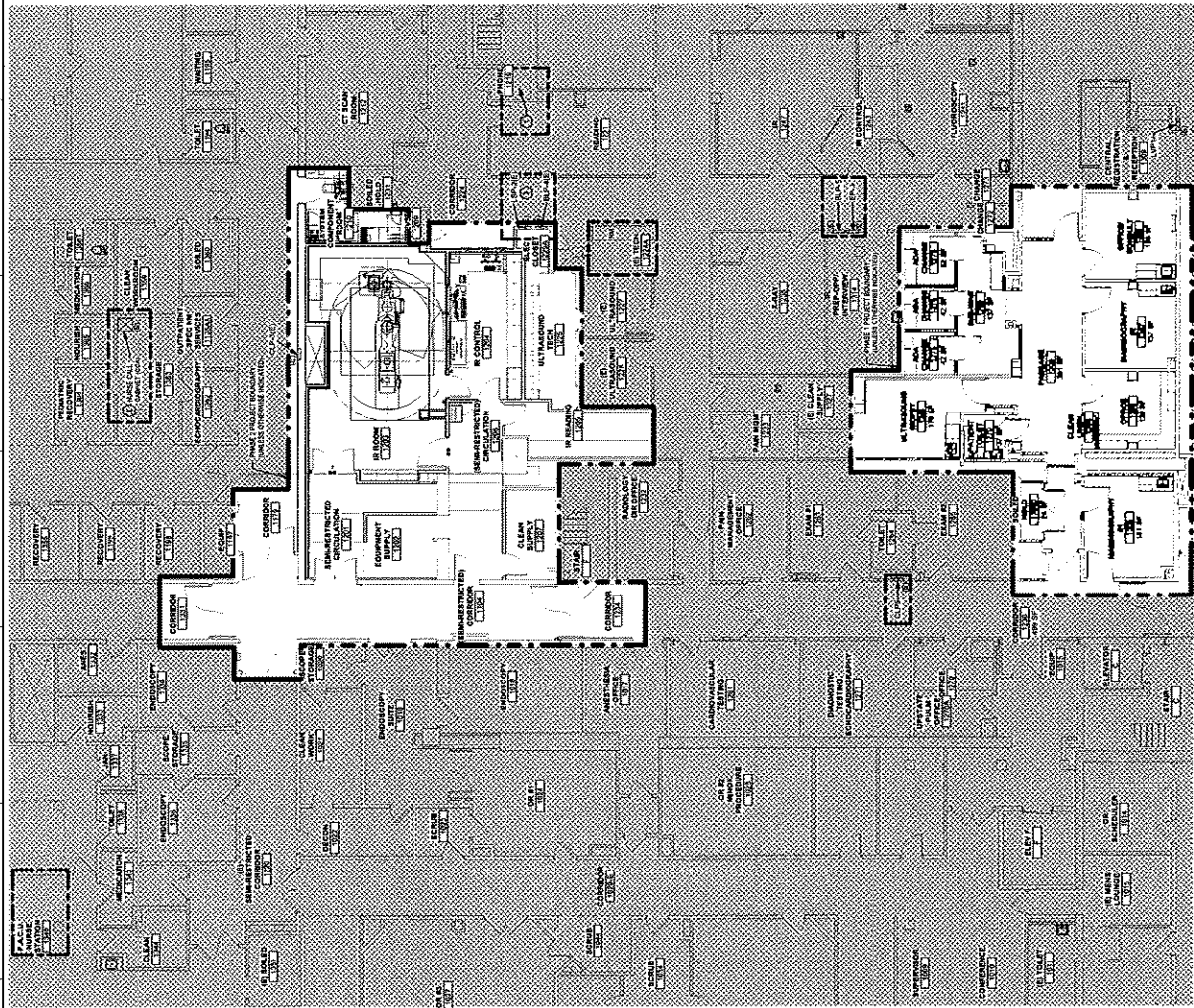
**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**

17 Lansing Street, Auburn, New York 13021

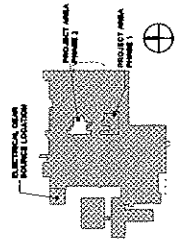
**MECHANICAL
ROOM
DEMOLITION
PLAN -
ELECTRICAL**

22065 ED103

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① FIRST FLOOR PLAN - OVERALL



KEY PLAN

2006 E101

IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

DATE	REVISION
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10/1/2023	100

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IPEDENGINEERING.COM

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416-225-1111
www.holtarchitects.com

1 2 3 4 5 6 7 8 9 10

GENERAL NOTES

1. ALL WORK SHALL BE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE NATIONAL ELECTRICAL CODE (NEC), NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) 70B, AND ALL OTHER APPLICABLE CODES AND STANDARDS.
2. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS FROM THE APPROPRIATE AGENCIES.
3. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS FROM THE APPROPRIATE AGENCIES.
4. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS FROM THE APPROPRIATE AGENCIES.
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BASE DATA

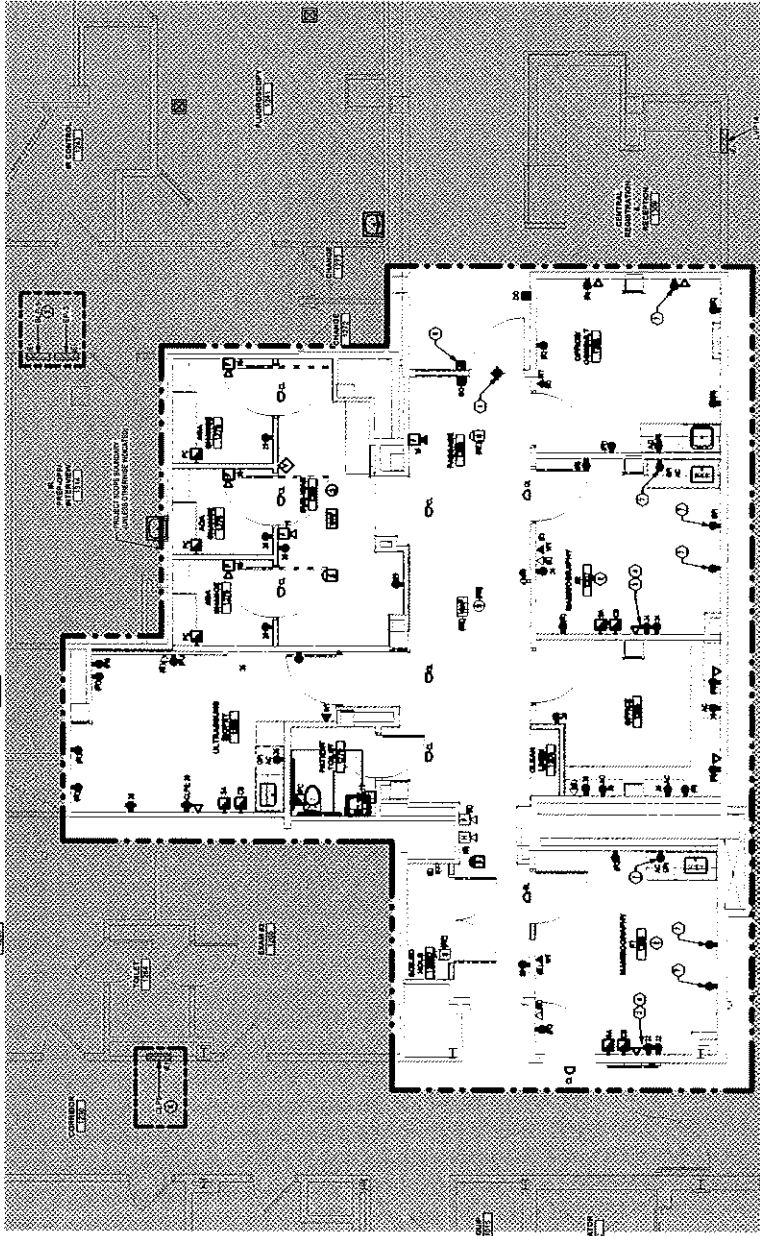
1. PROJECT NAME: PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - POWER & SYSTEMS
2. PROJECT LOCATION: 17 LANSING STREET, AUBURN, NEW YORK 13021
3. PROJECT OWNER: AUBURN COMMUNITY HOSPITAL
4. PROJECT ARCHITECT: HOLLAND & ASSOCIATES, INC.
5. PROJECT ENGINEER: POENGINEERING.COM

BASE DATA

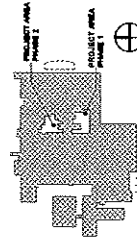
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2. PROJECT LOCATION: 17 LANSING STREET, AUBURN, NEW YORK 13021
3. PROJECT OWNER: AUBURN COMMUNITY HOSPITAL
4. PROJECT ARCHITECT: HOLLAND & ASSOCIATES, INC.
5. PROJECT ENGINEER: POENGINEERING.COM

REVISIONS

- | NO. | DESCRIPTION | DATE |
|-----|-----------------------|------------|
| 1 | ISSUED FOR PERMITTING | 04/27/2024 |
| 2 | ISSUED FOR PERMITTING | 04/27/2024 |
| 3 | ISSUED FOR PERMITTING | 04/27/2024 |
| 4 | ISSUED FOR PERMITTING | 04/27/2024 |
| 5 | ISSUED FOR PERMITTING | 04/27/2024 |



① PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - POWER & SYSTEMS



KEY PLAN

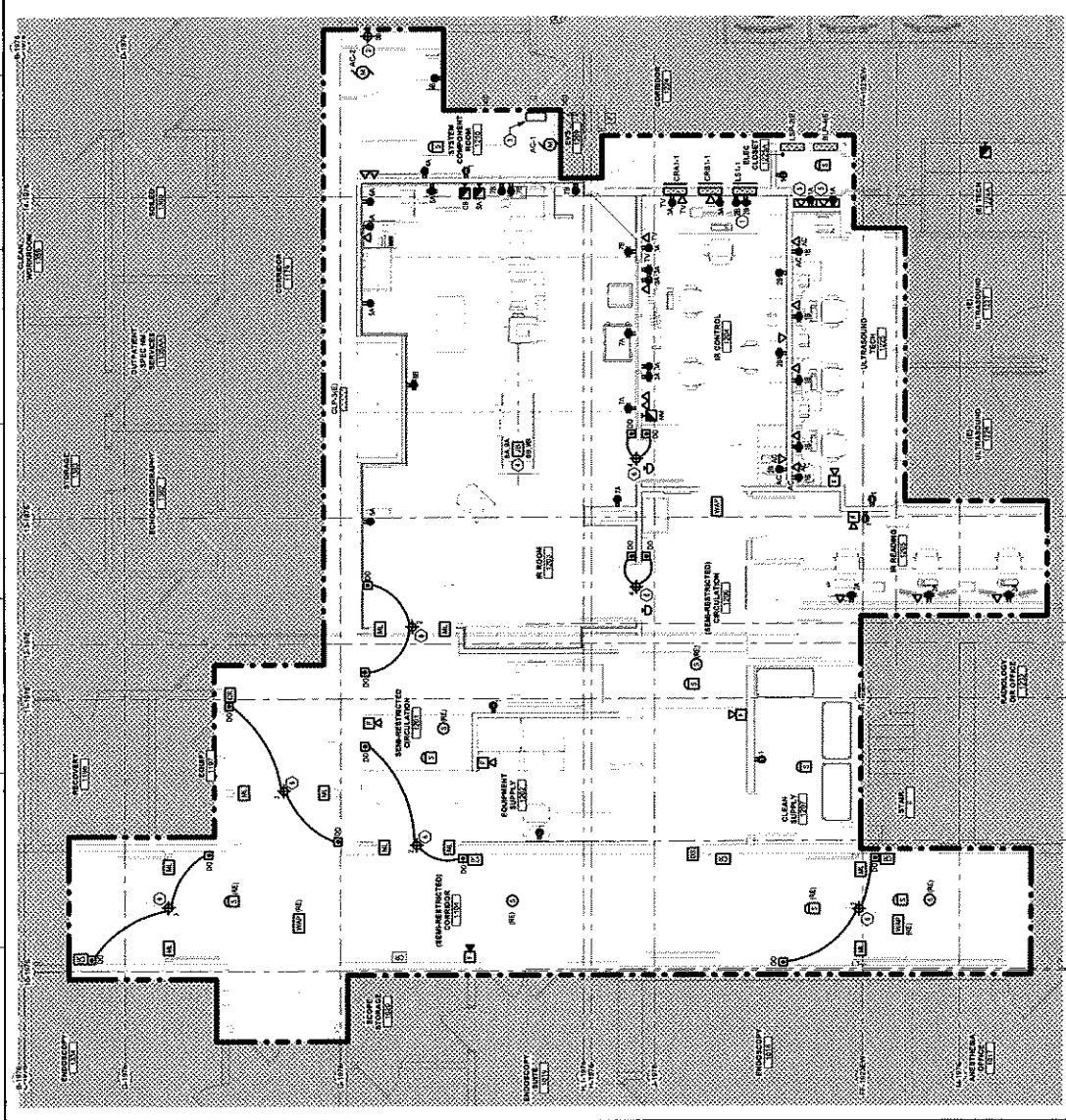
HOLLAND & ASSOCIATES, INC.
Architecture
Planning
Interior Design
17 Lansing Street, Auburn, New York 13021
Tel: 315.255.1234
Fax: 315.255.1235
www.hollandandassociates.com

POENGINEERING.COM
POENGINEERING.COM
17 Lansing Street, Auburn, New York 13021
Tel: 315.255.1234
Fax: 315.255.1235
www.poengineering.com

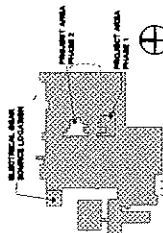
REVISION	SCHEDULE
NO.	DATE

PROJECT	17 LANSING STREET, AUBURN, NEW YORK 13021
OWNER	AUBURN COMMUNITY HOSPITAL
ARCHITECT	HOLLAND & ASSOCIATES, INC.
ENGINEER	POENGINEERING.COM

DATE	04/27/2024
PROJECT	17 LANSING STREET, AUBURN, NEW YORK 13021
OWNER	AUBURN COMMUNITY HOSPITAL
ARCHITECT	HOLLAND & ASSOCIATES, INC.
ENGINEER	POENGINEERING.COM



① PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA - POWER & SYSTEMS
JULY 1994



KEY PLAN

DATE:	DATE:	DATE:	DATE:
PROJECT:	PROJECT:	PROJECT:	PROJECT:
CON NO:	CON NO:	CON NO:	CON NO:
CUSTOMER:	CUSTOMER:	CUSTOMER:	CUSTOMER:
PARTIAL FLOOR PLANS - PHASE 2 AREA POWER & SYSTEMS			
22065 E202			

AGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
UBURN COMMUNITY HOSPITAL
7 Lansing Street, Auburn, New York 13021

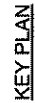
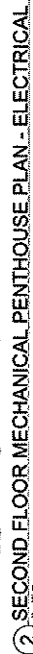
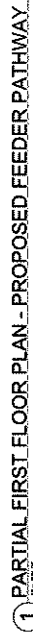
NAME	DATE
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 INSTITUTE OF
 PROFESSIONAL
 ENGINEERS
 OF
 AUSTRALIA

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Architecture
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875 M. Dean Blvd.
Green, New York 12203
40 South Street
Albany, New York 12207
172 E. Jefferson Street
Syracuse, New York 13202
a 607 571 7700 www.holtzweig.com

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HOLTMONT

**Architecture
Planning
Interior Design**

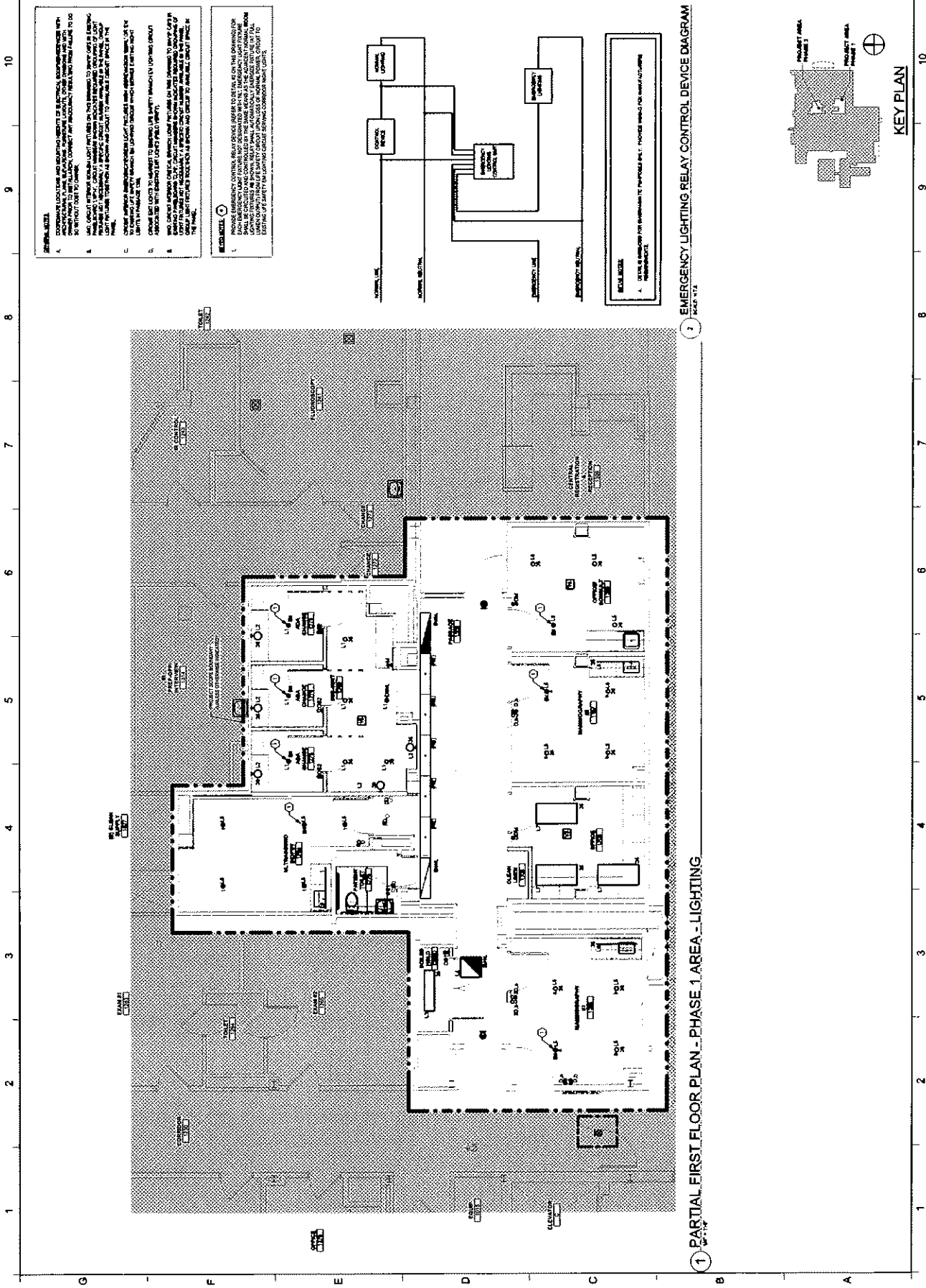
618 W. 50th Street
Triada, New York 14159

818 50th Street
Albany, New York 12207

135 E. Jefferson Street
Syracuse, New York 13204

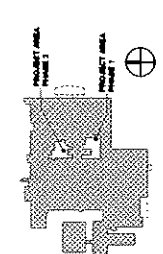
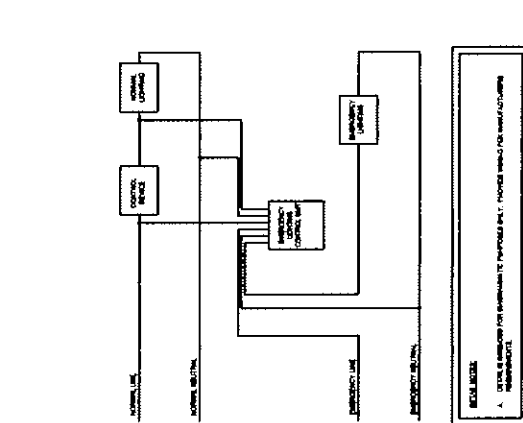
P 607 279 7845 www.holmont.com

1. PROVIDE REINFORCING AND BRACED CIRCUIT BREAKERS, PROVIDE 1" OF CLEAR SPACE BETWEEN THE PANEL, DISJUNCTIONS AND INDICATED CIRCUIT BREAKERS AS WELL AS BETWEEN EACH PANEL BOARD.
2. EXTEND AND REINFORCE THIS CIRCUIT LAYOUT TO POWER MAINS EXTENDING THROUGH ACCEPTABLE. CIRCUIT LOCATED TO FEED OTHER EXISTING RIGGING ACCEPTABLE. CONTRACTOR SHALL COMPLY THIS CIRCUIT LOCATED UNDER.
3. OUTLINE OF A SYSTEM COMPONENT ROOM BELOW. ROUTE FEEDERS FOR PANEL BOARD, CHILL, CHILL AND ISLAND THROUGH MECHANICAL ROOM TO THE



1 PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - LIGHTING

- NOTES:**
- PROVIDE EMERGENCY LIGHTING IN ALL EXITS, STAIRWAYS, AND AREAS WHERE PEOPLE ARE LIKELY TO BE TRAVELING. EMERGENCY LIGHTING SHALL BE CONTROLLED BY THE SAME CIRCUIT AS THE NORMAL LIGHTING. EMERGENCY LIGHTING SHALL BE INSTALLED IN ALL EXITS, STAIRWAYS, AND AREAS WHERE PEOPLE ARE LIKELY TO BE TRAVELING.
 - USE CIRCUIT BREAKERS WITH OVERCURRENT PROTECTION ON ALL CIRCUITS. CIRCUIT BREAKERS SHALL BE INSTALLED IN ALL EXITS, STAIRWAYS, AND AREAS WHERE PEOPLE ARE LIKELY TO BE TRAVELING.
 - PROVIDE EMERGENCY LIGHTING IN ALL EXITS, STAIRWAYS, AND AREAS WHERE PEOPLE ARE LIKELY TO BE TRAVELING. EMERGENCY LIGHTING SHALL BE CONTROLLED BY THE SAME CIRCUIT AS THE NORMAL LIGHTING. EMERGENCY LIGHTING SHALL BE INSTALLED IN ALL EXITS, STAIRWAYS, AND AREAS WHERE PEOPLE ARE LIKELY TO BE TRAVELING.
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 - USE CIRCUIT BREAKERS WITH OVERCURRENT PROTECTION ON ALL CIRCUITS. CIRCUIT BREAKERS SHALL BE INSTALLED IN ALL EXITS, STAIRWAYS, AND AREAS WHERE PEOPLE ARE LIKELY TO BE TRAVELING.



KEY PLAN

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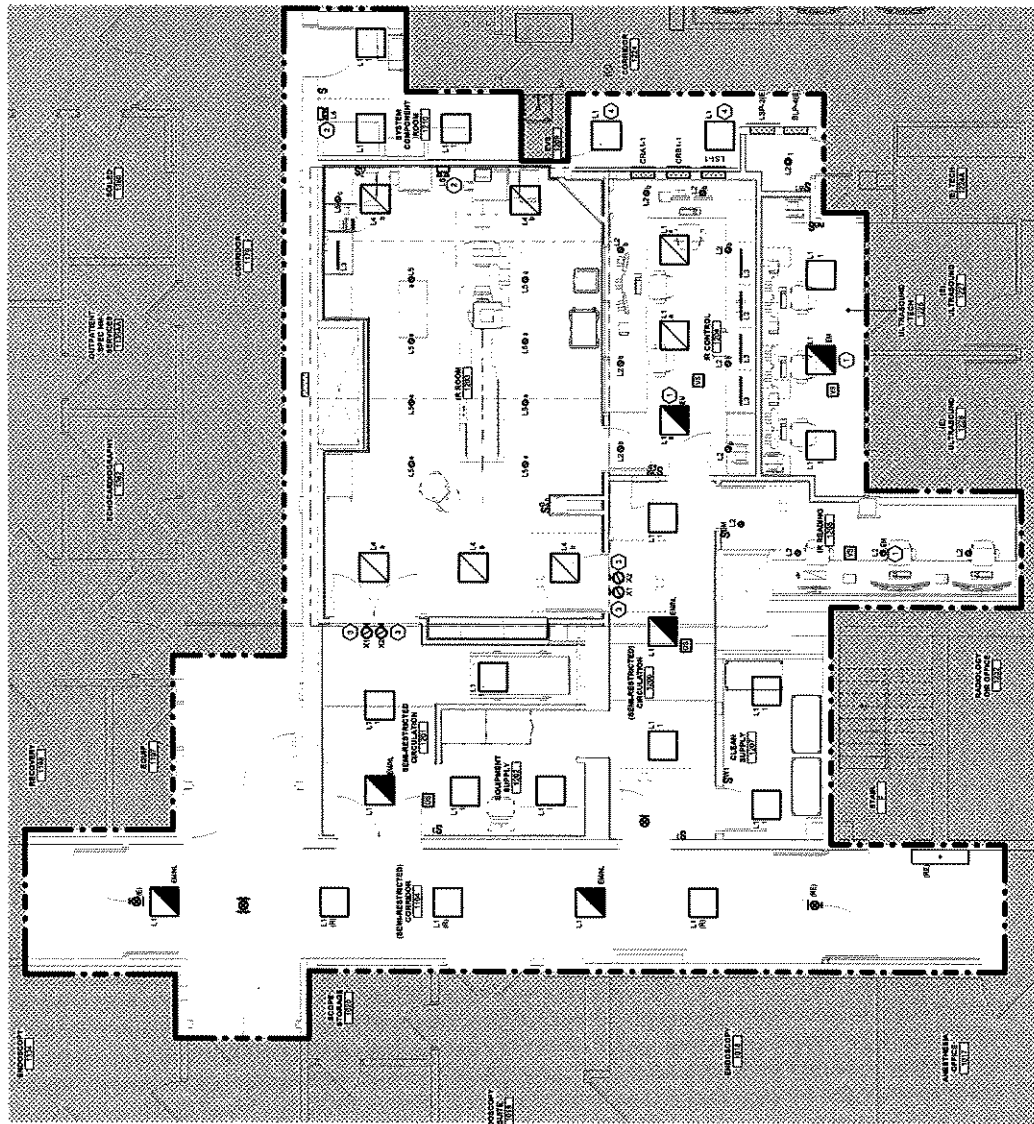
PROJECT INFORMATION

PROJECT NAME: AUBURN COMMUNITY HOSPITAL
PROJECT NO.: 22068 E301
PHASE: PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - LIGHTING

REVISIONS

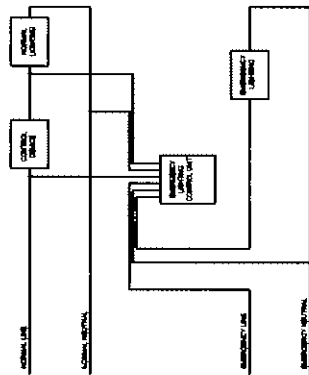
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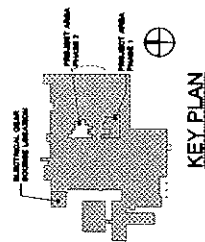


1 PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA - LIGHTING

- GENERAL NOTES:**
- COORDINATE LOCATION AND NUMBERING OF ELECTRICAL SYMBOLS WITH ARCHITECTURAL DRAWINGS. ALL ELECTRICAL SYMBOLS SHALL BE IDENTICAL TO THOSE SHOWN ON THE ELECTRICAL SYMBOLS LIST. ALL ELECTRICAL SYMBOLS SHALL BE IDENTICAL TO THOSE SHOWN ON THE ELECTRICAL SYMBOLS LIST.
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1 EMERGENCY LIGHTING RELAY CONTROL DEVICE DIAGRAM



KEY PLAN

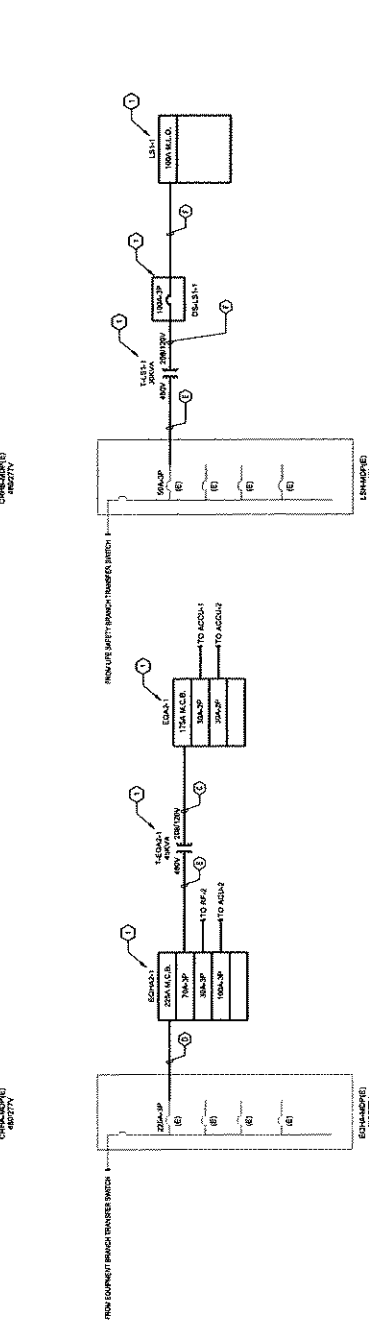
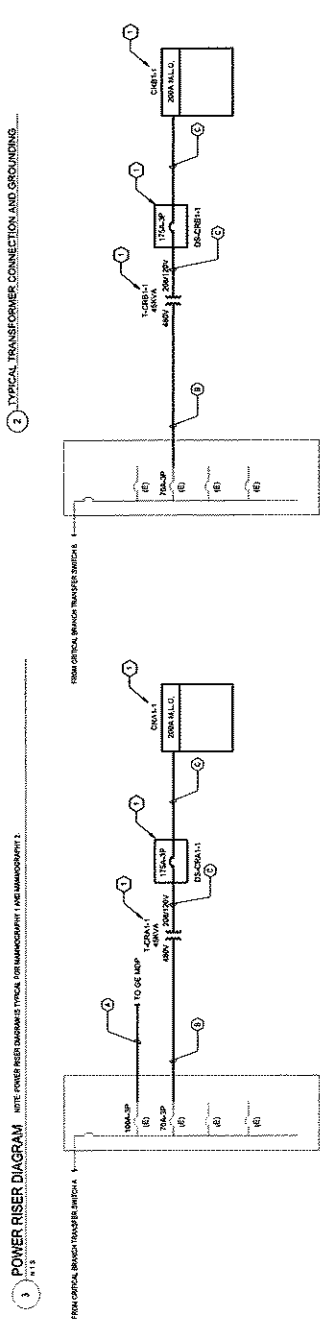
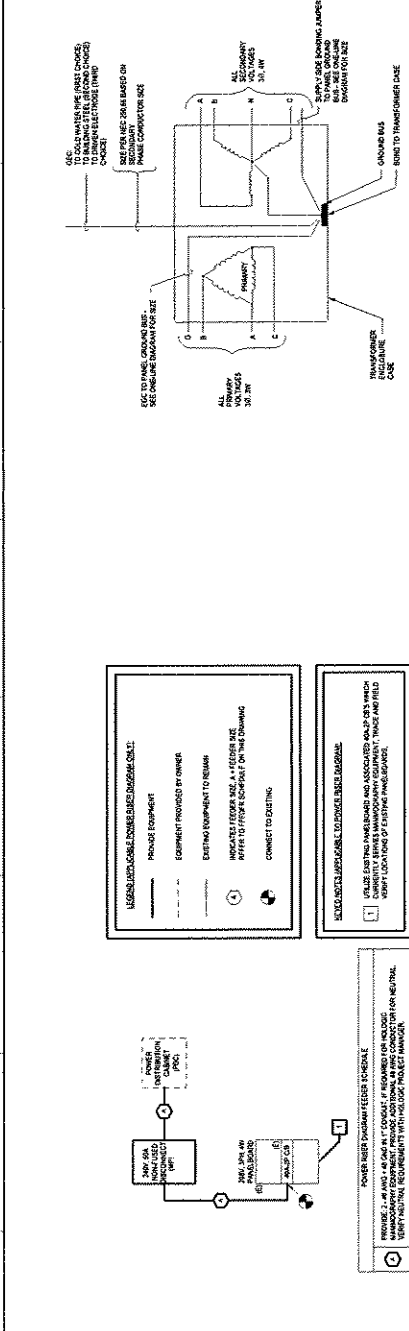
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IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
17 Lansing Street, Auburn, New York 13021

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PLANNING
INTERIOR DESIGN

HOLLANDT
ARCHITECTURE
PLANNING
INTERIOR DESIGN

1 2 3 4 5 6 7 8 9 10



1 ELECTRICAL SINGLE LINE DIAGRAM

GENERAL NOTE:

A. ASBESTOS REMEDIATION IS INTENDED FOR DIAGNOSTIC PURPOSES ONLY. THE CONTRACTOR SHALL BE RESPONSIBLE FOR IDENTIFYING AND REMEDIATING ASBESTOS. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS.

B. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS.

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REVISIONS:

1. EQUIPMENT TYPED UNDER SEPARATE CONTRACT RECEIVED AND INSTALLED BY THE CONTRACTOR.

FEEDER SCHEDULE

INDICATES FEEDER SIZE & FEEDER SIZE REFER TO FEEDER SCHEDULE ON THIS DRAWING.

PROVIDE EQUIPMENT

EXISTING EQUIPMENT TO REMAIN

FEEDER SCHEDULE
1. 600V COPPER, 14 AWG, 1440 IN 1/2"
2. 600V COPPER, 12 AWG, 1440 IN 1/2"
3. 600V COPPER, 10 AWG, 1440 IN 1/2"
4. 600V COPPER, 8 AWG, 1440 IN 1/2"
5. 600V COPPER, 6 AWG, 1440 IN 1/2"
6. 600V COPPER, 4 AWG, 1440 IN 1/2"

HOLT ARCHITECTS

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115 W. 11th Street
New York, NY 10011
212.254.1111
www.holt-architects.com

PP ENGINEERING

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New York, NY 10011
212.254.1111
www.pp-engineering.com

REVISION	SCHEDULE	NAME	DATE
1			

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY**

AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

DATE	BY	REVISION
11/11/2023	PP	1

**ELECTRICAL
SINGLE LINE
DIAGRAM**

22065 E501

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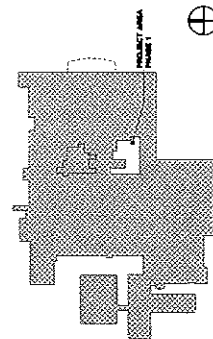
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1 2 3 4 5 6 7 8 9 10



1. MODIFY EXISTING SPRAWLER PIPING AS REQUIRED TO ACCOMMODATE NEW WORK IN THIS AREA OR CAP AS REQUIRED. SEE NEW WORK DRAWING FOR CONTINUATION OF WORK IN THIS AREA.



① PARTIAL FIRST FLOOR DEMOLITION RCP PLAN - PHASE 1 AREA FIRE PROTECTION

KEY PLAN

DATE	6/27/04
PROJECT	1204
CON. NO.	2708
CONTRACT	WITTMER
PARTIAL FIRST FLOOR DEMOLITION PLAN - PHASE 1 AREA	
220466 FPD101	

IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

NAME	DATE
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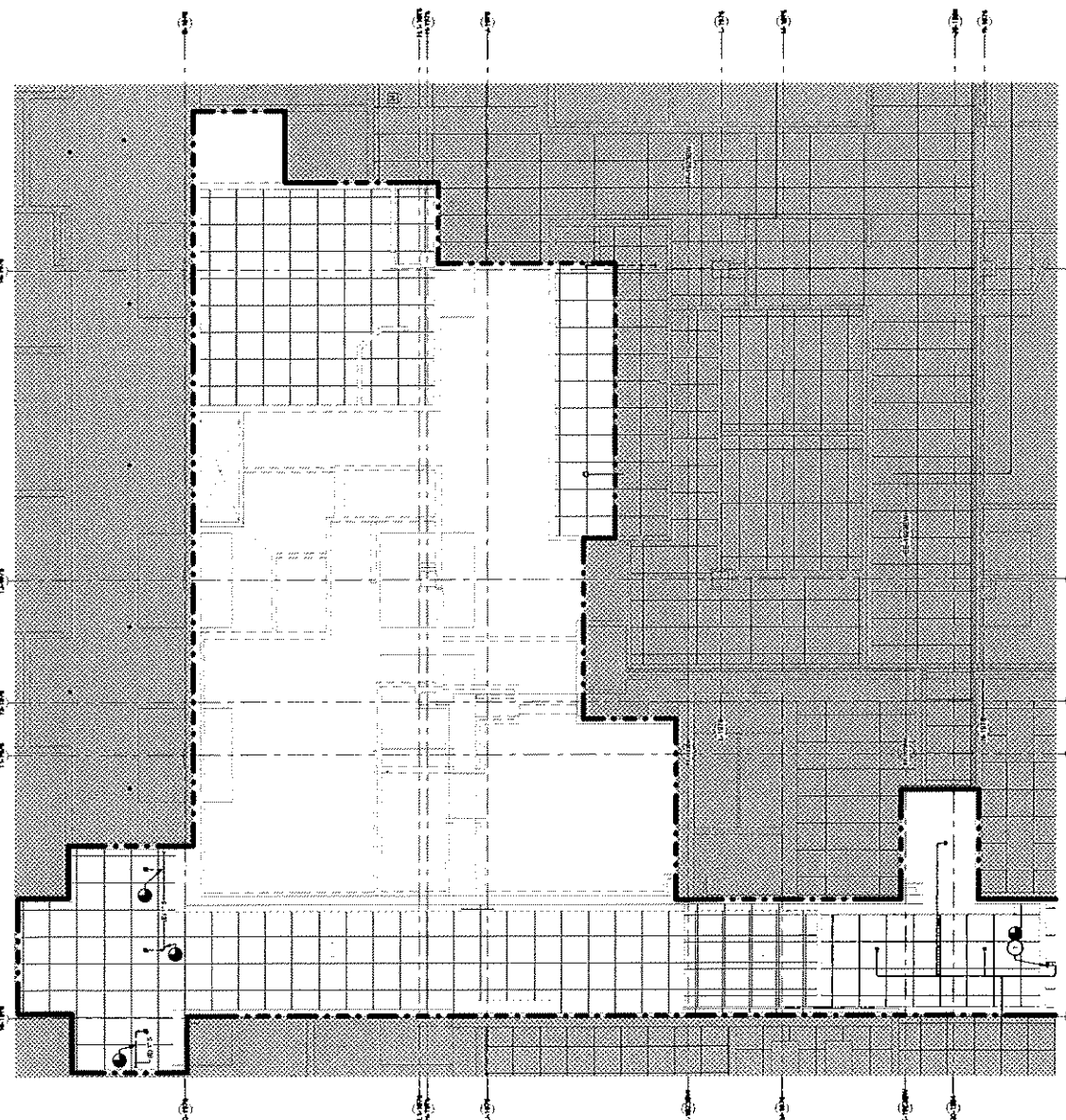
1105 311891N 1033000
 URGENT
 SYNGRUPP
 RUEGROTHLE
 HUBBARD

**Architecture
Planning
Interior Design**
140 N. Main Street
Albany, New York 12202

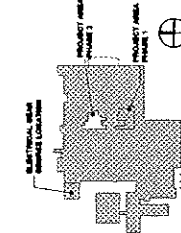
SOLUTIONS

1 2 3 4 5 6 7 8 9 10

REVISIONS
1. UNIFORMITY AS CAS PREPARE
PHOTO FOR CONNECTION TO NEW
MAIN PIPING



1 PARTIAL FIRST FLOOR DEMOLITION RCP PLAN - PHASE 2 AREA FIRE PROTECTION



KEY PLAN

HOLT ASSOCIATES

Architecture
Planning
Interior Design

100 W. 10th Street
Albany, New York 12202
518.263.1234
holtassociates.com

ALBANY

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ARCHITECT
STATE OF NEW YORK

POE ENGINEERING

REGISTERED
ENGINEER
STATE OF NEW YORK

REVISION SCHEDULE

NO.	DATE	DESCRIPTION
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INTERVENTIONAL RADIOLOGY

AUBURN COMMUNITY HOSPITAL

17 Lansing Street, Auburn, New York 13021

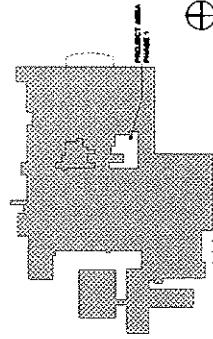
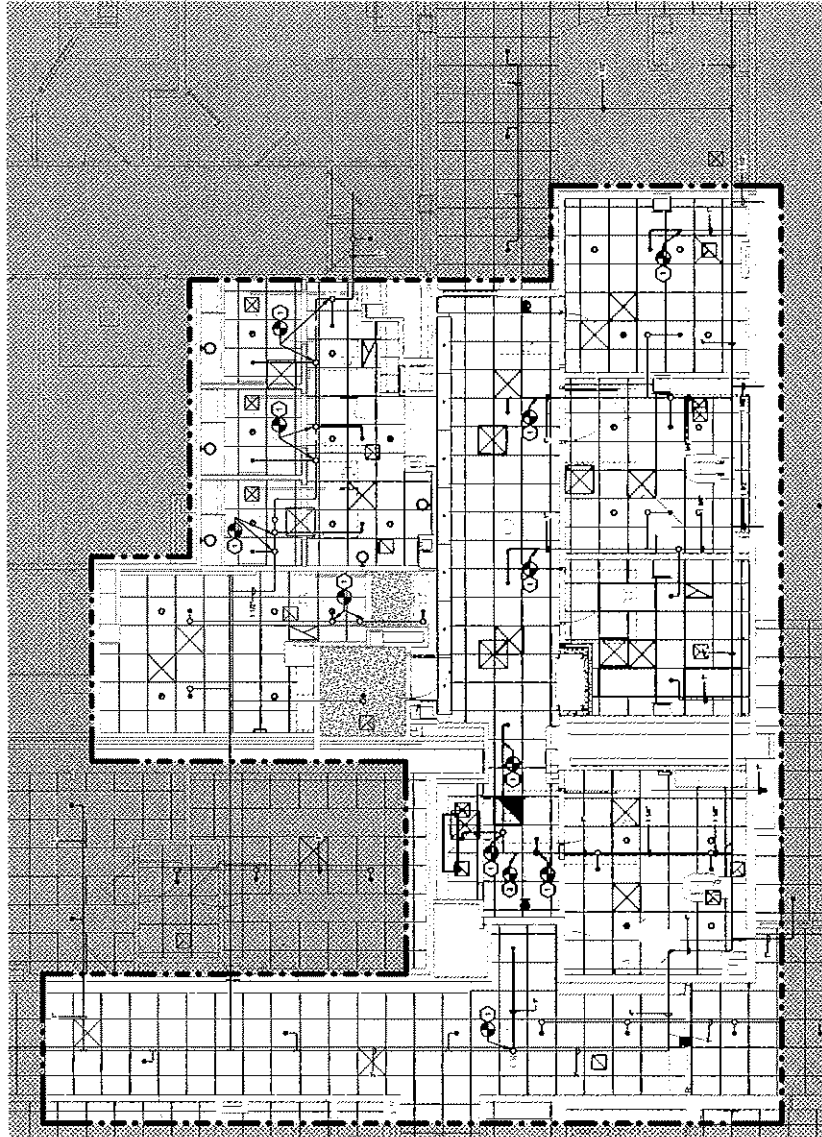
DATE 04/24/2024
PROJECT 220065
LOCATION 17 LANSING STREET
OWNER AUBURN COMMUNITY HOSPITAL
DESIGNED BY HOLT ASSOCIATES
PREPARED BY HOLT ASSOCIATES

PARTIAL FIRST FLOOR DEMOLITION PLAN - PHASE 2 AREA

220065 FPD102

1 2 3 4 5 6 7 8 9 10

NEW WORK NOTED NOTES
① EXTENDING AT BRANCH SPINNELER
② CAP AT BANK



KEY PLAN

① PARTIAL FIRST FLOOR RCP PLAN - PHASE 1 AREA FIRE PROTECTION

HOLLAWAY
Architecture
Planning
Interior Design
100 N. Main Street
Auburn, Maine 04224
Tel: 603.552.1234
Fax: 603.552.1235
www.hollaway.com

MECHANICAL ENGINEERING
JAMES E. HOLLAWAY
10001
MAINE
10/30/2023

IPD
MECHANICAL
ARCHITECT
PLANNING
DESIGN
100 N. Main Street
Auburn, Maine 04224
Tel: 603.552.1234
Fax: 603.552.1235
www.ipd-engineering.com

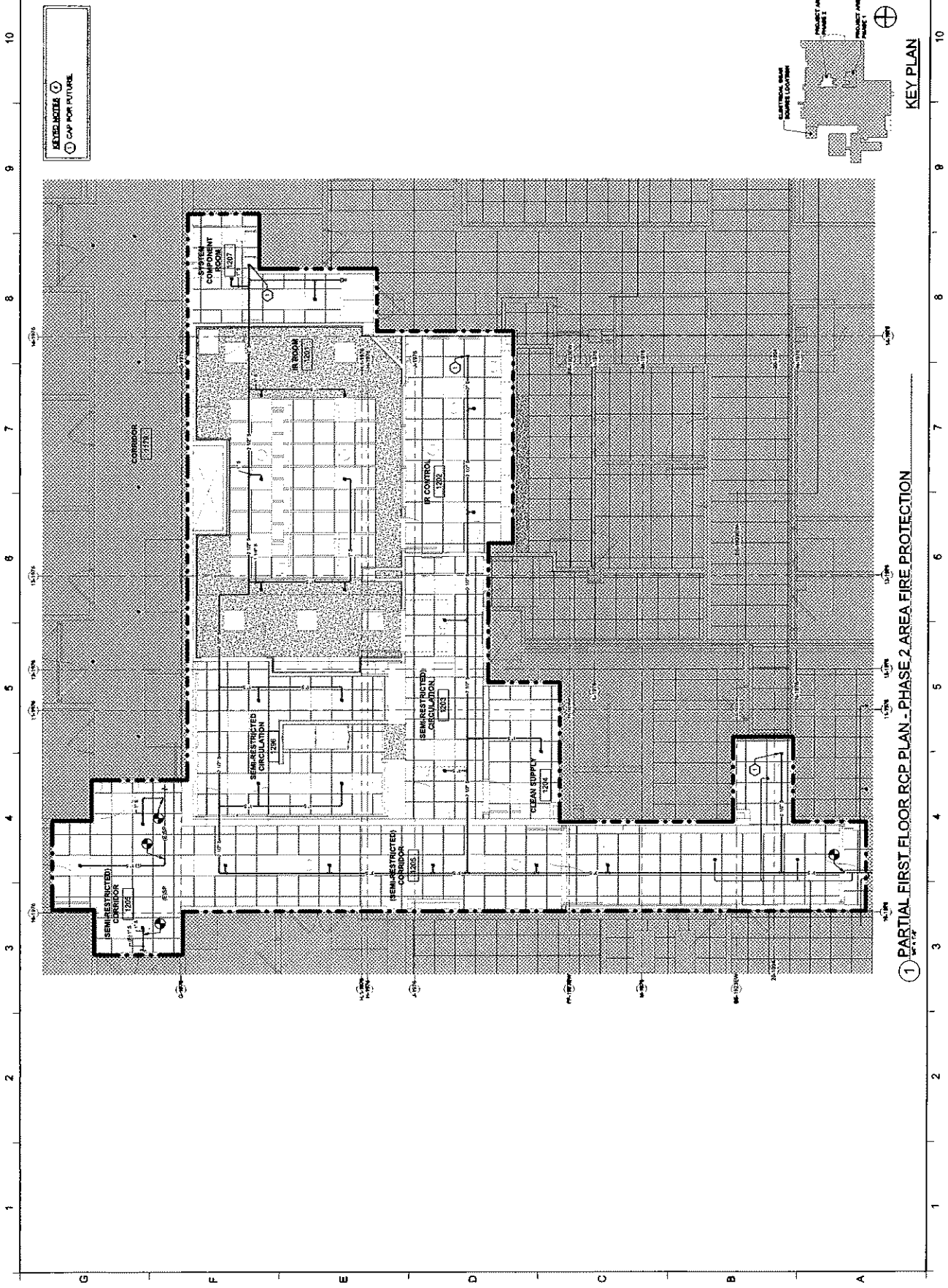
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NO.	DATE

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021**

NO.	DATE	BY	CHKD.

**PARTIAL FIRST
FLOOR PLAN -
PHASE 1 AREA**

Z2045 FP101



HOLT ARCHITECTS
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IPD
DAVID J. HOLT
REGISTERED PROFESSIONAL ENGINEER
MECHANICAL
STATE OF NEW YORK
13075
www.ipdengineering.com

REVISION SCHEDULE	
NAME	DATE

IMAGING DEPARTMENT RENOVATIONS FOR
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

DATE	SERVICES

PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA

22065 FP-102

KEY PLAN

① PARTIAL FIRST FLOOR RCP PLAN - PHASE 2 AREA FIRE PROTECTION

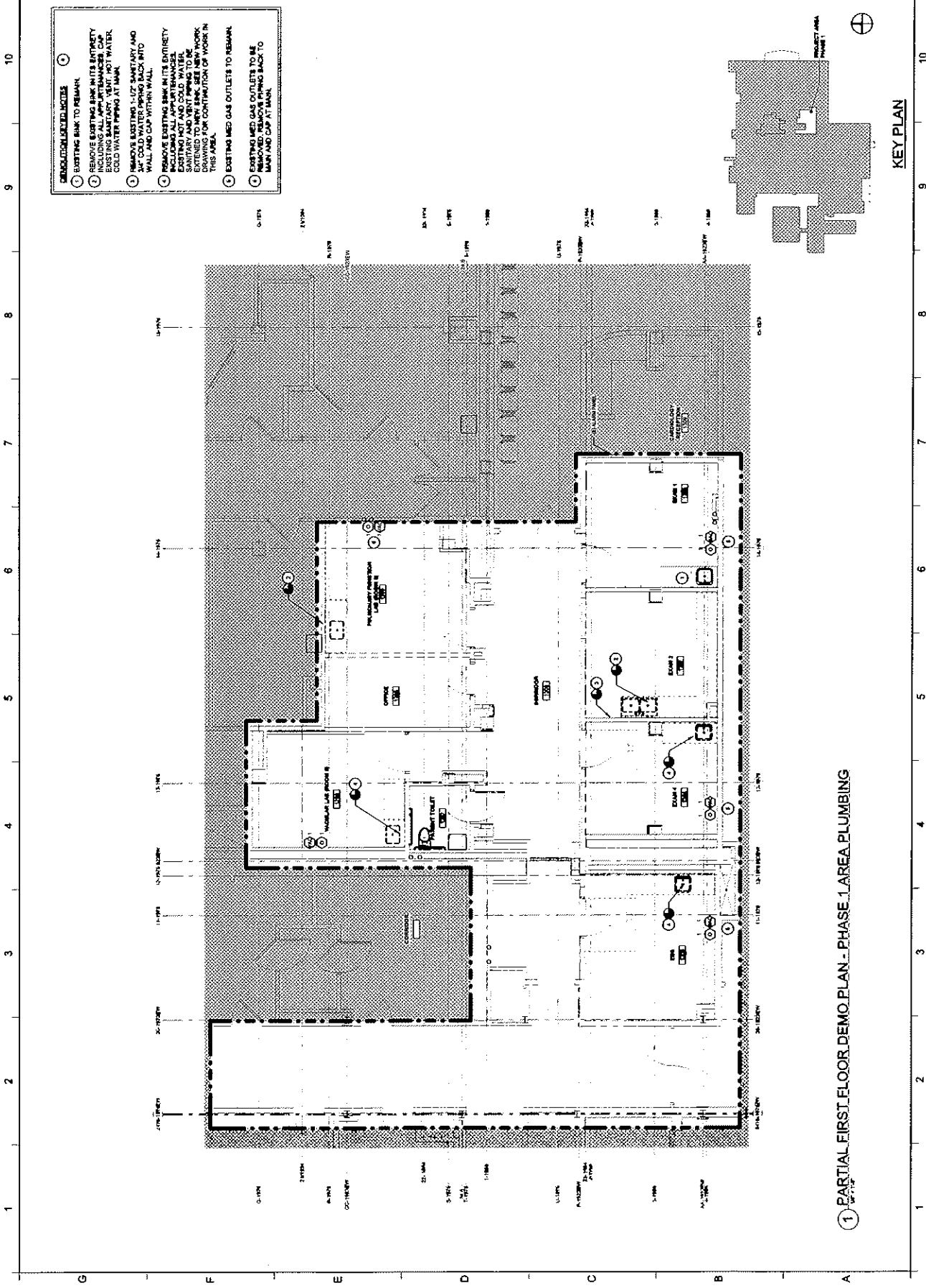
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GENERAL INFORMATION		A	
22065 P001			

IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021



HOLLACROFTS
Architecture
Planning
Interior Design
100 State Street
Albany, New York 12207
133 E. Jefferson Street
Syracuse, New York 13261
p 315 271 1650 www.hollacrafts.com



- DEMOLITION NOTES**
- 1. EXISTING SINK TO REMAIN
 - 2. REMOVE EXISTING SINK IN ITS ENTIRETY INCLUDING SANITARY, VENT, HOT WATER, COLD WATER PIPING AT MAN.
 - 3. REMOVE EXISTING 1-1/2" SANITARY AND 3/4" COLD WATER PIPING BACK INTO WALL AND CAP WITHIN WALL.
 - 4. REMOVE EXISTING SINK IN ITS ENTIRETY INCLUDING SANITARY, VENT, HOT WATER, COLD WATER PIPING AT MAN.
 - 5. EXTENDED TO NEW SINK SEE NEW WORK FOR CONTINUATION OF WORK IN THIS AREA.
 - 6. EXISTING MED GAS OUTLETS TO REMAIN
 - 7. EXISTING MED GAS OUTLETS TO BE REMOVED REMOVE PIPING BACK TO MAN AND CAP AT MAN.

1 PARTIAL FIRST FLOOR DEMO PLAN - PHASE 1 AREA PLUMBING

HOLT ARCHITECTS

Architecture
Planning
Interior Design

17 Lansing Street, Auburn, New York 13021
Phone: 315.255.1234
Fax: 315.255.1235
www.holtarchitects.com

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INTERNATIONAL PLUMBING ENGINEERING DESIGN

17 Lansing Street, Auburn, New York 13021
Phone: 315.255.1234
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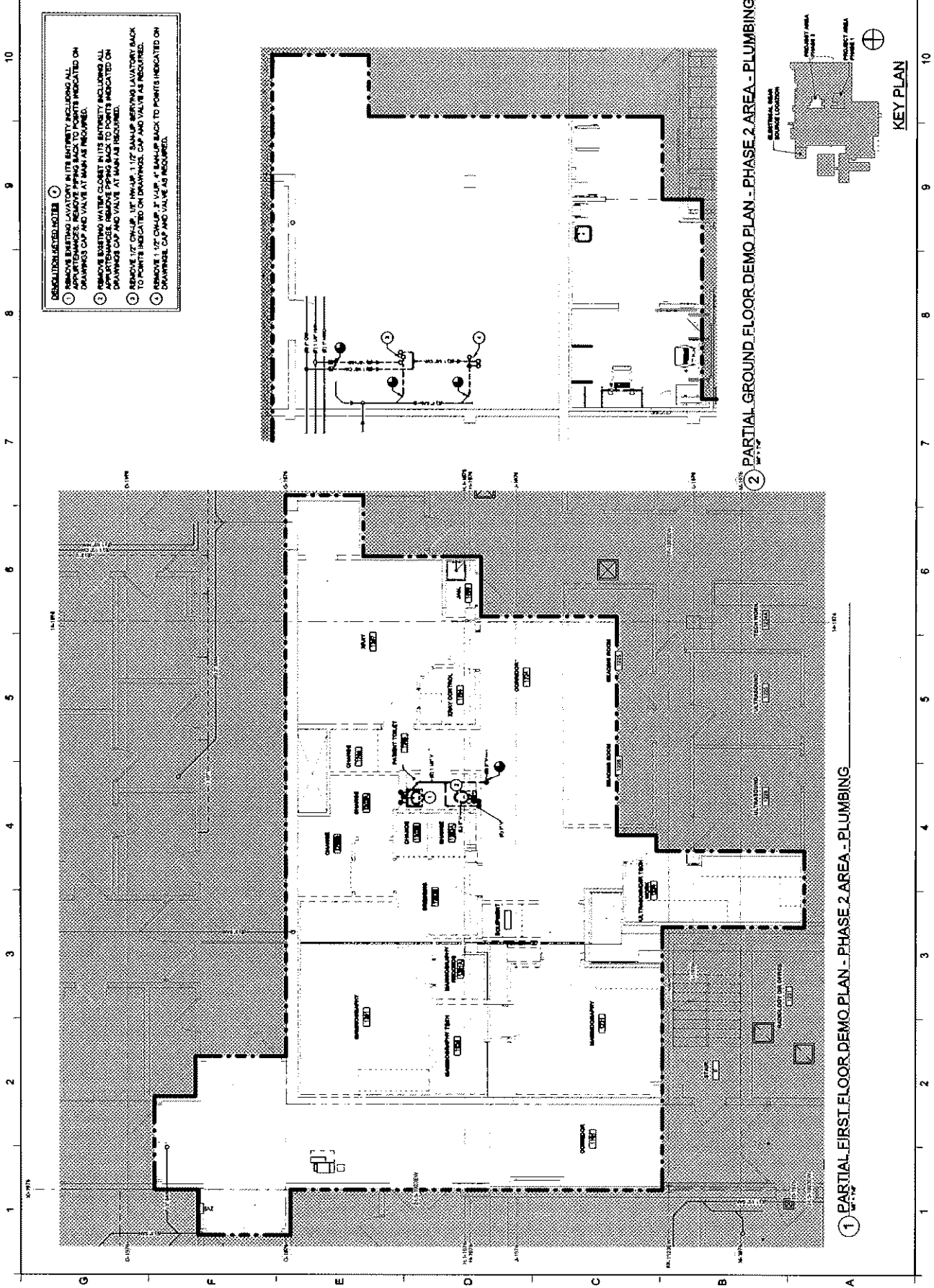
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IMAGING DEPARTMENT RENOVATIONS FOR
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

PARTIAL FIRST FLOOR DEMO PLAN - PHASE 1 AREA

22065 PD101



Holtzman

Architecture
Planning
Interior Design

1000 N. 10th Street
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205.425.1234

IBD

1000 N. 10th Street
Auburn, AL 36832
205.425.1234

IBD

1000 N. 10th Street
Auburn, AL 36832
205.425.1234

REVISION SCHEDULE

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**IMAGING DEPARTMENT RENOVATIONS FOR
AUBURN COMMUNITY HOSPITAL**

17 Lansing Street, Auburn, New York 13021

PROJECT INFORMATION

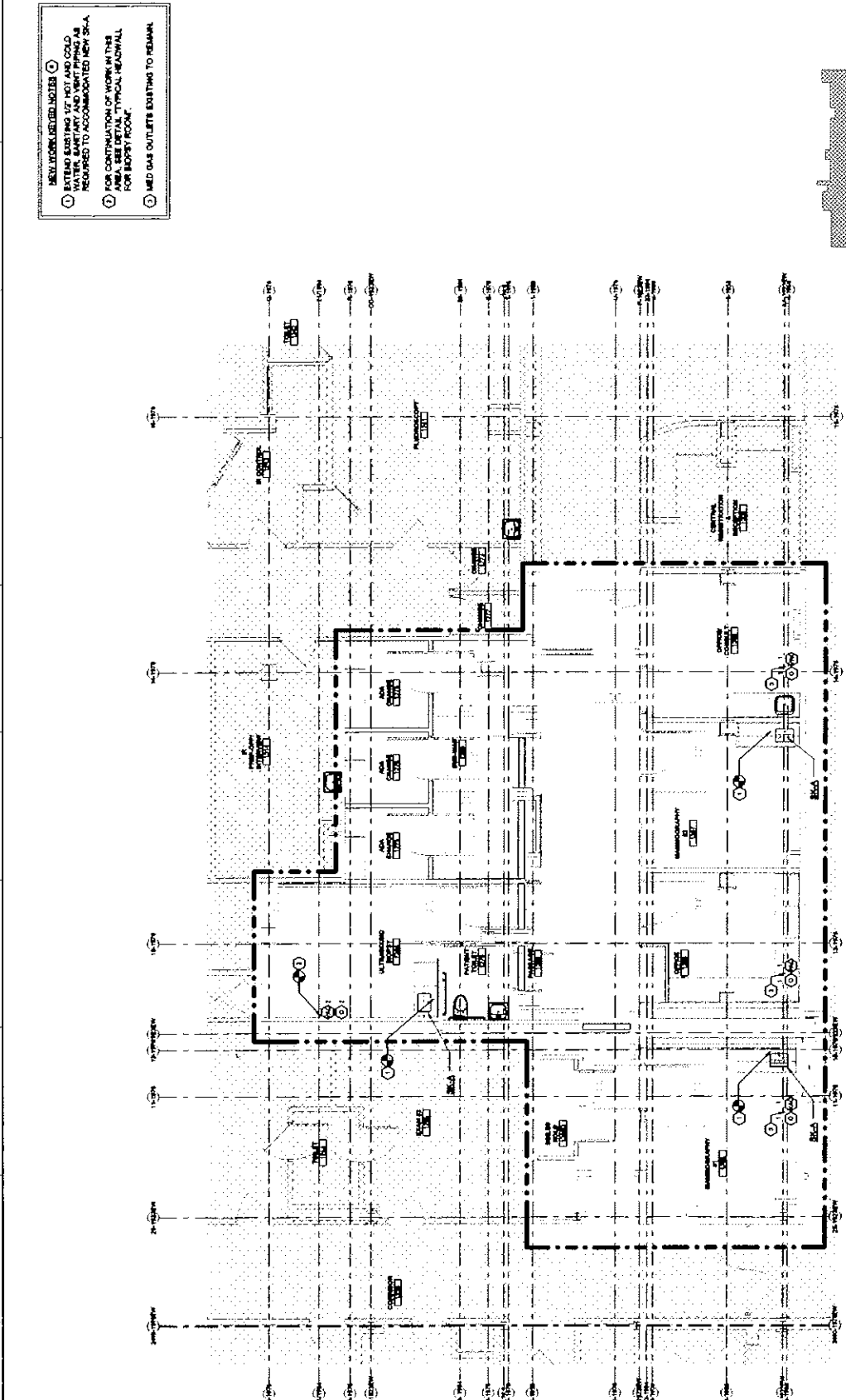
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04/24/2024	2	ISSUED FOR PERMIT
04/24/2024	3	ISSUED FOR PERMIT
04/24/2024	4	ISSUED FOR PERMIT
04/24/2024	5	ISSUED FOR PERMIT
04/24/2024	6	ISSUED FOR PERMIT
04/24/2024	7	ISSUED FOR PERMIT
04/24/2024	8	ISSUED FOR PERMIT
04/24/2024	9	ISSUED FOR PERMIT
04/24/2024	10	ISSUED FOR PERMIT

PARTIAL FIRST FLOOR DEMO PLAN - PHASE 2 AREA - PLUMBING

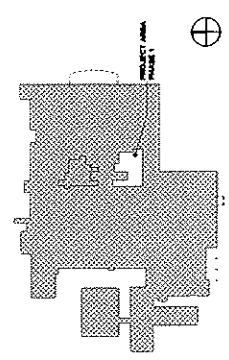
PARTIAL GROUND FLOOR DEMO PLAN - PHASE 2 AREA - PLUMBING

22085 PD102

1 2 3 4 5 6 7 8 9 10



1. PARTIAL FIRST FLOOR PLAN - PHASE 1 AREA - PLUMBING



KEY PLAN

1 2 3 4 5 6 7 8 9 10

HOLT ARCHITECTS

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Professional Seal

NEW YORK STATE
REGISTERED ARCHITECT
JULIA A. HOLT
00000000000000000000

ispd

INCORPORATED PROFESSIONAL DESIGN
1000 ENGINEERING.COM

REVISION SCHEDULE	
NAME	DATE

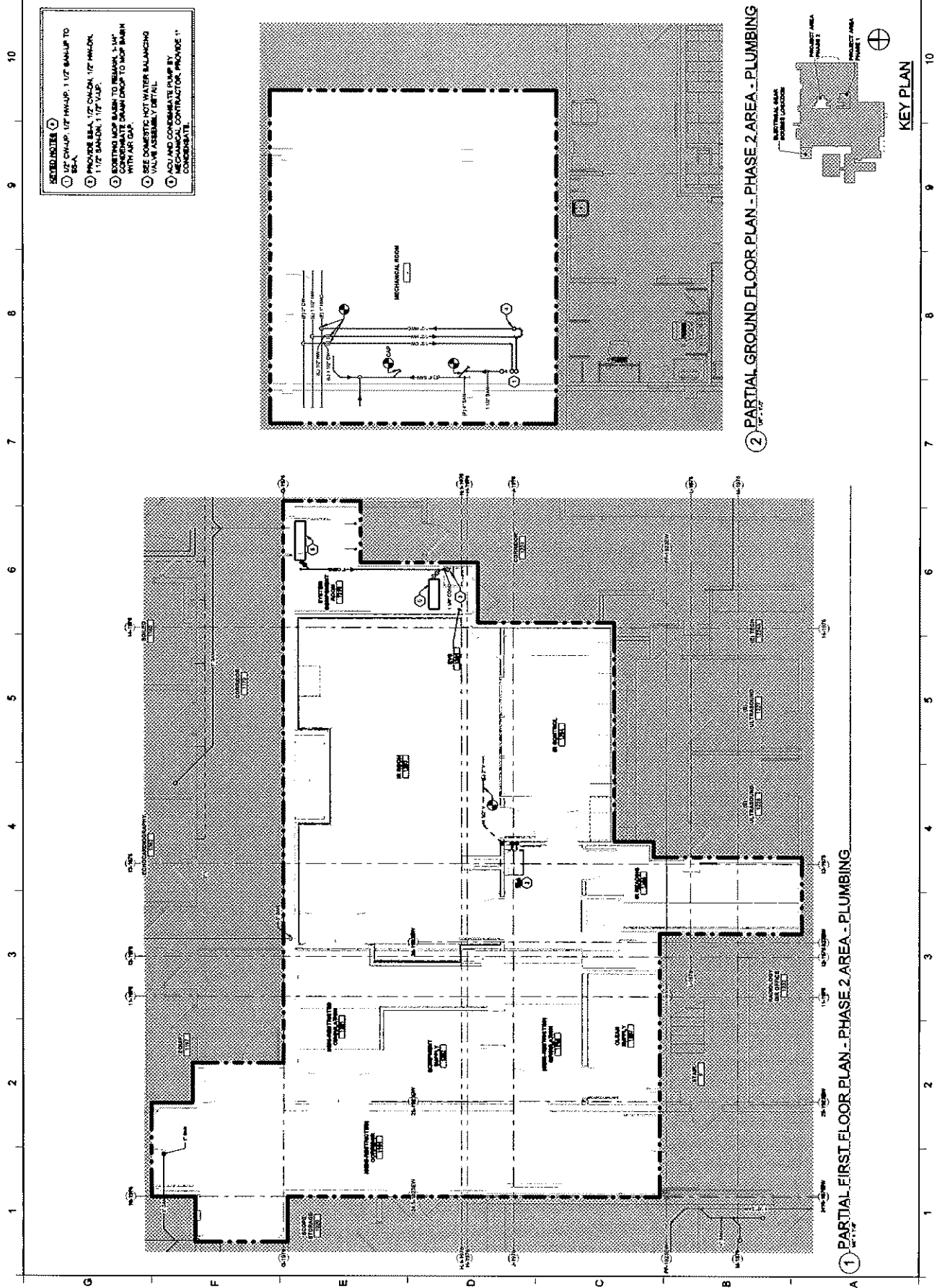
**IMAGING DEPARTMENT RENOVATIONS FOR
AUBURN COMMUNITY HOSPITAL**

17 Lansing Street, Auburn, New York 13021

DATE	BY	REVISION

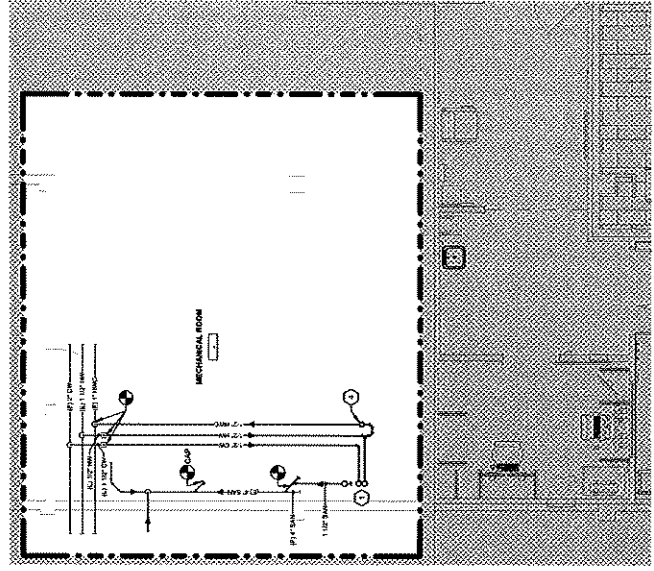
DESIGNED BY: JAH
DRAWN BY: JAH
CHECKED BY: JAH
PROJECT AREA

22085 P101



1 PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA - PLUMBING

- REVISIONS**
- 1. 1/2" CHANG. 1/2" HANG. 1/2" HANG. TO 1/2" HANG.
 - 2. PROVIDE 1/2" CHANG. 1/2" HANG. 1/2" HANG. 1/2" HANG.
 - 3. EXISTING 1/2" HANG. TO REMAIN. 1/2" HANG. 1/2" HANG. 1/2" HANG.
 - 4. SEE DOMESTIC HOT WATER BALANCING VALVE ASSEMBLY DETAIL.
 - 5. 1/2" HANG. 1/2" HANG. 1/2" HANG. 1/2" HANG.



2 PARTIAL GROUND FLOOR PLAN - PHASE 2 AREA - PLUMBING

HOLTHOUSE

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Interior Design

1234 Main Street
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Fax: 508-555-5678
www.holthouse.com

IPD

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Auburn, MA 01501
Tel: 508-555-1234
Fax: 508-555-5678
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REVISION SCHEDULE

NO.	DATE	DESCRIPTION
1	5/3/2024	ISSUED FOR PERMIT

**IMAGING DEPARTMENT RENOVATIONS FOR
AUBURN COMMUNITY HOSPITAL**

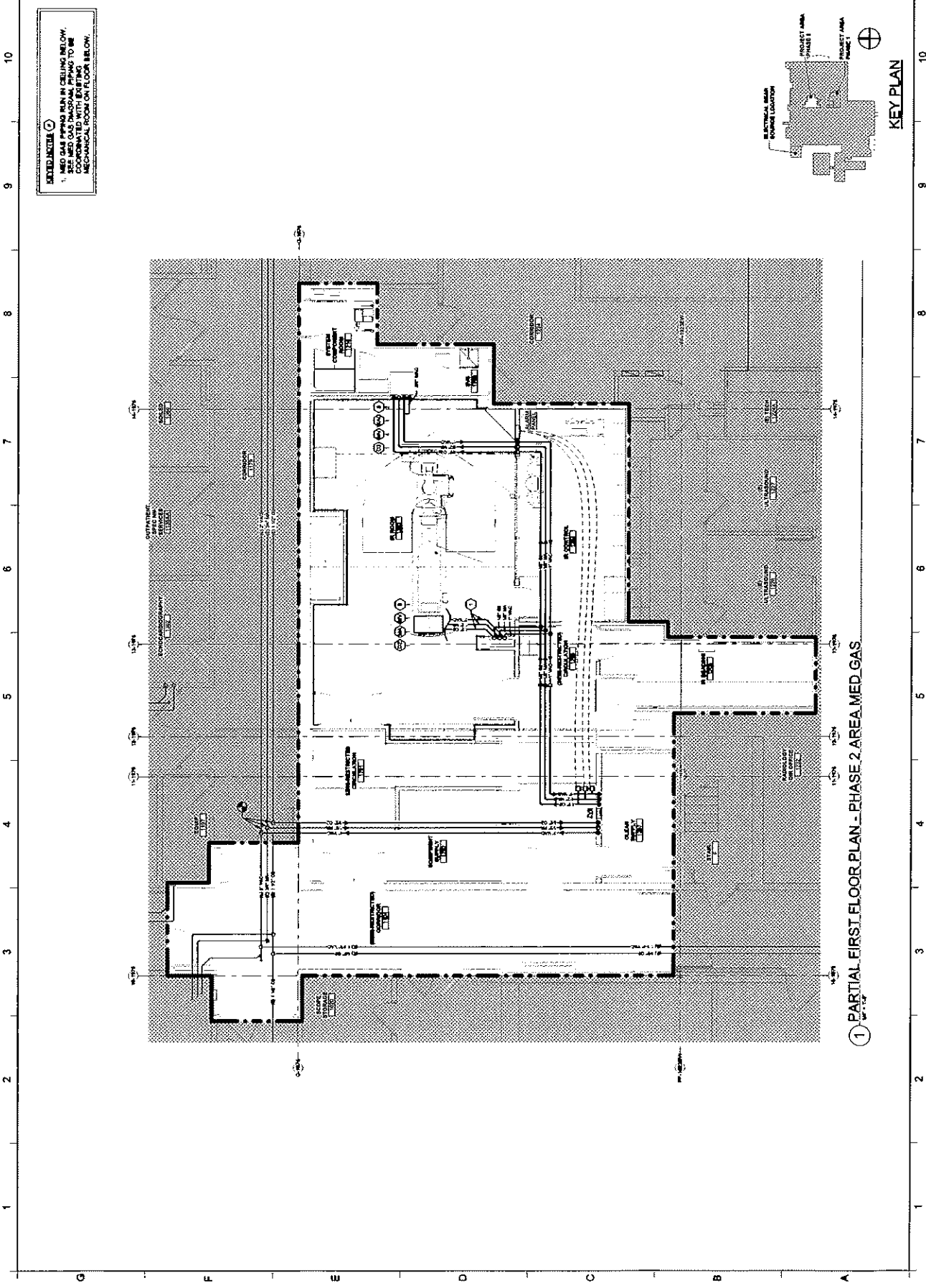
17 Lansing Street, Auburn, New York 13021

PROJECT INFORMATION

PROJECT	NO.	DATE
IMAGING DEPARTMENT RENOVATIONS	1	5/3/2024

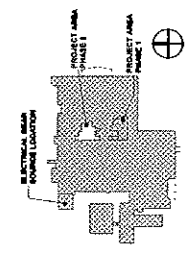
PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA - PLUMBING

22066 P102



1. PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA MED GAS

KEY PLAN



HOLT ASSOCIATES

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Interior Design

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Auburn, Maine 04224
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Fax: 603.883.1101
www.holtassociates.com

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MAINTENANCE
REPAIRS
REPAIRS
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REVISION SCHEDULE

NO.	DATE

IMAGING DEPARTMENT RENOVATIONS FOR
AUBURN COMMUNITY HOSPITAL
17 Lansing Street, Auburn, New York 13021

INTERVENTIONAL RADIOLOGY

NO.	DATE

PARTIAL FIRST FLOOR PLAN - PHASE 2 AREA MED GAS

22065 P103

Schedule 6

Architectural/Engineering Submission

Contents:

- **Schedule 6 – Architectural/Engineering Submission**

New York State Department of Health Certificate of Need Application

Schedule 6

Architectural Submission Requirements for Contingent Approval and Contingency Satisfaction

Schedule applies to all projects with construction, including Articles 28 & 40, i.e., Hospitals, Diagnostic and Treatment Centers, Residential Health Care Facilities, and Hospices.

Instructions

- Provide Architectural/Engineering Narrative using the format below.
- Provide Architect/Engineer Certification form:
 - [Architect's Letter of Certification for Proposed Construction or Renovation for Projects That Will Be Self-Certified. Self-Certification Is Not an Option for Projects over \\$15 Million, or Projects Requiring a Waiver \(PDF\)](#)
 - [Architect's Letter of Certification for Proposed Construction or Renovation Projects to Be Reviewed by DOH or DASNY. \(PDF\)](#) (Not to Be Submitted with Self-Certification Projects)
 - [Architect's Letter of Certification for Completed Projects \(PDF\)](#)
 - [Architect's or Engineer's Letter of Certification for Inspecting Existing Buildings \(PDF\)](#)
- Provide FEMA BFE Certificate. Applies only to Hospitals and Nursing Homes.
 - [FEMA Elevation Certificate and Instructions.pdf](#)
- Provide Functional Space Program: A list that enumerates project spaces by floor indicating size by gross floor area and clear floor area for the patient and resident spaces.
- For projects with imaging services, provide Physicist's Letter of Certification and Physicist's Report including drawings, details and supporting information at the design development phase.
 - [Physicist's Letter of Certification \(PDF\)](#)
- Provide Architecture/Engineering Drawings in PDF format created from the original electronic files; scans from printed drawings will not be accepted. Drawing files less than 100 MB, and of the same trade, may be uploaded as one file.
 - [NYSDOH and DASNY Electronic Drawing Submission Guidance for CON Reviews](#)
 - [DSG-1.0 Schematic Design & Design Development Submission Requirements](#)
- Refer to the Required Attachment Table below for the Schematic Design Submission requirements for Contingent Approval and the Design Development Submission requirements for Contingency Satisfaction.
 - Attachments must be labeled accordingly when uploading in NYSE-CON.
 - Do not combine the Narrative, Architectural/Engineering Certification form and FEMA BFE Certificate into one document.
 - If submitted documents require revisions, provide an updated Schedule 6 with the revised information and date within the narrative.

Architecture/Engineering Narrative

Narrative shall include but not limited to the following information. Please address all items in the narrative including items located in the response column. Incomplete responses will not be accepted.

Project Description	
Schedule 6 submission date: 2/2/2026	Revised Schedule 6 submission date: Click to enter a date.
Does this project amend or supersede prior CON approvals or a pending application? Yes If so, what is the original CON number? #261018	
Intent/Purpose: Auburn Community Hospital (ACH) would like to add Electrophysiology (EP) services to the newly completed IR/ Cath Lab (CON#231365/ MOD#110625. The IR/ Cath Lab is designed and constructed to be Class 3 Imaging room and therefore exceeds the FGI requirements for a Class 2 Imaging room.	

New York State Department of Health Certificate of Need Application

Schedule 6

Site Location: Auburn Community Hospital 17 Lansing Street, Auburn NY 13021	
Brief description of current facility, including facility type: Auburn Community Hospital is a not-for-profit 99-acute care facility serving the Auburn and surrounding Cayuga County population. As the sole provider of Acute and general hospital services in the county, ACH plays a critical role in providing health care services to the community. Facility Type: Type IB (BCNYS 2020); Type II (222) (NFPA 101 Life Safety Code, 2012).	
Brief description of proposed facility: The IR/ Cath Lab was completed in 2025 and ACH would like to add EP services to their operating certificate. EP procedures need to take place in a Class 2 Imaging room. The IR/ Cath Lab is a Class 3 Imaging room and exceeds Code requirements for EP. This room is used part of the day for IR (Imaging Department) and part of the day for Cath Lab (Cardiac Department). The EP services will be performed by the Cardiac Department.	
Location of proposed project space(s) within the building. Note occupancy type for each occupied space. First (1st) Floor of the hospital, I-2 Institutional/ Health Care occupancy (Non-Sleeping).	
Indicate if mixed occupancies, multiple occupancies and or separated occupancies. Describe the required smoke and fire separations between occupancies: Portions of the building are classified as B-Business Occupancy and are separated from the I-2 Occupancy by 2-HR fire barriers. The area of work is located within the Institutional Group I-2, Article 28 occupancy in an existing partially sprinklered smoke-compartment. The projects area is located in an existing non-sprinklered, non-sleeping patient care suite that serves both Inpatients and Outpatients, but the IR/ Cath Lab is fully-sprinklered.	
If this is an existing facility, is it currently a licensed Article 28 facility?	Yes
Is the project space being converted from a non-Article 28 space to an Article 28 space?	No
Relationship of spaces conforming with Article 28 space and non-Article 28 space: Not Applicable.	
List exceptions to the NYSDOH referenced standards. If requesting an exception, note each on the Architecture/Engineering Certification form under item #3. Not Applicable.	
Does the project involve heating, ventilating, air conditioning, plumbing, electrical, water supply, and fire protection systems that involve modification or alteration of clinical space, services or equipment such as operating rooms, treatment, procedure rooms, and intensive care, cardiac care, other special care units (such as airborne infection isolation rooms and protective environment rooms), laboratories and special procedure rooms, patient or resident rooms and or other spaces used by residents of residential health care facilities on a daily basis? If so, please describe below. Click here to enter text.	Not Applicable
Provide brief description of the existing building systems within the proposed space and overall building systems, including HVAC systems, electrical, plumbing, etc. This area was recently constructed. Adding EP services to this room will not change the existing systems- no work is required. Refer to MEP/FP sections of the attached A/E Narrative for the IR/ Cath Lab construction.	
Describe scope of work involved in building system upgrades and or replacements, HVAC systems, electrical, Sprinkler, etc. Not Applicable.	
Describe existing and or new work for fire detection, alarm, and communication systems: Refer to the Electrical section of the attached A/E Narrative.	
If a hospital or nursing home located in a flood zone, provide a FEMA BFE Certificate from www.fema.gov , and describe the work to mitigate damage and maintain operations during a flood event. Minimal above the 500-year flood level.	
Does the project contain imaging equipment used for diagnostic or treatment purposes? If yes, describe the equipment to be provided and or replaced. Ensure physicist's letter of certification and report are submitted.	

New York State Department of Health Certificate of Need Application

Schedule 6

Yes, the existing IR/ Cath Lab has imaging equipment and ACH will purchase additional imaging equipment for EP procedures. Physicist Report to follow in DD Submission.	
Does the project comply with ADA? If no, list all areas of noncompliance. Yes.	
Other pertinent information: Click here to enter text.	
Project Work Area	Response
Type of Work	Alteration
Square footages of existing areas, existing floor and or existing building.	577 sf
Square footages of the proposed work area or areas. Provide the aggregate sum of the work areas.	577 sf
Does the work area exceed more than 50% of the smoke compartment, floor or building?	Less than 50% of the floor
Sprinkler protection per NFPA 101 Life Safety Code	Sprinklered throughout
Construction Type per NFPA 101 Life Safety Code and NFPA 220	Type II (222)
Building Height	57'-5" +/-
Building Number of Stories	4 Stories
Which edition of FGI is being used for this project?	2018 Edition of FGI
Is the proposed work area located in a basement or underground building?	Not Applicable
Is the proposed work area within a windowless space or building?	Yes
Is the building a high-rise?	No
If a high-rise, does the building have a generator?	Not Applicable
What is the Occupancy Classification per NFPA 101 Life Safety Code?	Chapter 18 New Health Care Occupancy
Are there other occupancy classifications that are adjacent to or within this facility? If yes, what are the occupancies and identify these on the plans. Click here to enter text.	No
Will the project construction be phased? If yes, how many phases and what is the duration for each phase? Click here to enter text.	Not Applicable
Does the project contain shell space? If yes, describe proposed shell space and identify Article 28 and non-Article 28 shell space on the plans. Click here to enter text.	Not Applicable
Will spaces be temporarily relocated during the construction of this project? If yes, where will the temporary space be? Click here to enter text.	Not Applicable
Does the temporary space meet the current DOH referenced standards? If no, describe in detail how the space does not comply. Click here to enter text.	Not Applicable
Is there a companion CON associated with the project or temporary space? If so, provide the associated CON number. #231365 IR/ Cath Lab	Yes
Will spaces be permanently relocated to allow the construction of this project? If yes, where will this space be? Click here to enter text.	No
Changes in bed capacity? If yes, enumerate the existing and proposed bed capacities. Click here to enter text.	No Change
Changes in the number of occupants? If yes, what is the new number of occupants? Click here to enter text.	No
Does the facility have an Essential Electrical System (EES)? If yes, which EES Type? Click here to enter text.	Yes
If an existing EES Type 1, does it meet NFPA 99 -2012 standards?	Yes
Does the existing EES system have the capacity for the additional electrical loads? Click here to enter text.	Yes
Does the project involve Operating Room alterations, renovations, or rehabilitation? If yes, provide brief description. Click here to enter text.	No

**New York State Department of Health
Certificate of Need Application**

Schedule 6

Does the project involve Bulk Oxygen Systems? If yes, provide brief description. Click here to enter text.	No
If existing, does the Bulk Oxygen System have the capacity for additional loads without bringing in additional supplemental systems?	Not Applicable
Does the project involve a pool?	No

**New York State Department of Health
Certificate of Need Application**

Schedule 6

REQUIRED ATTACHMENT TABLE			
SCHEMATIC DESIGN SUBMISSION for CONTINGENT APPROVAL	DESIGN DEVELOPMENT SUBMISSION (State Hospital Code Submission) for CONTINGENCY SATISFACTION	Title of Attachment	File Name in PDF format
•		Architectural/Engineering Narrative	A/E Narrative.PDF
•		Functional Space Program	FSP.PDF
•		Architect/Engineer Certification Form	A/E Cert Form.PDF
•		FEMA BFE Certificate	FEMA BFE Cert.PDF
•		Article 28 Space/Non-Article 28 Space Plans	CON100.PDF
•	•	Site Plans	SP100.PDF
•	•	Life Safety Plans including level of exit discharge, and NFPA 101-2012 Code Analysis	LS100.PDF
•	•	Architectural Floor Plans, Roof Plans and Details. Illustrate FGI compliance on plans.	A100.PDF
•	•	Exterior Elevations and Building Sections	A200.PDF
•	•	Vertical Circulation	A300.PDF
•	•	Reflected Ceiling Plans	A400.PDF
optional	•	Wall Sections and Partition Types	A500.PDF
optional	•	Interior Elevations, Enlarged Plans and Details	A600.PDF
	•	Fire Protection	FP100.PDF
	•	Mechanical Systems	M100.PDF
	•	Electrical Systems	E100.PDF
	•	Plumbing Systems	P100.PDF
	•	Physicist's Letter of Certification and Report	X100.PDF

IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY AUBURN COMMUNITY HOSPITAL LRA SCHEDULE 6 A/E NARRATIVE

Architectural Narrative

The project includes a two-phased renovation of the First (1st) Floor Imaging Department to expand and enhance the existing Interventional Radiology (IR) imaging services. The purpose of this project is to provide redundancy and as well as new Class 3 IR imaging. To do so will require a two-phased project that requires relocating the existing mammography imaging services to accommodate the new Class 3 interventional radiology services.

PHASE 1: OUTPATIENT IMAGING (OUTPATIENT HEALTHCARE/ I-2 OCCUPANCY)

The project area is an Alterations Level 2 renovation of existing cardio/pulmonology outpatient care suite that is being repurposed to accommodate the relocation of the existing mammography services. This project area is located in an existing partially sprinklered smoke compartment and an existing fully-sprinklered non-sleeping patient care suite.

PHASE 2: INPATIENT CLASS 3 IMAGING/SURGICAL SERVICES (HEALTH CARE/ I-2 OCCUPANCY)

The Phase 2 project area is an Alterations Level 2 renovation of the existing mammography imaging spaces that were relocated in Phase 1. This area will be renovated to accommodate the new Class 3 interventional radiology imaging services. This project area is located in an existing partially sprinklered smoke compartment and an existing non-sprinklered non-sleeping patient care suite. The renovated project area will include creating a new fully sprinklered, non-sleeping patient care suite for the added Class 3 interventional radiology imaging services.

Fire Protection Narrative

A. Codes and Regulations Summary

1. The following construction codes and regulations are understood as requirements for this project:
 - a. 2020 edition of Building Code of New York State.
 - b. 2020 edition of Fire Code of New York State.
 - c. 2020 edition of Plumbing Code of New York State.
 - d. 2018 edition of "Guidelines for Design and Construction of Outpatient Facilities" (Facility Guidelines Institute).
 - e. 2018 edition of "Guidelines for Design and Construction of Hospitals" (Facility Guidelines Institute).
 - f. NFPA 99 Healthcare Facilities Code, 2012 edition.
 - g. NFPA 101 Life Safety Code, 2012 edition.
 - h. NFPA 13 Standard for the Installation of Sprinkler Systems, 2010 edition.
 - i. NFPA 25 Recommended Practice for the Installation, Testing and Maintenance of Water-Based Fire Protection systems Code, 2011 edition.

B. Design Overview:

1. The Phase 1 existing area on the first floor proposed to be renovated for the relocated Mammography imaging services is currently sprinklered with a wet fire-suppression system. The existing sprinkler system shall be modified to supply new sprinklers throughout the renovated area.
2. The Phase 2 existing area on the first floor proposed for the new Class 3 Interventional Radiology (IR) imaging services is currently a non-sprinklered area.
3. The systems shall include an extension of the existing combination standpipe/sprinkler system, designed in accordance with the Nation Fire Protection Association (NFPA) Standards and the International Building and Fire Code.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

4. All fire protection system modifications shall be connected to the existing centrally supervised fire alarm system.
- C. Demolition:
 1. The existing sprinkler heads and a portion of existing branch sprinkler piping within the renovated areas shall be removed as required. Piping to be extended with new branch piping extension to accommodate the new rooms, walls, and ceiling types.
- D. Fire Suppression:
 1. The branch piping from the existing automatic wet-pipe sprinkler system shall be modified and extended to match the new configuration of the IR and the Mammography imaging areas.
 2. Sprinklers within the IR the Mammography imaging areas shall be concealed-type configuration with gasketed cleanable covers.

Plumbing Narrative

- A. Codes and Regulations Summary
 1. The following construction codes and regulations are understood as requirements for this project:
 - a. 2020 edition of the Building Code of New York State.
 - b. 2020 edition of the Plumbing Code of New York State.
 - c. 2020 edition of the Energy Conservation Code of New York State.
 - d. 2018 edition of "Guidelines for Design and Construction of Outpatient Facilities" (Facility Guidelines Institute).
 - e. 2018 edition of the "Guidelines for Design and Construction of Hospitals" (Facility Guidelines Institute).
 - f. NFPA 99 Healthcare Facilities Code, 2012 edition.
 - g. NFPA 101 Life Safety Code, 2012 edition.
 - h. ICC/ANSI A117.1: Accessible and Usable Buildings and Facilities.
 - i. ANSI Z358.1: Emergency Eyewash and Shower Equipment.
 - j. ANSI/ASHRAE Standard 188-2018 edition "Legionellosis: Risk Management for Building Water Systems.
- B. Design Overview:
 1. The plumbing piping serving the relocated Mammography and new Class 3 Interventional Radiology (IR) imaging services will be an extension of the existing hospital's systems. The systems shall include domestic cold water, hot water, and hot water recirc systems, meeting and/or exceeding the code requirements.
 2. Domestic hot-water heating piping shall be connected to the existing system distribution piping, serving the new fixtures in the IR and the Mammography Suites.
 3. The proposed location of the IR and the Mammography imaging services have existing medical gases in the vicinity; medical gases shall be extended to the applicable spaces as an extension of the existing medical gas systems on the first floor of the hospital.
- C. Demolition:
 1. The existing plumbing fixtures shall be removed from the renovated space. Removals shall include disconnection of all associated waste, sanitary, vent and domestic water piping back to active mains.
 2. All sanitary piping from fixtures to be removed will be disconnected at active building drains or remain for reconnection to the new fixtures to be installed.
 3. The existing storm piping shall be removed/modified and re-routed to align with the new chases in the IR and the Mammography Suites.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

D. Domestic Water:

1. Domestic cold-water, hot-water and hot-water recirc piping shall be extended to the existing piping mains on the first-floor level.
2. At present, the domestic-water heating system appears adequate to supply the proposed renovation areas, complying with the minimum temperatures and amounts required by the FGI Guidelines.

E. Sanitary and Vent Systems:

1. New sanitary and vent systems will be installed to accommodate the waste from the fixtures within the IR and the Mammography Suites. The vents shall connect to the existing systems on the first-floor level, connecting to existing riser extending up through the roof; the existing vent locations meet the 25'-0" separation from the outside air intakes of the air handling units.
2. New sanitary piping shall connect to the existing sanitary sewer system located in the ceiling of the basement level; new floor penetrations shall be added to meet the new fixture locations.

F. Storm System

1. The existing storm system is separate from the sanitary/waste system. The storm piping shall be modified and re-routed within the interior walls as required to accommodate the new layout of the IR and the Mammography Suites.

G. Plumbing Fixtures:

1. All fixture components to be certified lead-free meeting the requirements of current codes. Plumbing fixtures and trim shall meet and/or exceed the requirements of the 2020 Energy Conservation Code of New York State and shall be ADA compliant, located where called for on the architectural plans.
2. Fixtures shall be low-flow type to meet or exceed the Federal Energy Policy Act of 1992 and current codes.
3. All plumbing fixtures and trim shall meet and/or exceed the requirements of the 2020 Energy Conservation Code of New York State and shall be ADA compliant, where called for on the architectural plans.
4. Surgical scrub sink for the IR Room shall be wall mounted, 18-gauge stainless steel with hands-free faucets with knee-operated controls.
5. Clinic service sinks located in Soiled Work Room shall have manual flush valves and faucet with 6" wrist blades.
6. Handwash sinks, including the Mammography Room sinks shall be approximately 19" x 18" x 7-5/8" deep, 18-gauge stainless steel and mounted in the countertop.
7. Lavatory and sink faucets shall be supplied with 4" blade handles and gooseneck spout.
8. Water closets and urinals shall be wall hung with water closet flush valves.
9. Public lavatories and sinks will have manually operated faucets.
10. Water closets, urinals, and lavatories shall be white vitreous china.
11. Floor drains shall be provided within every public toilet room.

H. Medical Gases:

1. New medical gas systems shall be provided for the IR and the Mammography Suites to meet the requirements of **the FGI Guidelines and the Owner's requirements**, including new zone valve boxes for each of the suites, including the associated controls and alarms.
2. The new medical gas outlets required for this project include the following:
 - a. Class 1 Imaging Mammography/Ultrasound Rooms: (0) Oxygen, and (0) Vac.
 - b. Class 3 Imaging/IR Room: (2) Oxygen, (5) Vac, (1) Med Air and (1) WAGD.
3. A Waste Anesthesia Gas Disposal System (WAGD) will be provided for the Class 3 Imaging/IR Room. The waste anesthesia gas will be incorporated into the existing medical vacuum pump equipment.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

I. Commissioning:

1. All systems will be commissioned to satisfy current code requirements.

Mechanical Narrative

A. Codes and Regulations Summary

1. The following construction codes and regulations are understood as requirements for this project:
 - a. 2020 edition of the Building Code of New York State.
 - b. 2020 edition of the Mechanical Code of New York State.
 - c. 2020 edition of the Plumbing Code of New York State.
 - d. 2020 edition of the Energy Conservation Code of New York State.
 - e. 2018 edition of "Guidelines for Design and Construction of Outpatient Facilities" (Facility Guidelines Institute).
 - f. 2018 edition of "Guidelines for Design and Construction of Hospitals" (Facility Guidelines Institute).
 - g. ANSI/ASHRAE/ASHE Standard 170: Ventilation of Health Care Facilities, 2021 edition, as adopted by the FGI Guidelines.
 - h. NFPA 70 National Electric Code, 2011 edition.
 - i. NFPA 90A Standard for the Installation of Air-Conditioning and Ventilating Systems, 2012 edition.
 - j. NFPA 99 Healthcare Facilities Code, 2012 edition.
 - k. NFPA 101 Life Safety Code, 2012 edition.

B. Design Overview

1. The existing HVAC systems in the hospital shall be re-configured to serve the IR and the Mammography Suites. The systems shall include heating, cooling and ventilation that shall meet and/or exceed the code requirements.
2. Design Criteria:
 - a. Interior Conditions:

1) Heating	68 deg F DB
2) Cooling (General)	72 deg F DB/50% RH
3) Cooling (Class 3 Imaging/OR)	68 deg F DB/55% RH
 - b. Outdoor Conditions:

1) Summer	88 deg F DB/72 deg F WB
2) Winter	-6 deg F DB
3) Climate Zone	5A

C. Demolition:

1. The existing supply and return ductwork serving the proposed Mammography Suite shall be removed back to the mains. The existing mains shall remain, with connections to new air distribution.
2. The existing exhaust ductwork routed through the existing proposed Mammography Suite shall be modified and re-routed to align with the existing exhaust system ductwork.
3. The existing supply and return ductwork serving the proposed IR Suite shall be removed back to the mains. The existing mains shall remain, with connections to new air distribution.
4. The existing exhaust ductwork routed through the existing proposed IR Suite shall be modified and re-routed to align with the existing exhaust system ductwork.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

D. Air-Handling Systems

1. The existing air handling unit ACU-14 is located in the second-floor mechanical room and shall continue to serve the existing area proposed for the Mammography Suite; the unit has MERV 8 pre-filters, a steam heating coil, chilled water-cooling coil, humidifier section, a fan section and MERV 14 final filters.
2. The existing air handling unit ACU-2 is located in the second-floor mechanical room and shall continue to serve the existing area proposed for the IR Suite; the unit has MERV 8 pre-filters, a hot-water heating coil, chilled water-cooling coil, humidifier section, a fan section and MERV 14 final filters.
3. Variable frequency drives shall be provided for the supply and return fans of both air handling units, modulating the fan speeds to maintain static pressure setpoints and building pressurization.
4. The existing outside air intakes for ACU-2 and ACU-14 comply with code required clearances, including 25 feet from exhaust, vents, vehicle exhaust sources or any other contaminated sources. The outside air intakes for the air handling units comply with the minimum dimension of 3'-0" above the roof.

E. Heating Source and Distribution

1. Existing hot-water reheating currently serving the IR and the Mammography Suites shall be modified to serve the new reheat coils of the two new suites.
2. New variable-air-volume (VAV) air terminal units with hot-water reheat coils shall be provided to serve the spaces within the IR and the Mammography Suites. The air terminal units shall function as constant-air-volume (CAV) devices but allow the system to reduce airflow down to 50% of the design airflow during unoccupied modes. During occupied modes, the air terminal unit will provide the airflow to each zone to satisfy the space temperature requirements, as well as the code-required air change rates and dilution requirements. Reducing airflows during unoccupied modes offers energy conservation.
3. DDC control valves serving the integral reheat coils shall modulate heating as required to meet the space temperature set points without overcooling.
4. The return air systems for both suites shall be fully ducted.

F. Cooling Source and Distribution

1. Air-handling units ACU-14 and ACU-2 shall deliver 54-deg F supply air to the air terminal units within the project scope. The existing air-source economizer integral with the air handling unit shall continue to provide "free cooling" when the outside-air conditions are favorable; new controls shall be provided.

G. Air Distribution:

1. The existing air handling units have MERV 8 pre-filters and MERVC 14 final filters, meeting/exceeding the requirements of ASHRAE 170-2021.
2. The diffusers serving the Class 3 Imaging/IR Room shall have integral, room-side replaceable HEPA diffusers.
3. All of the spaces within the IR and the Mammography Suites shall be designed to comply with the minimum outside air and total air change rates in compliance with ASHRAE 170-2021, including maintaining the required room pressure requirements.

H. Ventilation Air

1. Ventilation calculations shall be provided for each new space. The existing air-handling units ACU-14 and ACU-2 shall be re-balanced to provide the associated airflows and room pressurization for each space, in compliance with ASHRAE 170-2021.

IMAGING DEPARTMENT RENOVATIONS FOR INTERVENTIONAL RADIOLOGY AUBURN COMMUNITY HOSPITAL LRA SCHEDULE 6 A/E NARRATIVE

I. Exhaust Air

1. The existing centrifugal type exhaust fans shall be re-ducted as required to meet the general exhaust requirements of the IR and the Mammography Suites serving spaces such as Sub-Waiting, Toilet Rooms, Soiled Utility Rooms, and Janitor/Environmental Service Rooms, etc.

J. Humidification:

1. Both existing air handling units have steam humidifiers installed to maintain minimum humidity levels.
2. The humidifiers shall meet the minimum humidity levels as required by ASHRAE 170-2021.
3. The maximum requirement of 60% RH will be maintained by a dehumidification control sequence added to the air handling units ACU-14 and ACU-2 serving the IR and the Mammography Suites.

K. Controls and Energy Management

1. Temperature sensor(s) shall be provided in the IR and the Mammography Suites and connected to the existing Trane DDC building management system, meeting criteria for thermal comfort of the new spaces.
2. A dewpoint-based economizer control and associated sensors shall be provided for air handling unit ACU-13 to offer free-cooling during favorable conditions without impacting the humidity levels within the Suites.
3. Existing airflow-measuring stations installed on the supply air, return air, and outside air of both air-handling units to ensure the proper amount of outside air is being provided during reduced load conditions.
4. Occupied and Unoccupied mode shall be established by the DDC system. Occupancy sensors shall be installed in the Class 3 Imaging Room/IR.

L. Testing and Balancing

1. The existing supply air fans for air-handling units ACU-14 and ACU-2 and the associated return air fans, as well as the outside airflow shall be balanced to meet the requirements of the system and the requirements of ASHRAE 170-2021.
2. The supply air-terminal units serving the IR and the Mammography Suites shall be balanced to the design airflow values.
3. The supply air, return-air, and exhaust-air diffusers and registers shall be balanced to the design air flow rates; the air flows and room pressurization shall comply with ASHRAE 170-2021.
4. The existing exhaust fans shall be re-balanced to meet the design air flow rates.
5. The hydronic heating systems shall be balanced to the design flow rates, including the terminal equipment.

Electrical Narrative

A. Codes and Regulations Summary

1. The following construction codes and regulations are understood as requirements for this project:
 - a. 2020 edition of the Building Code of New York State.
 - b. 2020 edition of the Energy Conservation Code of New York State.
 - c. 2020 edition of Fire Code of New York State.
 - d. 2018 edition of "Guidelines for Design and Construction of Outpatient Facilities" (Facility Guidelines Institute).
 - e. The 2018 edition of "Guidelines for Design and Construction of Hospitals" (Facility Guidelines Institute).
 - f. NFPA 70 National Electric Code, 2011 edition.
 - g. NFPA 99 Healthcare Facilities Code, 2012 edition.
 - h. NFPA 101 Life Safety Code, 2012 edition.
 - i. NFPA 72 National Fire Alarm Code, 2010 edition.
 - j. NFPA 110 Standards for Emergency and Standby Power Systems, 2010 edition.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

k. ICC/ANSI A117.1: Accessible and Usable Buildings and Facilities.

B. Design Overview

1. The electrical systems serving the IR and the Mammography Suites shall be an extension of the existing hospital's electrical systems.
2. Emergency power circuits shall be provided to serve critical receptacles, lighting, and the associated equipment within the IR Suite. The existing emergency power system has adequate capacity to support the new loads.
3. The new electrical systems shall include normal power systems, emergency power systems, data/communications systems, lighting, fire alarm systems, that shall meet and/or exceed the code requirements.
4. The following systems shall be extended from the existing hospital systems:
 - a) Fire alarm
 - b) Paging
 - c) Data network
 - d) Telephone
 - e) Nurse Call
 - f) Access Control

C. Demolition:

1. The existing electrical outlets, switches, communications devices, fire alarm devices and light fixtures, including general lighting, emergency lighting and exit lighting within the renovated areas for the IR and the Mammography Suites shall be removed, including the associated branch circuiting.
2. The existing data/voice jacks, CATV jacks, and cabling, throughout the renovated areas shall be removed. Communication backbone equipment located in the first-floor electrical closet shall remain for reuse.
3. Remove nurse call devices (pull stations, staff stations, dome lights, etc.) located on/in walls of the renovated areas. All associated cabling shall be removed back to source. Nurse call system backbone equipment, head-end cabinets, power supplies, etc.) shall remain for reuse.
4. Remove card readers, electric strikes, door contacts, and request-to-exit devices associated with removed interior doors within the renovated areas. All removed access control system components will be returned to RMH IT department personnel. Access control backbone equipment (servers, power supplies, etc.) will remain for reuse.
5. Remove security cameras, power supplies, and associated cabling within the renovated areas. All removed video surveillance system components will be returned to RMH security department personnel. Video surveillance backbone equipment (servers, patch panel, POE switches, etc.) will remain for reuse.

D. Normal Power Distribution:

1. The IR and the Mammography Suites shall have a 480/277V distribution panelboards which originate from an existing distribution switchboard in the building's ground floor electric room.
2. A step-down transformer and 120/208V branch panelboard shall be provided to feed receptacles, lighting and other miscellaneous 120V or 208V loads, including the new IR and the Mammography imaging equipment. Panelboards have thermal magnetic bolt-on type breakers, copper bus bars and copper ground bars; cover shall have hinged door.
3. The medical equipment for the IR and the Mammography Suites shall be fed directly from the new panelboards.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

4. Grounding will be provided in accordance with NEC 250 requirements throughout the project for all equipment, in all system wiring for the panelboards, wiring systems, etc., and will be connected to earth via the existing building system ground.
5. Circuit breakers, disconnect switches, control devices and circuiting shall be provided for the proposed HVAC and plumbing equipment.
6. Although the existing normal power distribution appears to have the available capacity, the new loads proposed in this project will be evaluated to confirm that the existing systems have the available capacity to accommodate the additional loads, including the electrical requirements for mechanical and medical equipment.

E. Emergency Power Distribution

1. The hospital has an existing Type 1 EES that has recently been updated to comply with the current referenced standards. The existing emergency power system has adequate capacity to support the new loads.
2. Existing emergency power panelboards shall be utilized to distribute emergency power branch circuits from the life safety, critical and equipment branches of the existing emergency power distribution system.
3. The existing critical branch consists of multiple panelboards located throughout the hospital. Panelboards will feed critical branch loads such as Class 3 Imaging/IR isolation panels and designated critical branch receptacles in patient care areas. Two OR type isolation type panelboards will be provided, in the Class 3 Imaging/IR.
4. The connected systems include:
 - a. Emergency lighting (e.g., egress illumination, exit signs, electrical, telephone, mechanical spaces, corridors and public toilets).
 - b. Fire-alarm and detection systems.
 - c. Security/Access Control system.
 - d. Nurse Call System.
 - e. Telecommunication equipment.
 - f. Designated equipment/receptacles/lighting for emergency operations.

F. Power Outlets and Circuiting

1. All receptacles serving patient-care areas shall be duplex, Hospital Specification Grade, tamperproof, safety type, 20-Amp duplex type. Receptacles shall be labelled according to the branch circuit feeding them and shall be color coded for quick recognition of source.
2. Receptacles on emergency power will be red in color and receptacles on normal (non-emergency) power will be gray or white. Receptacles will be located throughout the space for general convenience use and designated equipment, meeting or exceeding the code required quantities.
3. Ground fault circuit interrupter receptacles will be provided in accordance with NEC 210 requirements.
4. All receptacles designated for use with computers and/or electrically sensitive equipment will be surge-suppression type.
5. In corridors, receptacles will be provided on maximum 50' centers, and within 25' of the corridors. Various receptacles throughout the work area will either be connected to normal power, critical branch power or equipment branch power depending on the desired function of the equipment that the receptacles serve.
6. All branch circuiting will include a separate neutral and ground conductor.
7. Data network outlets will be provided and connected into the existing data network with Cat. 6 cabling, according to layout of office furniture, medical equipment, and as requested by Owner/Architect.
8. Branch circuiting will be extended to the receptacles to meet the National Electric Code and additional special requirements as required to meet the equipment to be served.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

9. Branch circuit and feeder conductors will consist of stranded copper conductors with THHN/THWN insulation for 600-volt systems and less.
10. One copper insulated green equipment ground conductor will be provided in all conduits.
11. All branch circuit and system wiring concealed in walls or above ceilings will be installed in EMT conduit with set screw fittings.
12. Flexible metallic conduit may be used for light fixture whips, maximum 6' lengths, and to fish receptacle circuiting in existing walls. Where MC cable is for final connection to equipment, it shall be type HCF-MC.
13. All fire alarm equipment cables will be provided in accordance with individual systems manufacturer recommendations.

G. Equipment Connections

1. Electrical power connections and wiring will be provided for all mechanical, plumbing, and fire protection equipment, including furnishing all electrically associated devices e.g., disconnect switches, across-the-line and reduced-voltage starters, motor control centers, etc., which are not finished under the mechanical, plumbing, and fire protection sections.
2. Electrical power connections will be made to all electrically operated doors, drinking fountains, Owner-furnished equipment etc., including furnishing of all electrically associated devices such as disconnect switches, lock-out switches, etc.
3. Electrical power connections and wiring will be provided for all mechanical and radiology equipment, including furnishing all electrically associated devices e.g., disconnect switches, motor control centers, etc., which are not finished by the equipment manufacturer.
4. All branch circuiting will include a separate neutral and ground conductor.
5. Safety switches and NEMA receptacles required for medical equipment will be provided.

H. Lighting and Lighting Controls

1. Lighting throughout the building shall be LED, 4000K color temperature, minimum 80 CRI. All drivers will be energy-efficient electronic with less than 20% THD.
2. Recessed 2x2 lighting fixtures shall be provided for offices, toilet rooms, and support spaces. Recessed downlights and illuminated scenic panel lighting fixtures shall be provided in Imaging rooms. Recessed downlights and linear perimeter light fixtures shall be provided in corridors/circulation spaces. Under-cabinet task lighting will be provided for work areas. Light fixtures in these areas shall be dimmable.
3. Light fixtures shall be controlled by local switches or dimmer switches. Occupancy sensors will be provided where required by the New York State Energy Code. Ambient and task lighting in the renovation area shall have multi-level and dimming control for each fixture type.
4. Corridor and public space lighting will include night lighting connected to the existing life safety EM branch panels. The remaining fixtures will be controlled by local on/off switches and connected to the normal power distribution system, or critical branch EM system.
5. Light levels in each space will be designed in accordance with IES requirements and recommendations.
6. LED exit lighting fixtures with red and white lettering shall be installed to accommodate the floor plan and egress routing and will have integral battery packs with self-testing/diagnostic function.
7. Emergency lighting will be via normal lighting serving the area by connecting fixtures to the life safety EM branch panels. Emergency lighting will be provided in corridors, public areas, utility rooms, restrooms and in all patient care areas.
8. Corridor and public space lighting will include night lighting. Remaining non-emergency lighting fixtures will be controlled by switches, dimmers or occupancy sensors and connected to the normal power distribution system.

**IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 A/E NARRATIVE**

9. Surgical light fixtures/booms for the Class 3 Imaging/IR Rooms shall be installed as designated by the hospital; furnished by the medical equipment vendor. Specialty lighting with colors and color rendition (CRI) to ensure that surgeons can discern fine gradations of red tones to differentiate tissues, blood, and bodily structures.

I. Fire-Alarm System

1. An extension of the hospital's existing Gamewell-FCI addressable fire-alarm system will be specified to provide detection, signaling, equipment shutdown and monitoring.
2. Fire-alarm system modifications shall comply with New York State Building Code requirements, and as directed by the local Fire Department Officials. The devices shall be individually addressable, zoned, and electrically supervised, Class A circuits, including, but not limited to, the following:
 - a) The fire detection and alarm system shall include manual pull stations, ceiling-mounted smoke and thermal detectors where required, duct-mounted smoke detector, heat detectors, fire/smoke dampers control, fan shutdown relays, and audio/visual signaling alarms.
 - b) The existing sprinkler system waterflow detection and valve position tamper switches shall remain in place.
 - c) Door-holding controls, including releasing of doors.
 - d) Provisions for fan shutdown relays and automatic control of air-handling systems under fire conditions (wiring to air-handling systems control under BMS).
3. Audio/visual signaling devices will be located to accommodate the new layout in accordance with applicable code requirements, including NFPA 72.
4. All fire alarm circuitry/wiring shall be installed in red EMT and arranged in accordance with Codes.

J. Paging System

1. An extension of the existing hospital's paging system shall be provided in the building that includes ceiling-recessed speakers. Open cabling within ceiling spaces shall utilize cable tray or J-hooks. System modifications, devices and wiring shall be identified on the contract documents.

K. Data Network and Communication Systems:

1. Single, flush-mounted wall outlet at each workstation, with two jacks to serve both data and telephone systems, with 1" EMT extended to above accessible ceiling. Each jack will have a dedicated cable (Category 6) run to an existing data/communication intermediate distribution frame/rack (IDF) located in the existing hospital. Installation and testing of data cables will be included in the contract documents.
2. Voice/data outlets will include wired RJ45 jacks with Category 6 conductors extended back to the nearest intermediate distribution frame (IDF) complete with testing of the Cat. 6 conductors. All voice and data wiring, terminations, and testing will be provided in this project.
3. CATV drops will be provided in the waiting areas and selected areas as directed by the hospital. RG6 coaxial cable will be run back to an existing telecommunications backboard.

IMAGING DEPARTMENT RENOVATIONS FOR
INTERVENTIONAL RADIOLOGY
AUBURN COMMUNITY HOSPITAL
LRA SCHEDULE 6 AVE NARRATIVE

L. Nurse-Call Systems

1. The hospital's existing nurse-call system shall be extended to the IR and the Mammography Suites with the associated types of devices required by code, including patient, toilet/bath, duty, staff assist, emergency/code), indicating lamps, cabling, and on-site training with the installed devices.
The associated types of devices shall be provided as required by code; the new nurse call devices anticipated to be required for this project include the following:
 - a. Nurse/Control Station: (1) Nurse Master Station
 - b. Toilet Rooms: (1) Patient Pullcord Station
 - c. Mammography Rooms: (1) Staff Assist, (1) Emergency Call Station
 - d. Clean Workrooms: (1) Duty Station
 - e. Soiled Workrooms: (1) Duty Station
 - f. Class 3 Imaging/IR-Cath: (1) Staff Assist, (1) Emergency Call Station
2. Nurse-call devices shall be installed at each patient station. The devices shall be connected to the Master Stations to be located at the Reception/Nurses' stations and Control Areas; all with lamp indicator notification device outside each space for quick identification of call location(s).
3. The system will be circuited with low voltage/data conductors. Conductors installed behind walls or exposed shall be installed in conduit. Conductors installed above drop ceilings and in IT rooms shall be plenum rated, installed with J-Hooks or similar approved cable management systems.

M. Security/Access Control Systems:

1. The existing security platform will be modified and expanded to accommodate renovated areas.
2. Card access control shall be provided as an extension of the hospital's security vendor. Door access control devices shall be provided at the entry doors into the Radiology Suite and other areas as determined by the Owner.

N. Commissioning:

1. All systems will be commissioned to satisfy current code requirements.

End of narrative

Limited Review Application

Schedule LRA 7

State of New York Department of Health
Office of Primary Care and Health Systems Management

Proposed Operating Budget

Budget	Current Year	First Year (Projected)	Third Year (Projected)
Revenues			
Service Revenue			
Grants Funds			
Foundation			
Other			
Fees			
Other Income			
(1) Total Revenues			
Expenses			
Salaries and Wage Expense			
Employee Benefits			
Professional Fees			
Medical & Surgical Supplies			
Non-Medical Equipment			
Purchased Services			
Other Direct Expense			
Utilities Expense			
Interest Expense			
Rent Expense			
Depreciation Expense			
Other Expenses			
(2) Total Expense			
Net Total - (1-2)			

[REDACTED]

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Limited Review Application

Schedule LRA 7A

State of New York Department of Health
Office of Primary Care and Health Systems Management

Various inpatient services may be reimbursed as discharges or days. Applicant should indicate which method applies to this table by choosing the appropriate checkbox.

Patient Days ☐ Patient discharges ☐

Inpatient Services Source of Revenue		Total Current Year			First Year Incremental			Third Year Incremental		
		Patient Days or dis- charges	Net Revenue*		Patient Days or dis- charges	Net Revenue*		Patient Days or dis- charges	Net Revenue*	
			%	Dollars (\$)		% based on days or discharges	Dollars-\$		% based on days or discharges	Dollars-\$
Commercial	Fee for Service									
	Managed Care									
Medicare	Fee for Service									
	Managed Care									
Medicaid	Fee for Service									
	Managed Care									
Private Pay										
OASAS										
OMH										
Charity Care										
Bad Debt										
All Other										
Total			100%			100%			100%	

Outpatient Services Source of Revenue		Total Current Year			First Year Incremental			Third Year Incremental		
		Visits	Net Revenue*		Visits	Net Revenue*		Visits	Net Revenue*	
			%	Dollars (\$)		%	Dollars (\$)		%	Dollars (\$)
Commercial	Fee for Service	15	57		33			19	60	
	Managed Care	7	27		14			8	25	
Medicare	Fee for Service	1	4		1			1	3	
	Managed Care	1	4		2			2	6	
Medicaid	Fee for Service	1	4		2			1	3	
	Managed Care	1	4		2			1	3	
Private Pay										
OASAS										
OMH										
Charity Care										
Bad Debt										
All Other										
Total		26	100%			100%			100%	

Total of Inpatient and Outpatient Services									
--	--	--	--	--	--	--	--	--	--

	Title of Attachment	Filename of attachment
1. In an attachment, provide the basis and supporting calculations for all revenues by payor.	EP Financial	EPFinance
2. In an attachment, provide the basis for charity care.		

*Net of Deductions from Revenue

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Limited Review Application

State of New York Department of Health/Office of Health Systems Management

Schedule LRA 8

Staffing

Staffing Categories	Number of FTEs to the Nearest Tenth		
	Current Year*	First Year of implementation	Third Year of implementation
Health Providers**:			
Physician	0		
Support Staff***:			
Registered Nurse	0		
Total Number of Employees	0		

* Last complete year prior to submitting application

** "Health Providers" includes all providers serving patients at the site. A Health Provider is any staff who can provide a billable service – physician, dentist, dental hygienist, podiatrist, physician assistant, physical therapist, etc.

*** All other staff.

Describe how the number and mix of staff were determined:

Staff is currently in place and will perform EP services in addition to working in our Cardiac Cath, Physician is already employed so no incremental costs for Staff

PLEASE COMPLETE THE FOLLOWING:

- Are staff paid and on Payroll? ☒ Yes ☐ No
- Provide copies of contracts for any independent contractor.
- Please attach the Medical Doctors C.V.
- Is this facility affiliated with any other facilities?
(If yes, please describe affiliation and/or agreement.) ☐ Yes ☒ No

(Rev. 7/7/2010)



December 4, 2025

**Curriculum Vitae
Obasare, Edinrin Rae**

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Auburn, NY
13021

Prior Training

07/01/2018 to 06/30/2020

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Clinical Cardiac Electrophysiology Fellowship. Program Director: Lynda Rosenfeld, MD

Email: Lynda.Rosenfeld@Yale.edu

Phone: 203-915-6256 (mobile); 203-737-4068 (office); 203-785-7144 (fax)

07/01/2015 to 06/30/2018

Albert Einstein Medical Center

5501 York Road

Levy Building, Room 3232

Philadelphia, PA. 19141.

Cardiovascular Disease Fellowship. Program Director: Benham Bozorgnia, MD

Email: BozorgnB@einstein.edu

Program Coordinator: ruchkate@einstein.edu

Phone: 215-456-7020

Email: ruchkate@einstein.edu

07/01/2010 - 06/30/2013 M.D. Internal Medicine Residency

SUNY Downstate Medical Center, Brooklyn, New York

450 Clarkson Avenue, 6th Floor. Box 50. 11203-2098

Internal Medicine. Program Director: Samy McFarlane, M.D.

Email: Samy.McFarlane@Downstate.edu

Program Coordinator: Carletta Hanniford

Phone: 718-270-2353; 718-270-1566; Fax: 718-270-4488

Email: Carletta.Hanniford@Downstate.edu

Medical Education

University of the West Indies, Jamaica 09/2000 - 06/2005 M.B., B.S., 07/2005

Education

Independent Study and Kaplan Testing Center, Jamaica and United States

United States Medical Licensing Exam (Steps 1 to 3)

11/2007 -10/2008

University of the West Indies, Jamaica
Senior House Officer: Emergency Medicine
01/2007 -06/2007

Kingston and St. Andrew Health Care Services, Jamaica
Senior House Officer: Family Medicine
07/2006 -12/2006

University of the West Indies, Jamaica
Internship: Internal medicine, Pediatrics, Obstetrics/Gynecology, Surgery
07/2005 -06/2006

Membership and Honorary/Professional Societies

Fellow of the Heart Rhythm Society, Fellow of the American College of Cardiology, Member of the Heart Rhythm Society Annual Meeting Planning Committee, Member of the Heart Rhythm Society Journal Sub-Committee, Member of the American Heart Association, Member of the American Association for Physician Leadership, Member American Society of Black Cardiologists, Hypertension Specialist with the American Society of Hypertension, Member of the American Medical Association.

Medical School Honors / Awards

Distinctions in Anatomy and Physiology; Honors in Pharmacology, Biochemistry and Community Health; D.A.N. and Vera Hoyte Prize in Anatomy, Scotia Jamaica Foundation Prize in Pediatrics (I donated this cash sum of US\$2,500 to an infrastructural development project in Ghana). Selected as a candidate in the American College of Cardiology "Teaching Tomorrow's Teachers" (3T) FIT Program.

Certification/Licensure

American Board of Internal Medicine, Clinical Cardiac Electrophysiology Certification, 10/19/2020
American Board of Internal Medicine, Cardiovascular Disease Certification, 10/10/2018
Diplomate: Certification Board of Nuclear Cardiology, 12/11/2017 to 03/01/2028
Diplomate: National Board of Echocardiography, Special Competence in Adult Echocardiography, 07/24/2017 to 12/31/2027
Hypertension Specialist (American Society of Hypertension), 06/2015
American Board of Internal Medicine, 08/15/2013; Recertified 10/2023
ACLS/BLS, Exp. Date 02/2026
ECFMG (0-722-427-2), Issue Date 07/25/2008

State Licenses (Full)

New York
Vermont
North Carolina
Pennsylvania
Delaware
California
Iowa
Virginia
Connecticut
Massachusetts
Michigan

Examinations

USMLE Step 3 10/2008

USMLE Step 2 CS (Clinical Skills) 05/2008
USMLE Step 2 CK (Clinical Knowledge) 06/2008
USMLE Step 1 11/2007

Visa Status

American Citizen

Work Experience

07/2024 to Present Average Hours/Week: 45

Auburn Heart and Vascular Institute, New York, United States

Director, Clinical Cardiac Electrophysiology. Delivering inpatient and outpatient electrophysiology and cardiology patient care. Administrative duties include developing inpatient and outpatient cardiac electrophysiology program. Also perform cardiac implantable electronic device procedures.

07/2024 to Present Average Hours/Week: 5

Auburn Heart and Vascular Institute, New York, United States

Director, Cardiac Rehabilitation. Direct and supervise cardiac rehabilitation services for patients with recent coronary artery disease intervention.

01/2025 to Present Average Hours/Week: 12

Crouse Hospital, New York, United States

Clinical Cardiac Electrophysiology. Delivering inpatient electrophysiology patient care. Also perform complex arrhythmia cardiac ablation procedures, left atrial appendage closure, and cardiac implantable electronic device procedures.

10/2022 to 03/2024 Average Hours/Week: 55

Montage Cardiology, California, United States

Director, Clinical Cardiac Electrophysiology. Delivering inpatient and outpatient clinical patient care. Also performing complex arrhythmia cardiac ablation procedures, left atrial appendage closure, and cardiac implantable electronic device procedures. Administrative duties include developing inpatient and outpatient cardiac electrophysiology program.

10/2020 to 09/2022 Average Hours/Week: 55

HFCCA/HCA Cardiology, Massachusetts, United States

Clinical Cardiac Electrophysiologist delivering inpatient and outpatient clinical patient care. Also performed complex arrhythmia cardiac ablation procedures, cardiac implantable electronic device procedures, and device/lead extractions.

07/2020 to 09/2020 Average Hours/Week: 55

Wellspan Cardiology, Pennsylvania, United States

Clinical Cardiac Electrophysiologist Locums delivering inpatient and outpatient clinical patient care. Also performed complex arrhythmia cardiac ablation procedures, cardiac implantable electronic device procedures, and device/lead extractions.

07/2013 to 06/2015 Average Hours/Week: 84

Apogee Physicians, Dallas, United States

Implementing Program Director, Steve Cervi-Skinner, MD

Supervise new hospitalist programs, and also perform clinical and administrative duties. I work on the travel team where I am responsible for the acute care of internal medicine, consult, and critical patients in several states.

07/2007 - 10/2007 Average Hours/Week: 40

Kingston and St. Andrew Health Services, Jamaica

Medical Officer, Dr. Pauline Weir

Led and operated a type five government health center (large health center, including specialist services such as psychiatry and also including a pharmacy) in the inner city. I was independently responsible for the medical care of one hundred patients every day ranging from infants to adults. I was also responsible for the administrative affairs of the medical team, including, nurses, ancillary staff, senior house officers, and pharmacists. I had to manage any issues in the clinic related to crime and poverty in

the area, such as non-compliance with medications, increased prevalence of depression, advanced disease presentations, and nearby violence.

Volunteer Experience

05/2024 to Present Average Hours/Week: 3

HIUSA, Washington DC, United States

Volunteer, Melissa Weinmann

Help with weekly welcome meetings for Hostel introducing international travelers to cities in the United States for the first time.

04/2024 to Present Average Hours/Week: 0.5

Annual Heart Rhythm Society, Meeting Washington DC, United States

Member, Sarah Albright Alfano

Annual Program Committee. Help review and select abstracts journals for the heart rhythm society. Annual meeting conference.

10/2022 to Present Average Hours/Week: 0.5

Heart Rhythm Society, Washington DC, United States

Member, Jeremy Rosenberg

Journal sub-committee. Help review journals for the heart rhythm society.

10/2022 to Present Average Hours/Week: 0.5

Heart Rhythm Society, Washington DC, United States

Member, Kenneth Ellenbogen, MD

Journal sub-committee. Help review journals for the heart rhythm society.

10/2022 to Present Average Hours/Week: 0.5

Community Hospital of the Monterey Peninsula, Monterey, CA, United States

Member of the institutional review board. Review new submissions for research applications in our health system.

07/2016 to 06/2018 Average Hours/Week: 3

American College of Cardiology, Washington DC, United States

Fellow-in-training editor on chapter engagement. Fellow-in-training task force member of the Pennsylvania Chapter for advocacy and early career sections. Fellow-in-training leadership council working group member for member engagement and communications sections. Fellow-in-training on the go news team member (<https://www.youtube.com/FITsontheGO>).

06/ 2013 to Present Average Hours/Week: 1

Library for All/NOBU, New York, United States

Volunteer, Tanyella Evans

Library for All is a non-profit organization that exists to unlock knowledge to those without access to books in developing countries. To achieve this, we build an application that will enable the delivery of eBooks to people living in developing countries, at a much lower cost than building physical libraries. The content is made available on low cost devices such as tablets, mobile phones and PCs. I work as a volunteer to increase awareness of our mission through educational forums.

07/ 2013 to 07/2015 Average Hours/Week: 6

American Society of Hypertension (ASH), New York, United States

Member, Domenic A. Sica, MD

Review and pull recent articles that are utilized in the bi-weekly newsletter - The ASH Wire - to help educate cardiologists, physicians, and other medical staff interested in hypertension.

11/ 2009 - 06/2010 Average Hours/Week: 5

New York Organ Donor Network, New York, United States

Medical Doctor, Volunteer, Post Doctorate Research Fellow, Michael Goldstein, MD

Assisted with activities such as health expositions, and health campaigns promoting persons to register as organ and tissue donors in New York, and to establish donor friendly policies.

Research Experience

03/2020 – Present Average Hours/Week: 2

Yale University, Connecticut, United States

Cardiology Fellow, **Supervisors:** Lynda Rosenfeld, MD and Rachel Lampert, MD

Collected data, spoke with patients, and did conceptual design for studies looking at effect of the COVID-19 pandemic on electrocardiographic features and procedural scheduling. So far 1 study has been published (please see publications for further details).

12/2019 – Present Average Hours/Week: 2

Yale University, Connecticut, United States

Cardiology Fellow, **Supervisor:** Lynda Rosenfeld, MD

Wrote manuscript describing the role of atrial scar/myopathy in making the ability to sustain atrial fibrillation or atrial flutter tenuous. Please see publications for further details.

06/2019 – Present Average Hours/Week: < 1

Yale University Medical Center, Connecticut, United States

Cardiology Electrophysiology Fellow

Reviewer Journal of the American College of Cardiology Cardiovascular Interventions

01/2018 – 06/2018 Average Hours/Week: < 1

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow

Reviewer for the International Journal of Cardiovascular Imaging

01/2018 – 06/2018 Average Hours/Week: < 1

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow

Reviewer for PLOS ONE journal

07/2016 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, **Supervisor:** Allan Greenspan, MD

Collected data for analysis in study looking at the relationship between J-waves in patients with myocardial infarction and sudden death.

02/2016 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, **Supervisor:** Gregg Pressman, MD

Produced latex models of the left atrial appendage (LAA) from multi-slice CT scan images and transesophageal echocardiography to assist with sizing of closure devices. I segmented the heart and LAA automatically, in early diastole. The surface meshes of the segmented structures were converted to stereolithography files and 3D printed (Form 1+ desktop SLA printer, Somerville, MA) to produce a physical model. Latex resin was applied to reproduce valve. We implanted the patient specific LAA closure device in the latex model prior to implantation in a patient's heart. Please see publications for further details.

12/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, **Supervisor:** Gregg Pressman, MD

Assessed the differences of calcium distribution on the aortic valve and aortic valve leaflet sizes based on differing co-morbidities and demographics, such as age, race, and sex. We utilized a customized template to do measurements for CT scan images. We are also used the Agatston calcium scoring system.

11/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

I measured the diastolic wall strain of patients with mitral annular calcification and performed statistical analyses to see if it was correlated to the degree of calcium deposition as measured by the Agatston score from CT scan images.

10/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Sumeet Mainigi, MD

I searched our hospital records and wearable cardiac defibrillator database to discover its Real World Utilization. Please see publications for further details.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

Collected and analysed data on the relationship between calcified mitral valves and infective endocarditis. Please see publications for further details.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Vincent Figueredo, MD

Collected and analysed data on the relationship between mitral regurgitation and fascicular blocks.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

Collected data on left ventricular diastolic dysfunction and prognosis in patients undergoing liver transplantation.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

Performed hemodynamic stress testing on patients with mitral annular calcification for prognosis. We looked at echocardiographic changes such as alterations in trans-mitral valve gradients and pulmonary artery systolic pressures.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

Investigated the utility of 2D echocardiography as a non-invasive measure of left atrial pressure. We are using the trans-mitral gradient measured by ECHO and central aortic blood pressure by Sphygmator for this estimation. We correlated this with left and right heart catheter hemodynamic measure such as pulmonary capillary wedge pressure and left ventricular end-diastolic pressures. We received an institutional grant for this.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

Produced latex models of calcified mitral valves from Full-volume 3D TEE images of a calcified mitral valve were acquired in "3D-zoom" mode using an IE33 echocardiograph (Philips Medical Systems, Andover, MA) and a 7 MHz matrix-array transducer.

Image resolution was 0.39 x 0.38 x 0.13 mm³. TEE images were exported in Cartesian format and loaded into ITK-SNAP (Version 3.4.0-rc1) open source software. The valve was segmented automatically, from annulus to leaflet tips, in early diastole. The surface meshes of the segmented structures were converted to stereolithography files and 3D printed (Form 1+ desktop SLA printer, Somerville, MA) to produce physical models. Latex resin was applied to reproduce valve. We implanted the reproduced valve in an artificial heart created in an engineering department in Montreal, Canada. I traveled to Montreal and acquired trans-mitral early peak, E, and delayed, A, velocities using Doppler echocardiography on this artificial heart at different hemodynamic settings of stroke volume and heart rate. This was compared to Particle Image Velocimetry (PIV) utilizing a laser for validation.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

I measured the diastolic wall strain of patients with severe aortic stenosis and performed statistical analyses to see if it was an independent predictor of survival after adjustment of traditional clinical and echocardiographic parameters (including those of diastolic function). I wrote a full-length manuscript. Please see publications for further details.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Gregg Pressman, MD

I measured the diastolic wall strain of patients with non-ST elevation myocardial infarction and performed statistical analyses to see if it was an independent predictor of survival after adjustment of traditional clinical and echocardiographic parameters (including those of diastolic function). Please see publications for further details.

07/2015 – 06/2018 Average Hours/Week: 2

Albert Einstein Medical Center, Philadelphia, United States

Cardiology Fellow, Supervisor: Vincent Figueredo, MD

I measured the diastolic wall strain of patients with ST elevation myocardial infarction and performed statistical analyses to see if it was an independent predictor of survival after adjustment of traditional clinical and echocardiographic parameters (including those of diastolic function). Please see publications for further details.

05/2012 - 05/2014 Average Hours/Week: 2

SUNY Downstate Medical Center, New York, United States

Internal Medicine Resident, Supervisor: Jonathan Marmur, MD

Co-authored a case report probing the effects of Psoriasis as an etiology for coronary artery spasm through the mechanisms of endothelial dysfunction, alteration in nitric oxide stores, and atherosclerosis. Please see publications for further details.

05/2011 to 06/2013 Average Hours/Week: 12

SUNY Downstate Medical Center, New York, United States

Internal Medicine Resident, Supervisor: Jason Lazar, MD

Conducted study on: The Utilization of Near Infra Red Spectroscopy (NIRS) and Veno-Occlusive Testing (VOT) in assessing Healthy Bonnet Macaques (*Macaca radiata*) of different weight tertiles as a Characterization Tool for Microvascular dysfunction in obese patients. Our study group investigated ninety healthy bonnet Macaques. We hypothesized that there would be greater microcirculatory dysfunction with increased body mass indices. Our results did indeed reflect this. I collected data and helped to write the manuscript that is pending submission to the Journal of the American Association for Laboratory Animal Science.

08/2010 - 03/2013 Average Hours/Week: 12

SUNY Downstate Medical Center, New York, United States

Internal Medicine Resident, Supervisor: Jason Lazar, MD

Studied the utilization of Near Infra Red Spectroscopy (NIRS) and Veno-Occlusive Testing (VOT) in assessing the microcirculation of fifty hypertensive patients compared to normal healthy individuals. Our research hypothesized worse microcirculatory

dysfunction in hypertensive patients. This was reflected in our results that showed blunted responses of the microcirculation in hypertensive patients after veno-occlusive testing. I recruited patients and normal healthy individuals. I also collected and helped to interpret the data. I helped to present the results at the American Society of Hypertension. Please see publications for further details.

08/2010 - 04/2012 Average Hours/Week: 12

SUNY Downstate Medical Center, New York, United States

Internal Medicine Resident, Supervisor: Jason Lazar, MD

Assessed the mechanisms of arterial wave energy propagation, local arterial stiffness, wave reflection, and ventricular-arterial coupling by means of wave intensity analysis. I worked for two years as part of a research team to refine the method of obtaining this information by non-invasive means, and improve its reproducibility. I was specifically involved in obtaining the pressure waveforms by applanation tonometry from the carotid and brachial arteries and would assist with acquisition of velocity measurements using a vascular Doppler probe, for customized software analysis. Please see publications for more details.

11/2008 - 06/2010 Average Hours/Week: 11

Columbia University Medical Center - NYPH, New York, United States

Post-Doctorate Research Fellow, Supervisor: Mauricio Miglietta, D.O.

Conducted study using an FDA approved machine that uses Near Infrared Spectroscopy (NIRS) to compare tissue oxygen saturation (StO₂) in the microcirculation, in two groups of obstetric patients undergoing cesarean sections: those at high risk of bleeding (eg placenta previas) vs. routine. We hypothesized that there would be greater decreases in StO₂ parameters between high risk and routine deliveries. This was done in cooperation with Hutchinson Technology Inc. I was responsible for applying the Inspectra machine during cesarean sections, uploading the data to the Inspectra analysis software, and to a Microsoft Excel spreadsheet. I also helped with statistical analysis of the results.

11/2008 - 06/2010 Average Hours/Week: 11

Columbia University Medical Center - NYPH, New York, United States

Post-Doctorate Research Fellow, Supervisor: Mauricio Miglietta, D.O.

Streamlined 24/7 studies to assess the delivery of health care by physicians and nurses, in association with the University Health System Consortium (UHC). UHC is an alliance of the nation's leading nonprofit academic medical centers, which are focused on delivering world-class patient care. Based in Chicago, Ill, UHC fosters collaboration with and among its 116 academic medical center and 272 affiliated hospital members through its renowned programs and services in the areas of comparative data and analytics, performance improvement, supply chain management, strategic research, and public policy. I was independently responsible for collecting data from physicians and nurses regarding issues that disrupt delivery of health care to patients, such as unnecessary pages without a centralized buffer system, inefficient patient transfer and discharge, and also limited nursing to patient ratio. The University Health Consortium published the results online.

11/2008 - 06/2010 Average Hours/Week: 11

Columbia University Medical Center - NYPH, New York, United States

Post-Doctorate Research Fellow, Supervisor: Zachary Gleit, MD

Structured cholecystectomy study and Microsoft Access database to assess complications of biliary surgery and the differences observed between routine vs. selective intra-operative cholangiography, such as duration of surgery, frequency of gallstones, type and frequency of complications, and the length of hospital stay. I also prepared the IRB protocol.

11/2008 - 06/2010 Average Hours/Week: 6

Columbia University Medical Center - NYPH, New York, United States

Post-Doctorate Research Fellow, Supervisor: Michael Goldstein, MD

Performed retrospective study of 470 preselected kidneys to evaluate the significance of renal allograft parameters as predictors of one-year renal allograft survival. We utilized a donor kidney pump preservation machine that decreases Machine Measured Renal Resistance (MMRR). We hypothesized that a reduced MMRR at 3 hours and 5 hours was associated with

improving allograft survival in recipients. I was personally responsible for the chart review and data collection of all 470 patients for subsequent statistical analysis. Please see publications for further details.

Publications

Peer Reviewed Journal Articles/Abstracts

Horn B, Obasare E, Nwakile C, Bhalla V, Zabad M, Mezue K, Lu M, Pressman GS, Figueredo VM. Diastolic Wall Strain and Mortality in Patients Post Non-ST Elevation Myocardial Infarction. *International Journal of Cardiology*. Publication Status: Submitted.

Workman V, Freeman J, Obasare E, Jain S, Ganeshan S, Burr A, Akar J, Blitzer M, Lampert R, Freeman J. Risk of COVID-19 Infection After Cardiac Electrophysiology Procedures. *HRS O2*. October 2020. Publication Status: Published.

Rosenfeld L, Obasare E, Bader E, Grubman E. Spontaneous conversion of longstanding atrial fibrillation/flutter. *AJC*. June 2020. Publication Status: Published.

Jain S, Workman V, Ganeshan S, Obasare E, Burr A, Debiase R, Freeman J, Akar J, Lampert R, Rosenfeld L. Enhanced ECG Monitoring of COVID-19 Patients. *Heart Rhythm*. May 2020. Publication Status: Published.

Friend E, El-Sayegh B, Pressman GS, Di Labbio G, Obasare E, Kadem L. Orifice eccentricity accentuates flow disturbance due to severe mitral annular calcification. *Journal of the American Society of Echocardiography*. June 2018. Publication Status: Published.

Obasare E, Mainigi SK, Morris DL, Slipczuk L, Goykhman I, Friend E, Ziccardi MR, Pressman GS. CT based 3D printing is superior to transesophageal echocardiography for pre-procedure planning in left atrial appendage device closure. *Int J Cardiovasc Imaging*. May 2018. Publication Status: Published.

Ziccardi MR, Alhamshari Y, Rubio M, Sandhu N, Obasare E, Romero-Corral A. Diastolic Dysfunction Predicts Adverse Cardiovascular Outcomes After Orthotopic Liver Transplant. *Circulation*. March 2018. Publication Status: Published.

Naniwadekar A, Joshi K, Alnabelsi T, Obasare E, Greenspan A, Mainigi S. Real World Utilization and Impact of the Wearable Cardioverter-Defibrillator in a Community Setting. *Indian Pacing Electrophysiol J*. May 2017. Publication Status: Published.

Obasare E, Bhalla V, Gajanana D, Rodriguez Ziccardi M, Codolosa JN, Figueredo VM, Morris DL, Pressman GS. Natural history of severe aortic stenosis: Diastolic wall strain as a novel prognostic marker. *Echocardiography*. April 2017. Publication Status: Published.

Pressman GS, Rodriguez-Ziccardi M, Gartman CH, Obasare E, Melendres E, Arguello V, Bhalla V. Mitral Annular Calcification as a Possible Nidus for Endocarditis: A Descriptive Series with Bacteriological Differences Noted. *Journal of the American Society of Echocardiography*. March 2017. Publication Status: Published.

El-Sayegh B, Kadem L, Di Labbio G, Pressman GS, Obasare E. Investigation of the left ventricular flow dynamics in the presence of severe mitral annular calcification. *Bulletin of the American Physical Society*. November 2016. Publication Status: Published.

El-Sayegh B, Di Labbio G, Pressman GS, Obasare E, Kadem L. Left ventricular flow dynamics in the presence of severe mitral annular calcification – An in vitro study. *Circulation*. November 2016. Publication Status: Published.

Lu M, Gupta S, Romero-Corral A, Matejková M, De Venecia T, Obasare E, Bhalla V, Pressman GS. Cardiac Calcifications on Echocardiography Are Associated with Mortality and Stroke. *Journal of the American Society of Echocardiography*. December 2016. Publication Status: Published.

Obasare E, Melendres E, Morris DL, Mainigi SK, Pressman GS. Patient specific 3D print of left atrial appendage for closure device. *Int J Cardiovasc Imaging*. July 2016. Publication Status: Published.

Obasare E, Melendres E, Bhalla V, West MB, Mainigi SK, Pressman GS, Figueredo VM. Do Fascicular Blocks Cause Mitral Regurgitation? *Cardiology*. July 2016. Publication Status: Published.

Faraj K, Obasare E, Sharma S, Amanullah A. Prosthetic Valve Mass and Intra-Cranial Bleed. *European Society of Cardiology Clinical Case Gallery*. July 2016. Publication Status: Pending acceptance to *European Heart Journal*. Published Online: http://learn.escardio.org/Clinicalcase/GC/c6fc843a-dff1-4577-be6e-f3d2d433cb98#.V97_s2M_6xo.html.

Brito D, Obasare E, Kaila A, Goykhman I, Peñalver JL, Figueredo V, Pressman GS. Racial and Gender Differences in Calcium Score Among Patients with Severe Aortic Stenosis. *American Journal of Cardiology*. (2016). Publication Status: Submitted, Pending Acceptance.

Warrier N, Obasare E, Khan U, Kumar S, Hegde S, Marmur J. A case of left main coronary artery spasm related to psoriasis. April 2014. Publication Status: Published online: <http://www.labome.org/research/A-case-of-left-main-coronary-artery-spasm-related-to-psoriasis.html>.

Afzal A, Bapat M, Knutson C, Obasare E, Persits A, Kamran H, Saliccioli LF, Lazar JM. *Determinants of Post-Ischemic Reactive Hyperemia Tissue Oxygen Saturation in Normal Subjects*. *Journal of Investigative Medicine*. 2013 Mar; 61(3): 659. Publication Status: Published.

Janani J, Bastien C, Gandhi C, Obasare E, Bapat M, Naggar I, Saliccioli LF, Stewart M, Lazar JM. *Wave intensity analysis by simultaneous acquisition of pressure and velocity waveforms using applanation tonometry and arterial doppler*. *The Journal of Clinical Hypertension*. 2012 Apr; 14(Supplement 1): A96. Publication Status: Published.

Thangaratnavel R, Kamran H, Saliccioli LF, Htun W, Maraj I, Obasare E, Lazar JM. *Differences in Post-Ischemic Reactive Hyperemia Tissue Oxygen Saturation Between Normals and Hypertensive Subjects*. *The Journal of Clinical Hypertension*. 2011 Apr; 13(Supplement 1): A117. Publication Status: Published.

Poster Presentations

Obasare E, Mainigi SK, Morris DL, Friend E, Pressman GS. (October 2017). 3D Printing Facilitates Left Atrial Appendage Device Closure. *Cardiology Philadelphia 2017. Innovations in Cardiovascular Care*. University of Pennsylvania, Philadelphia, Pennsylvania, USA. First place poster competition winner for Clinical/Basic Science (\$500 Cash Prize).

El Sayegh B, DiLabbio G, Pressman GS, Obasare E, Kadem L. (November 2016). Left Ventricular Flow Dynamics in the Presence of Severe Mitral Annular Calcification - An In Vitro Study. *American Heart Association Scientific Sessions 2016*. New Orleans, Louisiana, USA.

Rodriguez-Ziccardi M, MD, Alhamshari Y, Rubio M, Sandhu N, Obasare E, Romero-Corral A. (November 2016). Diastolic Dysfunction Predicts Adverse Cardiovascular Outcomes After Orthotopic Liver Transplant. *American Heart Association Scientific Sessions 2016*. New Orleans, Louisiana, USA.

Obasare E, Melendres E, Nwakile C, Gajanana D, Samuel S, Kadem L, Pouch AM, Pressman GS. (June 2016). Patient Specific Three-Dimensional Printing of the Calcified Mitral Valve. *The American Society of Echocardiography 27th Annual Scientific Sessions*. Seattle, Washington, USA. Selected for The American Society of Echocardiography Inaugural Echovation Challenge.

Nwakile C, Bhalla V, Obasare E, Zabad M, Mezue K, Lu M, Pressman GS, Figueredo VM. (May 2016). Diastolic Wall Strain and Mortality in Patients Post ST Elevation Myocardial Infarction. *The Society for Cardiovascular Angiography and Intervention 2016 Scientific Sessions*. Orlando, Florida, USA.

Naniwadekar A, Joshi K, Obasare E, Alnabelsi T, Melendres E, Malik M, Greenspan A, Mainigi S. (May 2016). Real World Utilization and Impact of the Wearable Cardioverter-Defibrillator in a Community Setting. *Heart Rhythm 2016 - 37th Annual Scientific Sessions*. San Francisco, California, USA.

Obasare E, Nwakile C, Alnabelsi T, Lu M, Bhalla V, Figueredo VM, Pressman GS. (April 2016). Diastolic Wall Strain Predicts Mortality in Patients with Non-ST Elevation Myocardial Infarction. *American College of Cardiology 65th Annual Scientific Session*; Chicago, Illinois, USA.

Yushkov Y, Alvarez-Casas J, Dikman S, Ying A, Tajik W, Lerner H, Lewis J, Obasare E, Sheth M, Goldstein MJ. (2010). *Machine Measured Renal Resistance (MMRR) is the most sensitive tool for prediction of early renal allograft survival*. Poster presented at: World Transplant Congress; Vancouver, Canada.

Oral Presentations

Friend E, El-Sayegh B, Pressman GS, Di Labbio G, Obasare E, Kadem L. (April 2018). Orifice eccentricity accentuates flow disturbance due to severe mitral annular calcification. 4th Annual Scientific Meeting of the Heart Valve Society in New York, NY, USA.

Obasare E, Melendres E, Bhalla V, West M, Mainigi S, Pressman G, Figueredo V. (August, 2016). Do Fascicular Blocks Cause Mitral Regurgitation? Scientific Session of the International Academy of Cardiology, 21st World Congress on Heart Disease. Boston, MA, USA.

Obasare E, Bhalla V, Gajanana D, Rodriguez M, Figueredo V, Morris D, Pressman G. (November, 2015). Diastolic Wall Strain Predicts Mortality in Patients With Severe Aortic Stenosis. Mid-Atlantic Capital Young Investigator's Award (CYIA) competition. Heart House. Washington, DC, USA.

Hobbies & Interests

Playing basketball and enjoying stories in different media.

Language Fluency

English (Native/functionally native)

Spanish/Spanish Creole (Basic)

Other Awards/Accomplishments

- Director of Clinical Cardiac Electrophysiology at the Community Hospital of the Monterey Peninsula
- Member of Journal Sub-committee of the heart rhythm society
- Member of the institutional review board – Community Hospital of the Monterey Peninsula
- Faculty Presenter at the American College of Cardiology Conference in April 2022
- Chief Clinical Cardiac Electrophysiology Fellow
- Selected as a candidate in the American College of Cardiology "Teaching Tomorrow's Teachers" (3T) FIT Program. (May 2018)
- Cardiology Philadelphia 2017. Innovations in Cardiovascular Care. University of Pennsylvania, Philadelphia, Pennsylvania, USA. First place poster competition winner for Clinical/Basic Science (\$500 Cash Prize).
- \$10,000 Seed Grant from Einstein Medical Center for left atrial pressure research (please see research experience).
- Fellow-in-training editor for the American College of Cardiology on chapter engagement.
- Finalist Einstein Medical Center Resident and Fellow Research Competition (June 2016).
- Scholarship Recipient Mayo Clinic Echocardiography Review Course for Boards and Recertification (May 2016).
- Finalist - Mid-Atlantic Capital Young Investigator's Award (CYIA) competition (November 2015).
- Hypertension Specialist (American Society of Hypertension).
- Implementing Program Director – Apogee Physicians.
- Traveling hospitalist of the month – January, 2015 and April, 2014.
- Basic Life Support, Advanced Cardiac Life Support Certified.

References

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Robert W. Berliner Professor of Medicine (Cardiology)

Chief, Cardiovascular Medicine

Physician-in-Chief, Heart and Vascular Center

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Schedule LRA 10

The Sites Tab in NYSE-CON has replaced Schedule LRA 10. Schedule LRA 10 is only to be used when submitting a Modification, in hardcopy, after approval or contingent approval. *However, due to programming issues, you may still be required to upload a blank Schedule LRA 10 to submit a Service Delivery LRA application.*

Instructions:

"Add" Column: Mark "x" in the box if this CON application seeks to add.

"Remove" Column: Mark "x" in the box if this CON application seeks to decertify.

“Proposed” Column: Mark "x" in the boxes corresponding to all the services that will ultimately appear on the OpCert if this CON application is approved.

[illegible]

Does the applicant have any previously submitted Certificate of Need (CON) applications that have not been completed involving addition or decertification of beds?

☒ No☐ Yes (*Enter CON numbers to the right*)

Limited Review Application

State of New York Department of Health/Office of Health Systems Management

Schedule LRA 12

Assurances

The undersigned, as a duly authorized representative of the applicant, hereby gives the following assurances:

- a) The applicant has or will have a fee simple or such other estate or interest in the site, including necessary easements and rights-of-way, sufficient to assure use and possession for the purpose of the construction and operation of the facility.
- b) The applicant will obtain the approval of the Commissioner of Health of all required submissions, which shall conform to the standards of construction and equipment in Subchapter C of Title 10 (Health) of the Official Compilation of Codes, Rules and Regulations of the State of New York (Title 10).
- c) The applicant will submit to the Commissioner of Health final working drawings and specifications, which shall conform to the standards of construction and equipment of Subchapter C of Title 10, prior to contracting for construction, unless otherwise provided for in Title 10.
- d) The applicant will cause the project to be completed in accordance with the application and approved plans and specifications.
- e) The applicant will provide and maintain competent and adequate architectural and/or engineering inspection at the construction site to insure that the completed work conforms to the approved plans and specifications.
- f) If the project is an addition to a facility already in existence, upon completion of construction all patients shall be removed from areas of the facility that are not in compliance with pertinent provisions of Title 10, unless a waiver is granted by the Commissioner of Health, under Title 10.
- g) The facility will be operated and maintained in accordance with the standards prescribed by law.
- h) The applicant will comply with the provisions of the Public Health Law and the applicable provisions of Title 10 with respect to the operation of all established, existing medical facilities in which the applicant has a controlling interest.
- i) The applicant understands and recognizes that any approval of this application is not to be construed as an approval of, nor does it provide assurance of, reimbursement for any costs identified in the application. Reimbursement for all cost shall be in accordance with and subject to the provisions of Part 86 of Title 10.

1/9/2026

Date

Signature

Scott A. Berlucchi, FACHE, NHA

Name (Please Type)

President & Chief Executive Officer

Title (Please Type)



Department of Health

KATHY HOCHUL
Governor

JAMES V. McDONALD, MD, MPH
Commissioner

JOHANNE E. MORNE, MS
Executive Deputy Commissioner

July 23, 2026

Eric Burch
EVP of Administration
Auburn Community Hospital
17 Lansing Street
Auburn, New York 13021

Re: 261018-L
Auburn Community Hospital
(Cayuga County)
Certify Cardiac Catheterization -
Electrophysiology (EP) and install new
ultrasound equipment for EP procedures

Dear Eric Burch:

The above-referenced limited review application (LRA), for which you have been designated the contact person, has been received by the Bureau of Project Management (BPM) for processing in accordance with 10 NYCRR 710.1(c)(5)-(7).

The BPM acknowledges receipt of the application and has forwarded the LRA to the necessary reviewing units for continued processing. Any questions for clarification or additional information regarding this application will come directly from the reviewing unit(s).

The review and approval of your project, as required by the Public Health Law, must be obtained from the Center for Health Care Facility Planning, Licensure, and Finance prior to implementing this project.

Please Note: This application is subject to a \$1,000 application fee. The final disposition of this application will be pended until receipt of the application fee. If you have not already done so, please submit a check payable to the New York State Department of Health, including the above-referenced project number on it and any correspondence. The check must be mailed to:

**Bureau of Project Management
New York State Department of Health
Corning Tower, Room 1842
Albany, New York 12237**

If you have any questions regarding this project, please contact the Bureau of Project Management at cons@health.ny.gov or (518) 402-0911.

Sincerely,

Susan Edwards

Susan Edwards
Director
Bureau of Project Management

SE/ljc